



Contents lists available at ScienceDirect

European Journal of Combinatorics

journal homepage: www.elsevier.com/locate/ejc

Best possible bounds concerning the set-wise union of families



Peter Frankl

Rényi Institute, Budapest, Hungary

ARTICLE INFO

Article history:

Received 14 March 2017

Accepted 27 August 2017

ABSTRACT

For two families of sets $\mathcal{F}, \mathcal{G} \subset 2^{[n]}$ we define their set-wise union, $\mathcal{F} \vee \mathcal{G} = \{F \cup G : F \in \mathcal{F}, G \in \mathcal{G}\}$ and establish several – hopefully useful – inequalities concerning $|\mathcal{F} \vee \mathcal{G}|$. Some applications are provided as well.

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1. Introduction

For a non-negative integer n let $[n] = \{1, \dots, n\}$ be the standard n -element set and $2^{[n]}$ its power set. A subset $\mathcal{F} \subset 2^{[n]}$ is called a *family*. If $G \subset F \in \mathcal{F}$ implies $G \in \mathcal{F}$ for all $G, F \subset [n]$ then \mathcal{G} is called a *complex (down-set)*. Let F^c denote the complement, $[n] \setminus F$ of F . Also let $\mathcal{F}^c = \{F^c : F \in \mathcal{F}\}$ be the *complementary family*. One of the earliest and no doubt the easiest result in extremal set theory, contained in the seminal paper of Erdős, Ko and Rado can be formulated as follows.

Theorem 0 ([1]). *Suppose that there are no $F, G \in \mathcal{F}$ satisfying $F \cup G = [n]$. Then*

$$2 \cdot |\mathcal{F}| \leq 2^n. \quad (1)$$

Proof. Just note that the condition implies $\mathcal{F} \cap \mathcal{F}^c = \emptyset$. \square

This simple result was the starting point of a lot of research.

Definition 1. For a positive integer t let us say that $\mathcal{F} \subset 2^{[n]}$ is *t -union* if $|F \cup G| \leq n - t$ for all $F, G \in \mathcal{F}$.

An important result of Katona [3] was the determination of the maximum size of t -union families. In the present paper we mostly deal with problems concerning several families.

E-mail address: peter.frankl@gmail.com.

<http://dx.doi.org/10.1016/j.ejc.2017.08.006>

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Definition 2. For positive integers t and r , $r \geq 2$ and non-empty families $\mathcal{F}_1, \dots, \mathcal{F}_r \subset 2^{[n]}$, we say that they are *cross t -union* if $|F_1 \cup \dots \cup F_r| \leq n - t$ for all $F_1 \in \mathcal{F}_1, \dots, F_r \in \mathcal{F}_r$.

Definition 3. For families \mathcal{F}, \mathcal{G} let $\mathcal{F} \vee \mathcal{G}$ denote their *set-wise union*,

$$\mathcal{F} \vee \mathcal{G} = \{F \cup G : F \in \mathcal{F}, G \in \mathcal{G}\}.$$

To state our main results we need one more definition. A family $\mathcal{F} \subset 2^{[n]}$ is said to be *covering* if $\{i\} \in \mathcal{F}$ for all $i \in [n]$. If \mathcal{F} is a complex, it is equivalent to saying that $\bigcup_{F \in \mathcal{F}} F = [n]$.

Let us use the term *cross-union* for cross 1-union.

Theorem 1. Suppose that $\mathcal{F}, \mathcal{G} \subset 2^{[n]}$ are cross-union and covering complexes. Then

$$|\mathcal{F} \vee \mathcal{G}| \geq \frac{7}{8}(|\mathcal{F}| + |\mathcal{G}|). \tag{2}$$

Example 1. Let $n \geq 3$ and define $\mathcal{A} = \{A \subset [n] : |A \cap [3]| \leq 1\}$. Then $|\mathcal{A}| = 2^{n-1}$ and $|\mathcal{A} \vee \mathcal{A}| = \frac{7}{8}2^n$ hold.

The above example shows that (2) is best possible.

Theorem 2. Suppose that $\mathcal{F}, \mathcal{G} \subset 2^{[n]}$ are non-empty cross-union complexes and \mathcal{F} is covering. Then

$$|\mathcal{F} \vee \mathcal{G}| \geq \frac{3}{4}(|\mathcal{F}| + |\mathcal{G}|). \tag{3}$$

The bound (3) is best possible as shown by the next example.

Example 2. Let $n \geq 2$ and define $\mathcal{A} = \{A \subset [n] : |A \cap [2]| \leq 1\}$, $\mathcal{B} = \{B \subset [n] : B \cap [2] = \emptyset\}$.

Theorem 3. Suppose that $\mathcal{F}, \mathcal{G} \subset 2^{[n]}$ are cross 2-union and covering complexes. Then

$$|\mathcal{F} \vee \mathcal{G}| > |\mathcal{F}| + |\mathcal{G}|. \tag{4}$$

Setting $\mathcal{F} = \{F \subset [n] : |F| \leq n - 3\}$, $\mathcal{G} = \{G \subset [n] : |G| \leq 1\}$ shows that (4) is not far from best possible.

2. The proof of Theorems 1 and 2

Let us first note that if $\mathcal{F}, \mathcal{G} \subset 2^{[n]}$ are cross-union then

$$|\mathcal{F}| + |\mathcal{G}| \leq 2^n. \tag{2.1}$$

Indeed the cross-union property guarantees $\mathcal{F} \cap \mathcal{G}^c = \emptyset$ and thereby $|\mathcal{F}| + |\mathcal{G}| = |\mathcal{F}| + |\mathcal{G}^c| \leq |2^{[n]}| = 2^n$.

In view of (2.1) the following statement easily implies Theorem 1.

Theorem 2.1. Let $\mathcal{F}, \mathcal{G} \subset 2^{[n]}$ be covering complexes. Then

$$|\mathcal{F} \vee \mathcal{G}| \geq \min \left\{ 2^n, \frac{7}{8}(|\mathcal{F}| + |\mathcal{G}|) \right\}. \tag{2.2}$$

Proof. Let us recall the following standard notations. For a family $\mathcal{H} \subset 2^{[n]}$ and $1 \leq i \leq n$,

$$\begin{aligned} \mathcal{H}(i) &= \{H \setminus \{i\} : i \in H \in \mathcal{H}\} \subset 2^{[n] \setminus \{i\}}, \\ \mathcal{H}(\bar{i}) &= \{H : i \notin H \in \mathcal{H}\} \subset 2^{[n] \setminus \{i\}}. \end{aligned}$$

Note also that for $i \neq j$ if $\{i\} \in \mathcal{H}$ then $\{i\} \in \mathcal{H}(\bar{j})$ as well. In particular, if \mathcal{H} is a covering complex then $\mathcal{H}(\bar{j})$ is a covering complex as well.

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