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### Q1 The context-contingent nature of cross-modal activations of the 22 visual cortex

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#### ABSTRACT

Real-world environments are nearly always multisensory in nature. Processing in such situations confers percep-16 tual advantages, but its automaticity remains poorly understood. Automaticity has been invoked to explain the 17 activation of visual cortices by laterally-presented sounds. This has been observed even when the sounds were 18 task-irrelevant and spatially uninformative about subsequent targets. An auditory-evoked contralateral occipital 19 positivity (ACOP) at ~250 ms post-sound onset has been postulated as the event-related potential (ERP) correlate 20 of this cross-modal effect. However, the spatial dimension of the stimuli was nevertheless relevant in all prior 21 studies where the ACOP was observed. By manipulating the implicit predictability of the location of lateralised 22 sounds in a passive auditory paradigm, we tested the automaticity of cross-modal activations of visual cortices. 23 128-channel ERP data from healthy participants were analysed within an electrical neuroimaging framework. 24 The timing, topography, and localisation resembled previous characterisations of the ACOP. However, the 25 cross-modal activations of visual cortices by sounds were critically dependent on whether the sound location 26 was (un)predictable. Our results are the first direct evidence that this particular cross-modal process is not 27 (fully) automatic; instead, it is context-contingent. More generally, the present findings provide novel insights 28 into the importance of context-related factors in controlling information processing across the senses, and call 29 for a revision of current models of automaticity in cognitive sciences. 30

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#### Introduction

The multisensory nature of real-world environments provides obvi-45 46 ous benefits for object recognition and goal-directed behaviour. In social situations, with many people speaking, seeing lip movements of the 47 next speaker helps us know where to attend and to understand what 48will be said next (e.g., van Wassenhove et al., 2005; Zion-Golumbic 03 50et al., 2013). Notwithstanding, in laboratory settings even simple sounds are shown to modulate the brain processing and/or facilitate 51perception of visual objects. At least two prominent types of processes 5253contribute to these effects: multisensory integration of information (reviewed in Ghazanfar and Schroeder, 2006; Stein, 2012; Murray and 54Wallace, 2012) and orienting of spatial attention to the sound location 5556(McDonald et al., 2000, 2003, 2012; Störmer et al., 2009; reviewed in 57Koelewijn et al., 2010; Hillyard et al., 2015). Importantly, each of these 58processes is subject to a differing degree to constraints imposed by the

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http://dx.doi.org/10.1016/j.neuroimage.2015.11.016 1053-8119/© 2015 Published by Elsevier Inc. current behavioural goals of the observer, which will determine the 59 efficacy of a particular cross-modal influence. While at least some 60 multisensory processes, such as those based on the detection of multi- 61 sensory simultaneity, occur independently of the task-relevance of the 62 other-modality signals (Matusz and Eimer, 2011; De Meo et al., 2015; Q4 Murray et al., in press; Ten Oever et al., in revisions), orienting of invol- 64 untary spatial attention might be less impervious to it. 65

It has been well established within the area of visual attention that 66 even perceptually salient stimuli, if task-irrelevant, fail to attract invol-67 untary shifts of spatial attention (task-set contingent attentional cap-68 ture; Folk et al., 1992; reviewed in Nobre and Kastner, 2014). This was 69 confirmed by experiments employing brain response measures. Func-70 tional magnetic resonance imaging (fMRI) studies have consistently 71 demonstrated that the ventral fronto-parietal brain network that serves 72 as the 'circuit breaker' for the ongoing goal-driven behaviour (i.e., it re-73 orients attention) responds predominantly, if not exclusively, to 'irrele-74 vant' stimuli as long as these stimuli share features with the target 75 (reviewed in Corbetta and Shulman, 2002). Notably, fMRI evidence 76 has suggested that there are no differences across sensory modalities 77 in engaging the ventral attentional network (in, typically visual, spatial 78 attention tasks; e.g., Downar et al., 2000). However, with their sub-79

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millisecond resolution, event-related potentials (ERPs) might be a 80 81 method particularly well-suited to study fast-paced, attentional process (e.g., Ding et al., 2014). In line with the behavioural and hemodynamic 82 83 evidence, ERP studies in visual attention have demonstrated that distracters in spatial attention tasks elicit brain responses indicative of 84 top-down suppression (distracter positivity, Pd), rather than attentional 85 selection (the N2pc component), of those distracters in space (Hickey 86 87 et al., 2009; Sawaki and Luck, 2010; Wykowska and Schubö, 2010, 88 2011; McDonald et al., 2012; Gaspar and McDonald, 2014). These find-89 ings have jointly suggested that in real-world environments stimuli not 90 matching the current goals of the observer have little ability to attract 91the observer's attention (with the exception, maybe, of stimuli whose task-relevance is 'hardwired' in the brain; e.g., Koster et al., 2004; 9293 Humphreys and Sui, 2015; Matusz et al., 2015a; Munneke et al., 2015). Research that employed stimuli from different sensory modalities 94 within visual spatial-attention tasks has been intimating a more nu-95 anced view on this issue. In one exemplary behavioural study, a short 96 sound to the left or right was shown to facilitate perception, as indexed 97 by d', of a faint LED array flash appearing subsequently at the sound 98 location (McDonald et al., 2000). Importantly, a recent pair of studies re-99 vealed the likely brain substrates of this cross-modal perceptual benefit. 100 Across a series of experiments, involving both auditory and visual tar-101 102 gets, lateralised sounds that preceded these targets were found to elicit 103 positive-going potentials over the contralateral occipital scalp starting at approximately 250 ms post-stimulus (ACOP; McDonald et al., Q5 2013a, 2013b; Feng et al., 2014). The positive links between the ACOP 105amplitude and both subjective and objective measures of perceptual 106 107processing, on the one hand, and the fact that the sounds were not predictive (i.e., informative) of target locations, on the other hand, are 108 consistent with shifts of exogenous, involuntary spatial attention under-109lying the observed cross-modal perceptual benefits (Hillyard et al., 110 111 2015).

112 The task-irrelevance of the ACOP-inducing sounds and the robustness of their effects in perception have opened the possibility that the 113 ACOP, and the exogenous attention orienting it might reflect, is 'auto-114 matic' in nature (McDonald et al., 2013a, 2013b). The Miriam-Webster 06 116 online dictionary defines 'automatic' as a quality: "(...) that allow[s] 117 something to work or happen without being directly controlled by a person". Similarly, a recent review of several models of automaticity 118 as a concept in cognitive research (Moors and de Houwer, 2006) high-119 lights that an automatic process is typically characterised by "features, 120121 such as unintentional, uncontrolled/uncontrollable, goal-independent, autonomous, purely stimulus driven, unconscious, efficient, and fast" 122(p. 297). Both sources, thus, emphasise predominantly the involuntary 123 nature of an 'automatic' process. The question of automaticity of invol-124 untary shifts of spatial attention is, as we described, hardly new. Howev-125126er, it regains its importance and novelty when considered more broadly, in real-world environments. Here, the multitude of channels providing 127sensory inputs is mirrored by the multitude of top-down mechanisms 128that control sensory processing (Doehrmann and Naumer, 2008; 129Summerfield and Egner, 2009; Nobre and Kastner, 2014). The study of 130131 brain and/or cognitive processes at the intersection of these bottom-132up and top-down influences, while insurmountable at a first glance, is both feasible and timely; the necessary background has been created 133by the traditional research involving rigorous experimental setups 134135with unisensory (visual or auditory) stimulation. At the same time, 136such investigations bring us closer to understanding the information processing as it occurs in situations more closely resembling naturalistic 137 environments. 138

One notable feature linking all previous empirical reports of the ACOP is that this component has been observed exclusively in response to task-irrelevant sounds that were spatially unpredictable. This opens the possibility that while the ACOP might indeed occur involuntarily, it depends on the stimulus context. The context can be understood as the "immediate situation in which the brain operates" (van Atteveldt et al., 2014) and, more specifically, the observer's expectations. If the circumstances in which the sounds are presented, 146 such as how (un)predictable the sound location is, determine the presence of the ACOP, this would speak against the automaticity of this 148 particular brain/cognitive process. More generally, this would call for a 149 revision of the existing conceptualisations of automaticity of cognitive 150 processes. 151

While task-relevance is one frequently studied form of top-down 152 control over sensory processing, within (reviewed in Nobre and 153 Kastner, 2014) and across the senses (e.g., Matusz and Eimer, 2011, Q7 2013; reviewed in Talsma et al., 2010; De Meo et al., 2015; Ten Oever 155 et al., in revisions), an increasing number of studies points to similar im- 156 portance of context-based influences. As demonstrated by traditional, 157 unisensory studies, context influences range from predictions 158 (Summerfield and Egner, 2009), through external and internal states 159 (e.g., remembering something better in a place where one had learnt 160 it), to fine-grained differences in stimulus features (e.g., the object's col- 161 our; Bar, 2004; Baddeley et al., 2009). These can affect the activity across 162 scales from a single neuron (reviewed in Gilbert and Li, 2013) to whole- 163 brain cognitive functions, including auditory stimulus parsing, visual 164 search or conditioning (e.g., Saffran et al., 1996; Baker et al., 2004; 165 Courville et al., 2006; Goujon and Fagot, 2013). More recently, the con- 166 text has been revealed as an important source of top-down control over 167 processing of multisensory information. While some studies demon- 168 strated the role of long-term experience and learning (e.g., Froyen 169 et al., 2009; Stevenson and Wallace, 2013; Barenholtz et al., 2014; Ten 170 Oever et al., 2014; Matusz et al., 2015b), many focused on effects oper- 171 ating at shorter timescales, such as expectations and/or experiences 172 built over the course of a single experimental session (e.g., Murray 173 et al., 2004, 2005; von Kriegstein and Giraud, 2006; Meylan and 174 Murray, 2007; Rosenblum et al., 2007; Beierholm et al., 2009; Powers 175 et al., 2009; Barakat et al., 2013; Chandrasekaran et al., 2009; Thelen 176 et al., 2012, 2014; Matusz et al., 2015c; Altieri et al., 2015), or even 177 across a pair of successive experimental trials (Wylie et al., 2009; 178 Murray et al., 2009; King et al., 2012; Sarmiento et al., in press). Consid- 179 ered together, the overwhelming evidence for the importance of 180 context-based factors for stimulus processing across the senses and 181 the concomitant limited existing data on the ACOP makes it plausible 182 that irrelevant sounds activate the visual cortex in some contexts but 183 not in others. Verifying the sensitivity of the ACOP to context-based in- 184 fluences defined as expectations was, thus, at the centre of the present 185 study. 186

More specifically, we investigated whether the ability of irrelevant 187 lateralised sounds to trigger the ACOP depends on the implicit predict- 188 ability of the location of these sounds. If the presence of the ACOP 189 indeed depends on the unpredictability of the sound location, this 190 would provide strong evidence against the automaticity of these 191 cross-modal activations, as an automatic process would be expected 192 to occur independently of the circumstances. Findings indicative of 193 such sensitivity would likewise have broader implications, in that they 194 would call for consideration and inclusion of top-down control mecha- 195 nisms based on context in future studies of automaticity of brain and 196 cognitive processes and, more broadly, theoretical models of automatic- 197 ity within the cognitive sciences. To test our hypothesis, we employed a 198 passive 'oddball' paradigm and measured ERPs elicited by lateralised 199 sounds that were presented while participants watched a muted, 200 subtitled movie. Critically, in some blocks ('spatially irregular contexts') 201 sounds were presented equi-probably to the left versus the right 202 hemispace, while in others ('spatially regular contexts') sounds were lo- 203 cated predominantly (80% trials) within one of the two hemispaces 204 (Fig. 1). The passive setup was employed to further ensure the task- 205 irrelevance of the activation-inducing sounds; in virtually all of the pre- 206 vious reports of the ACOP, the irrelevant sounds that elicited it shared 207 with the targets the lateralised nature of their presentation. This could 208 have rendered the former being perceived as potential targets and 209 thus (rudimentarily) task-relevant. To foreshadow our findings, we 210 have indeed found clear evidence that in our passive paradigm the 211

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