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MEDIAL PREFRONTAL CORTEX NEURONAL CIRCUITS 3 IN FEAR BEHAVIOR 4

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- Abstract—The medial prefrontal cortex (mPFC) has emerged 8 as a key structure involved in the modulation of fear behavior over the past few decades. Anatomical, functional and electrophysiological studies have begun to shed light on the precise mechanisms by which different prefrontal regions regulate the expression and inhibition of fear behavior. These studies have established a canonical view of mPFC functions during fear behavior with dorsal regions selectively involved in the expression of fear behavior and ventral regions linked to the inhibition of fear behavior. Although numerous reports support this view, recent data have refined this model and suggested that dorsal prefrontal regions might also play an important role in the encoding of fear behavior itself. The recent development of sophisticated approaches such as large scale neuronal recordings, simultaneous multisite recordings of spiking activity and local field potentials (LFPs) along with optogenetic approaches will facilitate the testing of these new hypotheses in the near future. Here we provide an extensive review of the literature on the role of mPFC in fear behavior and propose further directions to dissect the contribution of specific prefrontal neuronal elements and circuits in the regulation of fear behavior. © 2013 Published by Elsevier Ltd. on behalf of IBRO.
- Q3 Key words: medial prefrontal cortex, fear conditioning, fear extinction, neuronal circuits.

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- 10 Introduction
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Structural organization and functional role of the mPFC in fear 13 behavior 00

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Q2 Abbreviations: AC, anterior cingulate cortex; BA, basal amygdala; BLA, basolateral amygdala; CB, calbindin; Ce, central nucleus of the amygdala; CeM, central amygdala; CR, calretinin; CS, conditioned stimulus; dACC, dorsal anterior cingulate cortex; ERK, extracellularregulated kinase; IB, low-threshold spiking or intrinsic bursting; IL, infralimbic; LA, lateral amygdala; LFP, local field potential; LTP, longterm potentiation; LTD, long-term depression; mITC, medial intercalated amygdala neurons; mPFC, medial prefrontal cortex; MD, medio-dorsal thalamus; NIB, non-inactivating bursting; PL, prelimbic; PV, parvalbumin; PrCm, medial precentral cortex; RS, regular spiking ; SOM, somatostatin; US, unconditioned stimulus; vIPAG, ventro-lateral periaquaeductal gray.

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INTRODUCTION

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The medial prefrontal cortex (mPFC) is known to be 39 involved in the regulation of a broad range of behaviors 40 including emotional behaviors (Fuster, 2008), and 41 dysfunction of the mPFC has been related to psychiatric 42 conditions such as post-traumatic stress disorders 43 (PTSD) (Shin and Liberzon, 2010; Pitman et al., 2012). 44 Because of the potential clinical implications of these 45 findings, numerous animal studies have been conducted 46 over the past decades in order to reveal the precise role 47 of mPFC in the modulation of fear behavior. In the 48 laboratory, learned fear behavior is often established 49 using two simple forms of Pavlovian conditioning known 50 as auditory fear conditioning and contextual fear 51 conditioning (cued versus contextual fear conditioning). 52 Auditory fear conditioning is a rapid and robust learning 53 paradigm during which an animal learns to associate a 54 previously neutral tone (conditioned stimulus, or CS) 55 with a coincident aversive stimulus such as a mild 56 footshock (unconditioned stimulus, or US). Contextual 57 fear conditioning results from the association between 58 the context and the US. Re-exposure to the tone or the 59 conditioned context elicits an immobilization reaction 60 called "freezing", a behavioral measure of the learned 61

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association. Inhibition of conditioned fear can be obtained 62 if the animals are re-exposed to the tone or the 63 conditioned context in the absence of the footshock, a 64 new learning phenomenon called "fear extinction". In 65 this review, we provide a detailed description of our 66 current knowledge of mPFC functions related to 67 conditioned fear behavior in rodents with a particular 68 69 emphasis on the contribution of distinct mPFC regions. neuronal elements, and neuronal circuits. In the first 70 section, we discuss the principal mPFC regions involved 71 in the regulation of cued and contextual fear 72 conditioning that have been identified based on lesion, 73 74 pharmacological inactivation and activation. or 75 histochemical studies. In the second section, we review the prefrontal neuronal and cellular mechanisms 76 involved in the regulation of fear behavior. This includes 77 a description of the main molecular mechanisms in the 78 prefrontal cortex found to mediate conditioned fear 79 behavior. Section two also contains a discussion of 80 electrophysiological studies performed in 81 in vivo behaving animals aimed at understanding 82 how information in prefrontal neuronal circuits is generated, 83 stored and transferred, both locally and in concert with 84 85 other brain areas. Finally, in the last section we describe 86 the fine anatomy and function of identified prefrontal 87 neuronal elements in the context of conditioned fear 88 behavior and how recently developed optogenetic 89 strategies will be instrumental in proving a causal relationship between specific neuronal circuits and fear 90 behavior. 91

92 STRUCTURAL ORGANIZATION AND 93 FUNCTIONAL ROLE OF THE MPFC IN FEAR 94 BEHAVIOR

95 Gross anatomy of the rodent mPFC

In rodents, the mPFC can be separated based on 96 cytoarchitectonic and hodologic criteria in four distinct 97 areas which, from dorsal to ventral, are the medial 98 precentral cortex (PrCm) or medial agranular cortex 99 (AGm), the anterior cingulate cortex (AC, dorsal and 100 ventral parts), the prelimbic (PL) and the infralimbic (IL) 101 cortices (Leonard, 1969; Krettek and Price, 1977; Guldin 102 et al., 1981; Van Eden and Uylings, 1985; Ray and 103 Price, 1992; Ongur and Price, 2000). In particular, the 104 mPFC receives a strong input from the medio-dorsal 105 thalamus (MD), with the medial segment of the MD 106 projecting to the PL and IL, the lateral segment to both 107 the PL and dorsal AC and the paralamellar segment 108 contacting mainly the PrCm (Uylings and van Eden, 109 110 1990). These thalamic projections are mostly ipsilateral 111 and terminate in cellular layers I and III (Krettek and 112 Price, 1977; Groenewegen, 1988; Minciacchi and Granato, 1989; Kuroda et al., 1993). Besides these 113 thalamic afferents, the mPFC receives inputs from 114 numerous sub-cortical neuronal structures including the 115 ventral tegmental area (Thierry et al., 1973), the basal 116 ganglia (Groenewegen et al., 1997), the amygdala 117 (Krettek and Price, 1977; McDonald, 1987, 1991; 118 Shinonaga et al., 1994) and the hippocampus (Swanson, 119 Jay et al., 1989). Notably, hippocampal 120 1981;

alutamatergic inputs from the ventral CA1 and the 121 subiculum terminate in mPFC cellular layers I and III and 122 projections from the basolateral amygdala (BLA) 123 preferentially contact PL and IL regions (Swanson, 1981; 124 McDonald, 1987, 1991; Jay et al., 1989; Gigg et al., 125 1994). The mPFC also receives cortical projections 126 originating from the paralimbic cortex (enthorinal and 127 perirhinal cortices) that target the PL and IL, and from 128 somatosensory and motor cortices that terminate in the 129 dorsal prefrontal regions. The mPFC contains reciprocal 130 projections to the MD, hippocampus, BLA, and basal 131 ganglia where it participates in several cortico-striato-132 pallido-thalamo-cortical loops (Krettek and Price, 1977; 133 Alexander et al., 1986, 1990; Terreberry and Neafsey, 134 1987; Groenewegen, 1988; Sesack et al., 1989; 135 Alexander and Crutcher, 1990; Groenewegen et al., 136 1990; Takagishi and Chiba, 1991; Berendse et al., 1992; 137 Alexander, 1994; McDonald et al., 1996; McDonald, 138 1998; Floyd et al., 2000, 2001; Vertes, 2004). The 139 mPFC also projects directly to the ventro-lateral 140 periaquaeductal gray (vIPAG), a neuronal structure 141 involved in the genesis of freezing responses and 142 conditioned fear behavior (Vianna et al., 2001; Gabbott 143 et al., 2005). Finally the mPFC contains important 144 intrinsic ipsilateral connectivity. Namely, the PL region 145 projects to AC, the IL projects to both the PL and the 146 dorsal part of AC. The mPFC also contains an overall 147 homotopic contralateral connectivity (Beckstead, 1979; 148 Audinat et al., 1988: Sesack et al., 1989). 149

Lesion and pharmacological inactivation/activation studies

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The role of the frontal cortex in the modulation of fear 152 behavior has long been discussed. An early evidence of 153 mPFC involvement in learned fear can be traced back 154 more than 50 years ago with experimental data showing 155 that post-conditioning frontal lobotomy eliminates 156 conditioned fear responses in rats and monkeys (Streb 157 and Smith, 1955; Waterhouse, 1957; Maher and 158 McIntire, 1960). More recently, lesions and inactivation 159 have been used to evaluate the role of mPFC in the 160 acquisition and extinction of cued and contextual fear 161 conditioning in rodents. Because of conflicting results 162 gathered using these techniques and for the sake of 163 clarity, the main findings are first described in the text 164 below and further summarized in Table 1. Q4 165

Pre- and post-training lesions of the dorsal mPFC, 166 including AC and dorsal PL, enhanced cued and 167 contextual fear conditioning (Morgan and LeDoux, 1995; 168 Vouimba et al., 2000, but see Bissiere et al., 2008) and 169 blocked cued and contextual fear extinction (Morgan and 170 LeDoux, 1995). In addition, pre-training electrolytic or 171 pharmacological lesions of the ventral mPFC, including 172 the ventral PL and IL, had no effect on cued and 173 contextual fear conditioning but selectively blocked 174 extinction of cued fear conditioning (Morgan et al., 1993; 175 Morrow et al., 1999b, but see Lacroix et al., 2000, and 176 Fernandez Espeio, 2003). Post-conditioning lesions of 177 the ventral mPFC including the ventral PL and IL 178 produced somewhat inconsistent results as some 179 studies reported blockade of cued fear expression or 180

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