Expert Systems with Applicatio

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Expert Systems with Applications xxx (2014) xxx-xxx

Contents lists available at ScienceDirect



Expert Systems with Applications

journal homepage: www.elsevier.com/locate/eswa

Metaheuristic based solution approaches for the obstacle neutralization 3 problem [☆]

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ARTICLE INFO

13 Article history: 14

Available online xxxx

15 Keywords:

16 Path planning 17

Obstacle neutralization problem 18 Optimization

- Metaheuristics
- 19 20

ABSTRACT

The problem of finding shortest path under certain constraints is NP-Complete except for some trivial variants. In this study, we develop metaheuristics for the obstacle neutralization problem (ONP) which is a path planning problem where the goal is to safely and swiftly navigate an agent from a given source location to a destination through an arrangement of potential mine or threat discs in the plane. To solve the ONP, ant system, genetic algorithm, simulated annealing and migrating birds optimization algorithms are developed and customized. We provide computational experiments both on real-world and synthetic data to empirically assess their performance. The results of the algorithms are compared with exact solutions on small instances. The comparison results present that our algorithms finds near-optimal solutions in reasonable execution times. Furthermore, the results show that the proposed versions of the aforementioned algorithms can be applicable to similar problems.

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1. Introduction 36

One of the important topics in operations research and mathe-37 matics is finding the shortest path under certain constraints. This 38 39 topic is mostly referred to as path planning or constrained shortest path problem. The problem of minimizing the time for data to 40 reach destination subject to a given total delay limit in the tele-41 communications industry (Kuipers, Korkmaz, Krunz, & Mieghem, 42 43 2004), the problem of finding the path for a military aircraft with 44 minimum probability of being detected by enemy radar subject to fuel constraints (Zabarankin, Uryasev, & Pardalos, 2002), and 45 the problem of approximating a curve with maximum number of 46 breakpoints subject to storage constraints in computer graphics 47 (Dahl & Realfsen, 1997) are some of the constrained shortest path 48 49 problems observed in real life. These problems are mostly NP-Complete problems for which applying exact solution methods is 50 not reasonable on moderate or large instances. In this case, heuris-51 tic algorithms are usually developed. In the literature, there is also 52 53 a class of heuristic algorithms which can be used to solve a large 54 class of problems either directly or with minor modifications hence 55 getting the name metaheuristics.

http://dx.doi.org/10.1016/j.eswa.2014.09.027 0957-4174/© 2014 Published by Elsevier Ltd.

The metaheuristics often generate good solutions in reasonable times. So far many metaheuristics are proposed by researchers. Genetic algorithms, simulated annealing, tabu search, ant system are some of the widely used metaheuristics in the literature (Holland, 1975; Kirkpatrick, Gelatt, & Vecchi, 1983; Glover, 1986; Dorigo, 1992). On the other hand, artificial bee colony, migrating birds optimization, differential evolution are examples of other competitive metaheuristics proposed recently (Karaboga & Basturk, 2007; Duman, Uysal, & Alkaya, 2012; Storn & Price, 1997). As their names imply, the metaheuristics are mostly nature inspired. In the literature, there are some studies that apply metaheuristics to solve the path planning algorithms (Latourell, Wallet, & Copeland, 1998; Lee, 1995; Royset, Carlyle, & Wood, 2009).

In this study, we tackle a path planning problem and design tailor-made metaheuristics for solving the problem. Specifically, the undertaken problem is called obstacle neutralization problem (ONP) wherein an agent needs to safely and swiftly navigate from a given source location to a destination through an arrangement of disc-shaped obstacles in the plane. In the ONP, the agent has a neutralization capability. After neutralizing an obstacle, agent can enter this area and cost of neutralization is added to its traversal length. But neutralization capability is limited, say by K, due to payload capacity of the agent. ONP is closely related to the problems undertaken in real world applications in diverse fields such as telecommunications routing (Kuipers et al., 2004), curve approximations (Dahl & Realfsen, 1997), scheduling and minimum-risk routing of military vehicles and aircraft (Zabarankin

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01 Please cite this article in press as: Alkaya, A. F., & Algin, R. Metaheuristic based solution approaches for the obstacle neutralization problem. Expert Systems with Applications (2014), http://dx.doi.org/10.1016/j.eswa.2014.09.027

^{*} This work is supported by The Scientific and Technological Research Council of Turkey, Grant # 114M069. Corresponding author.

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26 September 2014

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83 et al., 2002). Therefore, the techniques developed in this study may 84 also be applied to other application domains.

85 Mathematically, ONP instance is a tuple (s, t, A, c, K), where s is start point and *t* is terminal point in \mathbb{R}^2 , \mathcal{A} is a finite set of open 86 discs in \mathbb{R}^2 , *c* is a cost function $\mathbb{R}_{\geq 0}$, and *K* is a given constant in 87 $\mathbb{R}_{\geq 0}$. In this problem we have an agent that wants to go from *s* to 88 89 t. This agent cannot enter the discs unless he/she has an option 90 to neutralize discs that can be considered as obstacle or threat like mine. The agent has neutralization capability that is limited with *K* 91 92 number of discs where $K \leq |\mathcal{A}|$. When a disc is neutralized, its neu-93 tralization cost is added to traversal length of the path. In this 94 problem our aim is taking the agent from s to t safely using the 95 shortest path.

There is a simple example for ONP in Fig. 1. In this figure, each disc has radius of 5 and neutralization cost of 1. As seen in figure. when our agent has K = 0 neutralization, he/she chooses red (solid) path. Similarly, when K = 1, 2, 3 green (dotted), blue (dashed), and black (bold solid) paths are our optimum paths.

To our knowledge, the ONP is studied in Alkaya, Aksakalli, and 101 Periebe (2014), Alkaya and Oz (2014) and Algin, Alkaya, 102 103 Aksakalli, and Oz (2013). In Alkaya et al. (2014) the authors 104 develop a heuristic for solving the ONP. On the other hand, in 105 Alkaya and Oz (2014) the authors develop an efficient exact 106 method for solving small and moderate sized graphs. However, 107 both of the proposed algorithms are based on the assumption that 108 every disc has the same radius and same neutralization cost. In this 109 paper, that constraint is released and we provide solution methods 110 that can be applicable for more realistic scenarios. In Algin et al. 111 (2013) the authors develop an ant system algorithm for the ONP. 112 However, in their study they just present the algorithm and the 113 results of the computational experiments without any other meta-114 heuristic comparison.

In this study, our contribution is three-fold: (1) we present 115 metaheuristic algorithms that can solve ONP instances having var-116 117 ious radii and neutralization cost, (2) our GA, SA and MBO 118 algorithms designed for ONP outperform AS which was developed 119 for ONP in a former study, (3) we show that the proposed 120 metaheuristics present very close results on small and moderate 121 sized graphs and therefore can be used on large graphs.

metaheuristics are customized for demonstrating their best performance on the ONP. Section 4 reports the results of extensive computational experiments which are conducted with real and synthetic data. Section 5 concludes the paper with concluding remarks and some future work 2. Literature survey

This manuscript is organized as follows. In Section 2, literature

survey on ONP and related problems are given and the metaheuris-

tics used in this study are explained. Section 3 explains how these

In this section, we firstly provide some background about the 131 studies carried out solely on ONP and then studies carried out on 132 related problems. Thereafter, brief definitions of the ant system, genetic algorithm, simulated annealing and migrating birds optimization are given. 135

2.1. ONP and related problems

In this subsection, we firstly present the studies carried out on 137 ONP and give the contributions of this study in comparative man-138 ner. Then we make a survey on the studies related with ONP 139 because the techniques developed in this study may also be 140 applied to them. 141

2.1.1. Previous work on ONP

In the literature, ONP is defined in Alkaya et al. (2014) where 143 the authors propose a heuristic for solving the ONP. The proposed 144 algorithm is based on the following simple idea: find the largest 145 penalty term $\alpha^* \ge 1$ such that the unconstrained shortest path 146 (i.e., the path without any neutralization limits) with Euclidean 147 length of disc-intersecting edges augmented by $(\alpha^* C)/2$ requires 148 the highest number of neutralizations without exceeding K, hence 149 the name penalty search algorithm (PSA). This is the path returned 150 by PSA and it clearly satisfies the neutralization limit constraint. The search for the penalty term is found by a straightforward bisection method. They present special cases where their algorithm is provably optimal. However, the PSA works correctly under the assumptions of (1) equal radii of the discs, and (2) equal neutralization cost of the discs which may not be realistic in many cases.

In another study on ONP, an exact algorithm is proposed (Alkaya & Oz, 2014). The exact algorithm consists of two phases. In the first phase an upper bound to the problem is obtained by using the PSA algorithm. In the second phase, if there is a gap from optimal solution, starting from the bound obtained from phase I, a kth shortest path algorithm is exploited to find the optimal solution. The performance of the exact algorithm is tested on both grid and continuous graphs where it works very fast on small and moderate sized graphs. However, since it is based on the PSA it requires the same assumptions that PSA has.

In another study, an ant system algorithm for the ONP is developed (Algin et al., 2013). In their proposed algorithm, the state transition rule makes use of certain problem-specific information to guide the ants. They show how the parameters of the algorithm can be fine-tuned for enhanced performance and they present limited computational experiments including a real-world naval minefield dataset. However, in their study they just present the algorithm and the results of the computational experiments without any other metaheuristic comparison.

Summarizing the shortages of the state-of-the-art studies on ONP, we can easily say that: (1) PSA and exact method works correctly under the assumptions of equal radii of the discs, and equal neutralization cost of the discs which may not be realistic in many



Fig. 1. An example to the obstacle neutralization problem and optimal paths for K = 0, 1, 2 and 3

with Applications (2014), http://dx.doi.org/10.1016/j.eswa.2014.09.027

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