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# Performance evaluation and parametric optimum design of an updated ocean thermal energy conversion system



Ling Cai

Third Institute of Oceanography of State Oceanic Administration, Xiamen 361005, People's Republic of China

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## ABSTRACT

A cycle model of the updated ocean thermal energy conversion system is proposed. The cycle system mainly consists of a compressor, a turbine, an evaporator, a condenser, and a regenerator and can absorb heat from high-temperature heat sources such as solar energy, waste heat produced in other equipments, or heat warm sea water. The effects of main irreversible losses on the performance of the system are considered. Expressions for the power output and efficiency of the system are analytically derived. The characteristic curves of the dimensionless power output versus the efficiency are obtained. The optimum values of some of the main parameters at the maximum power output are calculated. The results obtained here can provide some guidance for the optimum design and operation of real ocean thermal conversion systems.

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## 1. Introduction

Energy shortage and environmental pollution are two critical issues in the 21-th century that must be appropriately solved. The low-grade heat from renewable energy sources has been considered to be a good candidate to solve the two issues. Among those energy sources, ocean thermal energy is one of the largest renewable energy sources in the world because oceans cover approximately 70% of the Earth's surface and the majority of the absorbed solar energy is stored in the upper layer of oceans. The utilization of ocean thermal energy has attracted considerable attention in recent decades (Aydin et al., 2014; Lee et al., 2014; Rajagopalan and Nihous, 2013; Semmari et al., 2012; Yeh et al., 2005).

Ocean thermal energy conversion (OTEC) plants are one of the most important equipments in the utilization of ocean thermal energy. They generate electricity by using the temperature difference between the sea surface (20–30 °C) and the deep-sea (3–10 °C). Many researchers have investigated the performance of OTEC systems. For example, Ganic and Moeller investigated the performance of an OTEC plant (Ganic and Moeller, 1980). Wu calculated the performance bound of real OTEC heat engines (Wu, 1987) and optimized the specific power output of closed-cycle OTEC plants (Wu, 1990). Tseng et al. (1991) utilized an optimal design concept to find the best design for a complex and large-scale OTEC plant. Lennard discussed the viability and best locations for OTEC systems around the world (Lennard, 1995). Yeh

et al. calculated the maximum work output of an OTEC power plant (Yeh et al., 2005). Moore and Martin presented a general mathematical framework for the synthesis of OTEC power generating systems and performed a technical analysis of an OTEC system (Moore and Martin, 2008). Sun et al. derived and optimized the performance analytical function and exergy efficiency of organic Rankine cycle in the OTEC (Sun et al., 2012). So far many significant results have been obtained for the design and operation of OTEC systems (Nihous, 2007; Faizal and Ahmed, 2011; Soto and Vergara, 2014). However, the available temperature difference is small for OTEC systems so that the corresponding net power output is limited. Thus, under the limited external conditions, the optimum study to achieve the maximum available energy from seawater is one way of the utmost importance for the performance investigation of OTEC systems. On the other hand, the construction and analysis of some novel cycles are also an important topic in the investigation of ocean energy conversion.

In the present paper, an updated model of OTEC systems is proposed. Expressions for the efficiency and power output of the new model are derived. The general performance characteristics of the power output versus the efficiency are revealed. The main parameters are optimized. Some significant results are obtained.

## 2. The description of an updated OTEC system

Fig. 1 represents the cycle model of an updated OTEC system, which is different from a common OTEC system (Aydin et al., 2014;

E-mail address: [cailing@tio.org.cn](mailto:cailing@tio.org.cn)

**Nomenclature**

$A_i (i = h, c, r)$  heat transfer area  
 $c_p$  specific heat at constant pressure  
 $\dot{m}$  mass flow rate  
 $P$  power output  
 $p$  dimensionless power output  
 $p_{max}$  maximum dimensionless power output  
 $q_c$  heat releasing rate  
 $q_h$  heat input rate  
 $q_r$  regenerative rate  
 $r_p$  pressure ratio  
 $T_0$  temperature of environment  
 $T_c$  temperature of cold sea water  
 $T_h$  temperature of heat reservoir  
 $T_i$  temperature of state point  $i$

$U_i (i = h, c, r)$  heat transfer coefficient

*Greek letters*

$\gamma$  ratio of specific heats  
 $\eta$  efficiency  
 $\eta_c$  compression efficiency  
 $\eta_e$  expansion efficiency  
 $\eta_p$  efficiency at maximum power output  
 $\eta_r$  regeneration efficiency

*Subscript*

opt optimum

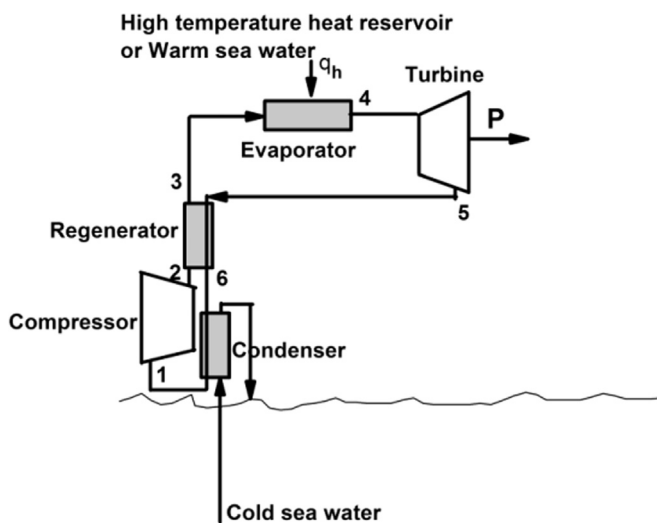


Fig. 1. The schematic diagram of an updated OTEC system.

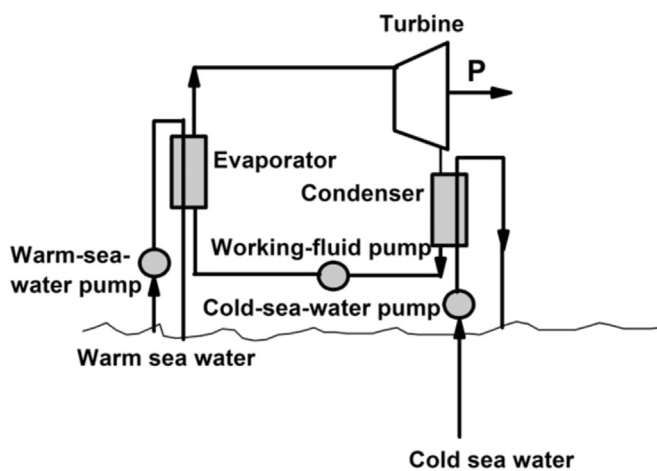


Fig. 2. The schematic diagram of an OTEC system.

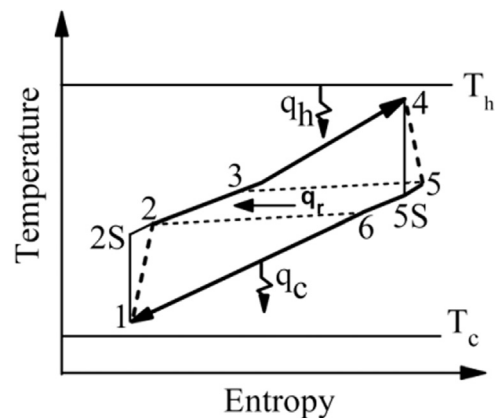


Fig. 3. The temperature-entropy diagram of an updated OTEC system.

reservoirs at temperatures  $T_h$  and  $T_c$ .  $q_h$  may be supplied by high-temperature heat sources such as solar energy, waste heat produced in other equipments, or heat warm sea water. The working fluid at temperature  $T_i$  enters into the compressor and is compressed irreversibly and adiabatically, while the working fluid leaving the compressor, under a given pressure, is heated in the regenerator by the working fluid from the turbine. The heated fluid enters the evaporator and continues to be heated up by the high-temperature heat reservoir, and the hot fluid enters directly into the turbine and expands to produce power via an irreversible adiabatic process. Finally, the working fluid flowing through the regenerator enters the condenser and is cooled to temperature  $T_i$  under another given pressure. It shows that the basic thermodynamic cycle of the system may be modeled as a closed Brayton cycle consisting of two isobaric processes, two adiabatic processes, and a regenerative process and its temperature-entropy diagram is shown in Fig. 3, where  $T_i (i = 1, 2, \dots, 6)$  are the temperatures of the working fluid at state points  $i$ , 1–2S and 4–5S are two reversible adiabatic processes; 1–2 and 4–5 are two irreversible adiabatic processes,  $q_h$  and  $q_c$  are, respectively, the rates of heat absorbed from the heat reservoir at temperature  $T_h$  and released to the cold sea water at temperature  $T_c$ , and  $q_r$  is the rate of heat flow in the regenerator. Because the heat capacities of two heat reservoirs are often much larger than that of the working fluid in the cycle system, the temperatures  $T_h$  and  $T_c$  of the high-temperature heat reservoir and cold sea water are taken as constant in this cyclic model. The proposed model carries forward the advantages of the various Brayton models adopted in Wu et al., (1996),

Moore and Martin, 2008; Sun et al., 2012; Faizal and Ahmed, 2011; Soto and Vergara, 2014) shown in Fig. 2. In Fig. 1, the cycle system mainly consists of a compressor, a turbine, an evaporator, a condenser, and a regenerator, where the evaporator and condenser are employed as heat exchangers to absorb and release the heat energy, respectively. The system is operated between the two heat

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