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## Ideal containments under flat extensions

### Solomon Akesseh



ALGEBRA

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#### АВЅТ КАСТ

Let  $\varphi : S = k[y_0, ..., y_n] \to R = k[y_0, ..., y_n]$  be given by  $y_i \to f_i$  where  $f_0, ..., f_n$  is an *R*-regular sequence of homogeneous elements of the same degree. A recent paper shows for ideals,  $I_\Delta \subseteq S$ , of matroids,  $\Delta$ , that  $I_\Delta^{(m)} \subseteq I^r$  if and only if  $\varphi_*(I_\Delta)^{(m)} \subseteq \varphi_*(I_\Delta)^r$  where  $\varphi_*(I_\Delta)$  is the ideal generated in *R* by  $\varphi(I_\Delta)$ . We prove this result for saturated homogeneous ideals *I* of configurations of points in  $\mathbb{P}^n$  and use it to obtain many new counterexamples to  $I^{(rn-n+1)} \subseteq I^r$  from previously known counterexamples.

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#### 1. Introduction

Let R be a commutative Noetherian domain. Let I be an ideal in R. We define the mth symbolic power of I to be the ideal

$$I^{(m)} = R \cap \bigcap_{P \in Ass_R(I)} I^m R_P \subseteq R_{(0)}.$$

E-mail address: sakesseh2@math.unl.edu.

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In this note we shall be interested in symbolic powers of homogeneous ideals of 0-dimensional subschemes in  $\mathbb{P}^n$ . In the case that the subscheme is reduced, the definition of the symbolic power takes a rather simple form by a theorem of Zariski and Nagata [11] and does not require passing to the localizations at various associated primes. Let  $I \subseteq k[\mathbb{P}^n]$ be a homogeneous ideal of reduced points,  $p_1, \ldots, p_l$ , in  $\mathbb{P}^n$  with k a field of any characteristic. Then  $I = I(p_1) \cap \cdots \cap I(p_l)$  where  $I(p_i) \subseteq k[\mathbb{P}^n]$  is the ideal generated by all forms vanishing at  $p_i$ , and the *m*th symbolic power of I is simply  $I^{(m)} = I(p_1)^m \cap \cdots \cap I(p_l)^m$ .

In [10], Ein, Lazarsfeld and Smith proved that if  $I \subseteq k[\mathbb{P}^n]$  is the radical ideal of a 0-dimensional subscheme of  $\mathbb{P}^n$ , where k is an algebraically closed field of characteristic 0, then  $I^{(mr)} \subseteq (I^{(r+1-n)})^m$  for all  $m \in \mathbb{N}$  and  $r \ge n$ . Letting r = n, we get that  $I^{(mn)} \subseteq I^m$  for all  $m \in \mathbb{N}$ . Hochster and Huneke in [15] extended this result to all ideals  $I \subseteq k[\mathbb{P}^n]$  over any field k of arbitrary characteristic.

In [5] Bocci and Harbourne introduced a quantity  $\rho(I)$ , called the resurgence, associated to a nontrivial homogeneous ideal I in  $k[\mathbb{P}^n]$ , defined to be  $\sup\{s/t: I^{(s)} \notin I^t\}$ . It is seen immediately that if  $\rho(I)$  exists, then for  $s > \rho(I)t$ ,  $I^{(s)} \subseteq I^t$ . The results of [10,15] guarantee that  $\rho(I)$  exists since  $I^{(mn)} \subseteq I^m$  implies that  $\rho(I) \leq n$  for an ideal I in  $k[\mathbb{P}^n]$ . For an ideal I of points in  $\mathbb{P}^2$ ,  $I^{(mn)} \subseteq I^m$  gives  $I^{(4)} \subseteq I^2$ . According to [5] Huneke asked if  $I^{(3)} \subseteq I^2$  for a homogeneous ideal I of points in  $\mathbb{P}^2$ . More generally Harbourne conjectured in [3] that if  $I \subseteq k[\mathbb{P}^n]$  is a homogeneous ideal, then  $I^{(rn-(n-1))} \subseteq I^r$  for all r. This led to the conjectures by Harbourne and Huneke in [13] for ideals I of points that  $I^{(mn-n+1)} \subseteq \mathfrak{m}^{(m-1)(n-1)}I^m$  and  $I^{(mn)} \subseteq \mathfrak{m}^{m(n-1)}I^m$  for  $m \in \mathbb{N}$ .

The second conjecture remains open. Cooper, Embree, Ha and Hoeful give a counterexample in [7] to the first for n = 2 = m for a homogeneous ideal  $I \subseteq k[\mathbb{P}^2]$ . The ideal I in this case is  $I = (xy^2, yz^2, zx^2, xyz) = (x^2, y) \cap (y^2, z) \cap (z^2, x)$  whose zero locus in  $\mathbb{P}^2$  is the 3 coordinate vertices of  $\mathbb{P}^2$ , [0:0:1], [0:1:0] and [1:0:0] together with 3 infinitely near points, one at each of the vertices, for a total of 6 points. Clearly the monomial  $x^2y^2z^2 \in (x^2, y)^3 \cap (y^2, z)^3 \cap (z^2, x)^3$  so  $x^2y^2z^2$  is in  $I^{(3)}$ . Note  $xyz \in I$  so  $x^2y^2z^2 \in I^2$ , but  $x^2y^2z^2 \notin \mathfrak{m}I^2$ .

Shortly thereafter a counterexample to the containment  $I^{(3)} \not\subseteq I^2$  was given by Dumnicki, Szemberg and Tutaj-Gasinska in [9]. In this case I is the ideal of the 12 points dual to the 12 lines of the Hesse configuration. The Hesse configuration consists of the 9 flex points of a smooth cubic and the 12 lines through pairs of flexes. Thus I defines 12 points lying on 9 lines. Each of the lines goes through 4 of the points, and each point has 3 of the lines going through it. Specifically I is the saturated radical homogeneous ideal  $I = (x(y^3 - z^3), y(x^3 - z^3), z(x^3 - y^3)) \subset \mathbb{C}[\mathbb{P}^2]$ . Its zero locus is the 3 coordinate vertices of  $\mathbb{P}^2$  together with the 9 intersection points of any 2 of the forms  $x^3 - y^3$ ,  $x^3 - z^3$  and  $y^3 - z^3$ . The form  $F = (x^3 - y^3)(x^3 - z^3)(y^3 - z^3)$  defining the 9 lines belongs to  $I^{(3)}$  since for each point in the configuration, 3 of the lines in the zero locus of F pass through the point, but  $F \notin I^2$  and hence  $I^{(3)} \notin I^2$ . (Of course this also means that  $I^{(3)} \notin \mathfrak{m}I^2$ .) More generally,  $I = (x(y^n - z^n), y(x^n - z^n), z(x^n - y^n))$  defines a configuration of  $n^2 + 3$  points called a Fermat configuration [1]. For  $n \geq 3$ , we again have  $I^{(3)} \notin I^2$  [14,17] over any field of characteristic not 2 or 3 containing n distinct nth roots of 1.

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