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# STRIATAL ACTIVITY DURING REACTIVE INHIBITION IS RELATED TO THE EXPECTATION OF STOP-SIGNALS

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9 Abstract—Successful response inhibition relies on the suppression of motor cortex activity. The striatum has previously been linked to motor cortex suppression during the act of inhibition (reactive), but activation was also seen during anticipation of stop signals (proactive). More specifically, striatal activation increased with a higher stop probability. Here we investigate for the first time whether activation in the striatum during reactive inhibition is related to previously formed expectations. We used a modified stop-signal response task in which subjects were asked trial by trial, after being presented a stop-signal probability cue, whether they actually expected a stop to occur. This enabled us to investigate the subjective expectation of a stop signal during each trial. We found that striatal activity during reactive inhibition was higher when subjects expected stop signals. These results help explain conflicting findings of previous studies on the association between striatal activation and inhibition, since we demonstrate a crucial role of the subjects' expectation of a stop signal and thus their ability to prepare for a stop in advance. In conclusion, the current results show for the first time that striatal contributions to reactive response inhibition are, in part, related to subjective anticipation. © 2017 IBRO. Published by Elsevier Ltd. All rights reserved.

Key words: behavioral Control, FMRI, striatum, motor cortex, proactive inhibition, expectation.

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### INTRODUCTION

To successfully navigate the world, people often need to control habitual actions or stop them altogether. Broadly speaking, the inhibition of responses can be divided into reactive and proactive (Aron, 2011). Reactive inhibition describes the direct inhibitory response to a stimulus, while proactive inhibition involves the anticipation of having to stop in advance. This anticipation can be derived

Abbreviations: 2D-EPI, two-dimensional echo-planar imaging; MRI, magnetic resonance imaging.

from past experiences or external cues (Chikazoe et al., 19 2009; Verbruggen and Logan, 2009; Vink et al., 2014, 20 2015b), and ordinarily leads to the slowing down of 21 responses (Logan and Cowan, 1984). This interplay of 22 expectancy and inhibition develops throughout childhood 23 (Vink et al., 2014), and has been shown to be impaired 24 in several psychiatric disorders, such as schizophrenia 25 (Vink et al., 2015a). 26

Functional imaging studies have demonstrated that 27 proactive inhibition involves activity in a network 28 associated with stopping, including the supplementary 29 motor area, dorsal premotor cortex, parietal cortex, right 30 inferior frontal gyrus and the striatum (Vink et al., 2006; 31 Chikazoe et al., 2008, 2009; Jahfari et al., 2010; 32 Zandbelt and Vink, 2010; Dugue et al., 2012; Zandbelt 33 et al., 2012). The corpus striatum has been identified as 34 a crucial region in inhibition. Its exact role is still unclear. 35 as it has been implicated in both proactive processes 36 leading up to response inhibition as well as reactive inhi-37 bition. Specifically, reactive inhibition during a stop-signal 38 paradigm has been associated with increased activation 39 in the striatum when comparing successful inhibition to 40 failed inhibition trials (Vink et al., 2005). However, this 41 activity has also been linked to an increase in stop-42 signal probability, with more activation when the probabil-43 ity of a stop-signal occurring was high (Zandbelt and Vink, 44 2010). Vink and colleagues (2015b) suggest that striatal 45 activation during reactive inhibition is, in part, related to 46 prior anticipatory processing of contextual cues. 47

To determine whether the striatum is more involved in 48 reactive stopping or anticipatory processes preceding 49 inhibition, it is necessary to investigate them separately. 50 It is difficult to separate these two processes, as many 51 tasks used in inhibition experiments rely on a single 52 outcome measure, pressing a button or refraining from 53 doing so, without taking the subjects' interpretation of a 54 given cue into account. This makes it difficult to attribute 55 a more specific role to the striatum, delineating effects 56 stemming from formed expectations and successful 57 performance on the task. For instance, subjects may 58 interpret cues indicating chances of having to stop 59 differently, depending on success or failure in previous 60 trials. After a number of high-probability trials in 61 succession without an actual stop-cue, the subsequent 62 high-probability cue may hold more weight for a 63 participant. People are known to be bad at predicting 64 random events, known as naive statistics and the 65 gambler's fallacy (Clotfelter and Cook, 1993). 66

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2

P. Pas et al. / Neuroscience xxx (2017) xxx-xxx

The current study aims to investigate the role of the 67 striatum during reactive response inhibition, and test 68 whether its activation may in part reflect anticipatory 69 processing triggered by previous contextual cues 70 (Zandbelt and Vink, 2010). To achieve this we have mod-71 ified a standard stop-signal response task (Vink et al., 72 73 2015b). A subjective measurement was added to the task 74 after subjects were presented with the cue indicating stop-signal probability. Subjects were asked whether they 75 expected a stop-signal to occur, using "yes", "no" or 76 "don't know". With this subjective measurement, we could 77 investigate the effect on proactive inhibition of both an 78 79 objective stop-signal probability and the participant's interpretation of these cues. We previously found that 80 subjective expectation vielded differences in striatal acti-81 vation during the anticipatory period when presented with 82 a cue indicating the probability of having to stop, with 83 more striatal activity when subjects expected a stop-84 signal to subsequently occur (Vink et al., 2015b). Expect-85 ing stop-signals was also shown to aid successful inhibi-86 tion, with a higher accuracy during expected stops. In 87 our current study, we will use the same paradigm to inves-88 89 tigate the role of the striatum during the response period 90 of the task. This enables us to not only separate correct 91 and incorrect responses, but also to differentiate expected 92 and unexpected stops.

93 25 Healthy volunteers (20 males) performed a 94 modified delayed-response stop-signal anticipation task while being scanned with functional MRI (Zandbelt 95 et al., 2012). At the beginning of each trial, a cue is pre-96 sented indicating stop-signal probability (0% or 50%), 97 and subjects are asked to indicate whether or not they 98 expect a stop-signal to occur (yes/no/don't know). We 99 chose a 50% stop-signal probability to ensure a suffi-100 ciently high number of stops. After a variable delay follow-101 ing the cue, a stimulus is presented either requiring 102 103 subjects to respond (go trials) or refrain from responding 104 (stop trials). The effect of stop-signal probability and stop-signal expectation on brain activation during the 105 stimulus-response period is investigated for both go trials 106 and stop trials in the left and right striatum, and motor cor-107 tex, with regions of interest taken from (Zandbelt et al., 108 2011). 109

#### 110 Hypotheses

Similar to previous work on inhibition performance on 111 similar tasks (Zandbelt and Vink, 2010; Zandbelt et al., 112 2011), we expect to find differences in striatal and motor 113 cortex activation when comparing correct and incorrect 114 stops. Striatal activity during inhibition has been associ-115 116 ated with accuracy (Vink et al., 2005; Zandbelt and 117 Vink, 2010), and we have previously found that the sub-118 jective expectation of stop-signals also leads to higher striatal activation during the cue period (Vink et al., 119 2015b). In the current study, we will focus on the 120 response period. With expected stops, subjects will rely 121 on proactive processes that involve the striatum, better 122 preparing them for response inhibition and slowing down 123 their responses. We therefore anticipate finding more stri-124 atal activation when subjects expect a stop to occur, com-125 pared to unexpected stops. As the striatum is thought to 126

modulate motor cortical responses, we also predict a corresponding diminished motor cortex activation for 128 expected stops, compared to unexpected stops 129 (Zandbelt and Vink, 2010). 130

## EXPERIMENTAL PROCEDURES

Subjects

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Twenty-five healthy volunteers (Age M = 21.6 years, 133 SD = 2.7; 5 females) participated in the experiment. All 134 subjects were right-handed, reported no history of 135 psychiatric or neurologic disorders and gave written 136 informed consent. The study was approved by the 137 ethics committee of the University Medical Center 138 Utrecht. This study conformed to the 2013 WMA 139 Declaration of Helsinki. 140

#### Stop-signal anticipation task

Subjects performed a modified stop-signal anticipation 142 task, in order to measure proactive and reactive 143 inhibitory control (see Fig. 1). The task and 144 experimental procedures were as described before 145 (Vink et al., 2015b). In short, subjects are instructed to 146 make timed responses to a moving bar (referred to as 147 go trials). In some trials, the bar stops on its own (referred 148 to as the stop-signal) and subjects have to refrain from 149 responding. A cue is presented at the start of each trial 150 indicating the probability that the bar will stop, either a 151 '0' indicating no chance of a stop-signal occurring, or '\*' 152 indicating the possibility that a stop-signal could occur. 153 Subjects were asked immediately after the cue to answer 154 the question: 'Do you expect a stop-signal?' by pressing a 155 button corresponding to 'yes' or 'no'. This provided us with 156 information concerning the subjects' subjective stop-157 signal expectation. If subjects failed to respond within 158 1000 ms, the trial was coded as 'don't know'. Also, if sub-159 jects thought that the chance that the bar would stop was 160 50%, i.e. if they had no expectation at all, they were 161 allowed to refrain from making a choice and the trial would 162 continue in the same fashion. In total, 180 trials were pre-163 sented, 60 trials with 0% stop-signal probability and 120 164 trials with a 50% stop-signal probability. These trials were 165 ordered in a pseudo-random sequence that was fixed 166 across subjects. Task difficulty was managed in a step-167 wise fashion, with a varying delay between the stop cue 168 and the target depending on correct or incorrect trials. 169 This ensured overall stop accuracy to be around 50% 170 for each individual participant. The duration between 171 stop-cue and target at which the participant is able to 172 attain a 50% accuracy is known as the Stop-Signal 173 Response Time (SSRT) (Logan and Cowan, 1984), and 174 used as a measurement of inhibition performance. 175

#### Data acquisition

Imaging was performed on a 3.0 T Achieva whole-body177magnetic resonance imaging scanner (Philips Medical178Systems, Best, The Netherlands) at the University179Medical Center Utrecht. The image acquisition180parameters were identical to those described in (Vink181et al., 2015b). In short, functional (T2\*-weighted) echo182

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