



Q1 Enrichment of immunoregulatory proteins in the biomolecular corona of 2 nanoparticles within human respiratory tract lining fluid

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18 Abstract

19 When inhaled nanoparticles deposit in the lungs, they transit through respiratory tract lining fluid (RTLFL) acquiring a biomolecular
20 corona reflecting the interaction of the RTLFL with the nanomaterial surface. Label-free snapshot proteomics was used to generate quantitative
21 profiles of the proteins within the corona formed around silica (SiO₂) and poly(vinyl) acetate (PVAc) nanoparticles in RTLFL, the latter
22 employed as an archetype drug delivery vehicle. The evolved PVAc corona was significantly enriched compared to that observed on SiO₂
23 nanoparticles (698 vs. 429 proteins identified); however both coronas contained a substantial contribution from innate immunity proteins,
24 including surfactant protein A, napsin A and complement (C1q and C3) proteins. Functional protein classification supports the hypothesis
25 that corona formation in RTLFL constitutes opsonisation, preparing particles for phagocytosis and clearance from the lungs. These data
26 highlight how an understanding of the evolved corona is necessary for the design of inhaled nanomedicines with acceptable safety and
27 tailored clearance profiles.

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29 *Key words:* Protein corona; Nanoparticle; Respiratory tract lining fluid; Plasma; Silica; Poly(vinyl) acetate; Proteomics

31 *Abbreviations:* NP, nanoparticles; RTLFL, respiratory tract lining fluid; TEM, transmission electron microscopy; SiO₂, silica nanoparticle; PVAc,
poly(vinyl) acetate nanoparticle; SP-A, surfactant protein A; SP-B, surfactant protein B; SP-D, surfactant protein D; DLS, dynamic light scattering; SEM,
scanning electron microscopy; LC-MS/MS, liquid chromatography mass spectrometry; CC16, clara cell secretory protein 16; COPD, chronic obstructive
pulmonary disease; BAL, bronchoalveolar lavage; NSpC, normalized spectral count.

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Q5 Background

The importance of the protein constituents of the biomolecular corona formed around nanoparticles in biofluids in determining particle–cell interactions was recently demonstrated convincingly,¹ but like much of the other published work in this area,^{2,3} the corona examined was based on the incubation of nanoparticles with human plasma. To understand the interactions between nanoparticles and the lungs requires an appreciation of the precise form in which inhaled materials present to externally-facing respiratory cells. This is crucial for the design of optimised inhaled nanomedicines,⁴ and also relevant for understanding effects on pharmaceutical nanocarrier biostability,⁵ use for targeted drug delivery,⁶ the drug release profile⁷ and their clearance by macrophages.⁸ Further, it is also important in determining how the lung responds to a variety of other inhaled nanomaterials, such as those derived from diesel-powered engines⁹ or cigarette smoking.¹⁰ If the many opportunities offered by inhaled nanomedicines are to be realised,^{11–13} the biological and therapeutic implications of the first interactions of inhaled nanoparticles with the lungs must be appreciated.^{14,15} Inhaled nanoparticle–biomolecule interactions occur during their transit through the thin aliphatic layer of respiratory tract lining fluid (RTLFL) that coats the airways. As yet, however, the biomolecular corona formed in human RTLFL has not been characterised at the molecular level.

To date, studies into the interactions of nanoparticles with biological fluids have focused on the protein corona formed when particles are in contact with plasma¹⁶ or foetal bovine serum (FBS),¹⁷ as well as on the kinetics of the protein corona formation.¹⁸ These studies in plasma and FBS have shown that the acquisition of a plasma protein coating on particles significantly modifies surface properties, including charge, propensity to aggregate and hydrodynamic diameter, thus altering particle behaviour *in vivo*.^{16,19} These non-respiratory studies indicate that the corona interface that develops forms the ‘biological identity’ of the particle, effectively determining downstream biological effects.²⁰ Examples of biological actions modified by the corona include decreasing the uptake of silica nanoparticles,²¹ impairing the cell targeting capabilities of transferrin-functionalised silica nanoparticles,²² and reducing the cytotoxicity of cationic nanoparticles.²³ The biological implications of the biomolecular corona formed around nanoparticles in plasma have been reviewed recently by Monopoli et al (2012).³ The absence of similar work to investigate the corona formed around nanoparticles in human RTLFL is a serious deficiency in the field, as the relevance of the corona formed in plasma to particle–lung interactions is highly questionable.¹⁴

Human RTLFL differs substantially from human plasma, with the epithelial and immune cells of the airways significantly influencing its composition. With respect to inhaled nanoparticles, which deposit predominately in the lung periphery, the composition of RTLFL in the alveolar region is most relevant. This surfactant-enriched compartment has significant contributions from plasma-derived proteins such as albumin and transferrin,²⁴ but also contains a number of lung-specific proteins with important roles in innate host defense, including surfactant proteins A and D (SP-A, SP-D), which promote the

clearance of inhaled pathogens²⁵ from the lung. Apart from surfactant proteins, the RTLFL contains other proteins, including apolipoprotein A-1, haptoglobin, uteroglobin, alpha-1-antitrypsin and alpha-2-macroglobulin, which have been shown to play important roles in innate immunity.^{26–30} Pioneering studies indicate that phospholipids within the RTLFL adhere to the surface of inhaled particles, as demonstrated for PM_{2.5} particles,³¹ which aggregate following formation of a phospholipid corona.³² This is consistent with stabilization of sedimenting metal oxide nanoparticles that has been reported in liposomal suspensions.³³ Thus, it appears that inhaled nanoparticles interact with proteins and phospholipids in human RTLFL to acquire a biomolecular corona that can profoundly affect the fate of inhaled nanoparticles.³⁴ The aim of this study was to characterize the protein constituents of biomolecular corona that forms around nanoparticles in human RTLFL.

Methods

Human respiratory tract lining fluid

Lavage was performed on healthy (n = 5, 26 ± 2 years, 2 M/3 F) subjects following an overnight fast as previously described.³⁵ Briefly, an initial bronchial wash was performed either in the right middle lobe or the left lingula lobe by the instillation and immediate aspiration of 2 × 20 mL of sterile saline (37 °C). These initial samples of the conducting airway RTLFLs are not reported on in this paper, as the recovered volumes were insufficient for processing. Following the bronchial wash, a larger volume bronchoalveolar lavage (BAL, 3 × 60 mL) was performed at the same site. The aspirates recovered from each of the 60 mL instillations were collected and pooled into a siliconised container on ice. All lavage samples were filtered through nylon (pore diameter 100 μm) and centrifuged at 400 g for 15 min to isolate the cell free supernatant. The supernatants were then stored at –80 °C until required for further processing. Sample concentration, following sample thawing, was performed using 9 K MWCO iCON Pierce concentrators (Thermo Scientific), by centrifugation at 4,000 rpm at 4 °C, for 15 min cycles until complete. The filter retentate was resuspended in 1 mL HBSS and the protein concentration of the five individual concentrated BAL samples (reconstituted RTLFL), as well as a pooled sample derived from equal volumes (200 μL) of the separate samples were determined using the bicinchoninic acid assay, as previously described.³⁵ The highly abundant plasma protein albumin was depleted from all the reconstituted RTLFL samples, using SwellGel Blue Albumin Removal Discs (Pierce), according to the manufacturer’s instructions to enhance the quantification of low abundance proteins using downstream proteomic approaches. It should be noted therefore that the concentrated BAL fluid is not a fully reconstituted RTLFL because of the necessity to pre-deplete albumin. This approach is commonly applied in proteomic studies³⁶ and trades the underestimation of the ‘true’ contribution of albumin, and potentially the loss of constituents below the molecular weight cut-off, for the ability to quantify low abundance proteins. Informed written consent was obtained from all subjects prior to inclusion into this study,

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