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Ozone and secondary organic aerosol formation potential from anthropogenic volatile organic compounds emissions in China

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combustion.

ABSTRACT

Volatile organic compounds (VOCs) are major precursors for ozone and secondary organic aerosol (SOA), both of which greatly harm human health and significantly affect the Earth's climate. We simultaneously estimated ozone and SOA formation from anthropogenic VOCs emissions in China by employing photochemical ozone creation potential (POCP) values and SOA yields. We gave special attention to large molecular species and adopted the SOA yield curves from latest smog chamber experiments. The estimation shows that alkylbenzenes are greatest contributors to both ozone and SOA formation (36.0% and 51.6%, respectively), while toluene and xylenes are largest contributing individual VOCs. Industry solvent use, industry process and domestic combustion are three sectors with the largest contributions to both ozone (24.7%, 23.0% and 17.8%, respectively) and SOA (22.9%, 34.6% and 19.6%, respectively) formation. In terms of the formation potential per unit VOCs emission, ozone is sensitive to open biomass burning, transportation, and domestic solvent use, and SOA is sensitive to industry process, domestic solvent use, and domestic combustion. Biomass stoves, paint application in industrial protection and buildings, adhesives application are key individual sources to ozone and SOA formation, whether measured by total contribution or contribution per unit VOCs emission. The results imply that current VOCs control policies should be extended to cover most important industrial sources, and the control measures for biomass stoves should be tightened. Finally, discrepant VOCs control policies should be implemented in different regions based on their ozone/aerosol concentration levels and dominant emission sources for ozone and SOA formation potential.

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52 Introduction

Volatile organic compounds (VOCs) play a crucial role in 53tropospheric chemistry. They are major precursors for ozone 54and secondary organic aerosol (SOA), both of which are 55hazardous to human health (Nel, 2005) and affect the Earth's 56climate significantly as they are short-lived climate forcers 57(Stocker et al., 2013). In addition, SOA obscures visibility 58(Zhang et al., 2012) and ozone has adverse effects on the 59ecosystem (Amann et al., 2008). Globally, China has the largest 60 VOCs emissions, as most of the VOCs sources remain 61 uncontrolled except for a few metropolitan regions. There-62 63 fore, it is necessary to estimate the ozone and SOA formation 64 potential from anthropogenic VOCs emissions in China, and based on the estimation, develop effective VOCs control 65 66 strategies.

The formation mechanism of ozone has been extensively 67 studied and is well understood. Chemical mechanism model-68 ing is the most frequently used approach to estimate ozone 69 formation potential (OFP) from VOCs. Chemical mechanisms 70 such as SAPRC07, CB05, RADM2 have been widely used in 71chemical transport models (CTMs) (Appel et al., 2007; 72Yarwood et al., 2008; Lin et al., 2009; Hirtl et al., 2011; 73 Herwehe et al., 2011; Heo et al., 2012; Zhao et al., 2013b). 74They can estimate OFP with good accuracy but requires heavy 75computation. A rapid method to estimate OFP involves 76 multiplying the concentration/emission of each precursor 77 and index such as maximum incremental reactivity (MIR) 78 and photochemical ozone creation potential (POCP). MIR is 79 derived from chamber experiments and thus confined to 80 specific atmosphere conditions (Carter et al., 1995). In 81 contrast, POCP is calculated from photochemical trajectory 82 model that takes long range transport into consideration 83 (Derwent et al., 2007), so it is more suitable for OFP estimation 84 85 for China.

86 SOA formation potential (SOAFP) can be calculated by 87 explicit chemical models (e.g. Master Chemical Mechanism 88 (MCM; Saunders et al., 2003; Bloss et al., 2005)) and SOA yield models. The former approach has limited application due to 89 its severe underestimation of SOA yields and high computa-90 tional expense. The latter approach obtains yield parameters 91 by empirical fits to smog chamber data. The SOA yield model, 92 especially the two-product model (Odum et al., 1996), is 93 widely applied in three-dimensional CTMs such as CMAQ 94 and PMCAMx, but it still shows universal underestimation in 95SOA concentrations (Karydis et al., 2007; Appel et al., 2008; 96 Lane et al., 2008b; Murphy and Pandis, 2009; Carlton et al., 02 98 2010; Tsimpidi et al., 2011; Fountoukis et al., 2011; Zhao et al., 99 2013b; Li et al., 2015a). Recent studies have made great strides toward solving this problem. On one hand, SOA mass yield is 100 affected by several factors including experimental condition, 101 measuring method, and wall loss effect (Hildebrandt et al., 1022009), resulting in underestimation in yields of known 103 104 precursors. Therefore, some researchers reestimated SOA mass yield under different experimental conditions. For 105 example, Hildebrandt et al. (2009) reported higher yield than 106 previous study after examining SOA mass yield from toluene 107 under different OH, NOx and aerosol concentration levels in 108 smog chamber. On the other hand, the underestimation also 109

ascribed from omission of some SOA precursors, *e.g.*, the 110 intermediate-volatility organic compounds (IVOCs), which 111 has smaller emission rates but much higher SOA yields than 112 traditional precursors. Researchers have examined those 113 ignored species so that the range of SOA precursors is 114 extended. For example, the SOA mass yields have recently 115 been obtained for alkylnaphthalene (Chan et al., 2009) and 116 long-chain alkanes (Presto et al., 2010; Tkacik et al., 2012; 117 Aumont et al., 2012). Nevertheless, these new results are 118 scarcely applied in SOAFP estimation.

Detailed VOCs source profiles are required for both OFP 120 and SOAFP estimation. Previous VOCs source profiles data- 121 bases in China were established mainly for the estimation of 122 ozone formation, resulting in more attention paid to species 123 with low molecule weight. Consequently, species with high 124 molecule weight which have considerable influence for SOA 125 formation are roughly estimated, leading to uncertainty for 126 SOAFP estimation. 127

Given the adverse environment effects of ozone and SOA, 128 and the common links between each other, VOCs control 129 strategies should be developed in light of both issues. 130 However, most research on VOCs emission control strategy 131 solely focused on ozone control (Avery, 2006; Luecken and Q3 Mebust, 2008; Louie et al., 2013; Ling and Guo, 2014; Ou et al., 04 2015). Dechapanya et al. (2004) and Lv et al. (2009) estimated Q5 SOA formation from VOCs. Only a few studies have simulta- 135 neously estimated the ozone and SOA formation potential 136 from VOCs (Shin et al., 2013; Cui, 2013; Han et al., 2013; Lin 137 et al., 2015). Shin et al. (2013) presented some discussion on 138 VOCs control strategy in Seoul, Korea based on the calculation 139 results. Nevertheless, most estimation was based on ambient $\,140$ VOCs concentration rather than VOCs emission rates, making 141 it difficult to link the ozone and SOA formation potential to 142 individual sources. Also, the latest SOA yield results obtained 143 from recent laboratory experiments were not incorporated in 144 these studies. In addition, studies carried out in China only 145 focused on specific city and seasons. Therefore, a detailed and 146 comprehensive examination of VOCs control strategy simul- 147 taneously considering ozone and SOA formation is urgently 148 needed for the whole country. 149

This study rapidly and simultaneously estimates the total 150 and source-specific ozone and SOA formation potential from 151 anthropogenic VOCs in China. Firstly, we establish a VOCs 152 source profiles database in China according to latest results of 153 sources measurements, with special attention given to large 154 molecular species. Then, a database for OFP and SOAFP 155 parameters is established using the latest SOA yield curves 156 from smog chamber experiments, and the OFP and SOAFP for 157 China in 2010 are estimated and analyzed. Finally, we propose 158 recommendations for future VOCs control policies based on 159 the evaluation results. 160

1. Methodology and data sources

1.1. VOCs species, emission sectors and source profile

In this study, all VOCs species are classified into 115 lumped 164 species (Table 1). Compared to previous VOCs classification, 165 species with high molecule weight including aliphatic alkanes 166

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162 163

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