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## Mining frequent biological sequences based on bitmap without <sup>13</sup> <sup>2</sup> candidate sequence generation

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#### ABSTRACT

Biological sequences carry a lot of important genetic information of organisms. Furthermore, there is an inheritance law related to protein function and structure which is useful for applications such as disease prediction. Frequent sequence mining is a core technique for association rule discovery, but existing algorithms suffer from low efficiency or poor error rate because biological sequences differ from general sequences with more characteristics. In this paper, an algorithm for mining Frequent Biological Sequence based on Bitmap, FBSB, is proposed. FBSB uses bitmaps as the simple data structure and transforms each row into a quicksort list QS-list for sequence growth. For the continuity and accuracy requirement of biological sequence mining, tested sequences used during the mining process of FBSB are real ones instead of generated candidates, and all the frequent sequences can be mined without any errors. Comparing with other algorithms, the experimental results show that FBSB can achieve a better performance on both run time and scalability.

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#### Introduction

Biosequence mining can help people recognize interesting and important relationships between biological sequences for human genome research. There are usually different functions in the sequences, some of them are because of a special element, and some of them are the result of the interaction of a few elements. Biosequence mining is one of the key technologies to discover the single and mutual functions of the elements or the sequences. It can give reasonable prediction and guidance for making human nucleic acid, protein and other biological data. By identifying the protein-coding genes from DNA sequences, it can be found that some gene combination mode is related to drug allergy or appears frequently in some disease.

Bioinformatics refers to several subjects such as computer science, information science, and mathematics. Computer processing is a major part in the analyzing of biological data [1]. Even at the beginning of the biological research and development, many pattern mining algorithms for biosequence were proposed. Brazma [2] surveyed approaches to the pattern discovery in biosequences and placed these approaches within a formal framework that systematizes the types for algorithm comparison. It is found that most of the algorithms face efficiency problems when the pattern space grows rapidly.

Mining frequent patterns is an indispensable component in many data mining tasks such as association rule mining. These association rule mining algorithms can be partitioned into different categories. Apriori [3] and FP-growth [4] are two classic algorithms. Apriori uses candidate generate-test technique and FPgrowth is based on a tree structure which records the sequence paths without candidate generation. The algorithms based on them can be classified in terms of whether there is candidate generation. Another classic algorithm is Eclat [5]. It is different because it expresses the dataset vertically. Algorithms can also be classified by horizontal or vertical dataset expression forms. Bit-TableFI [6] is BitTable-based and the BitTable is horizontally and vertically indexed. Although efficient bit wise operations are used, candidate generation and test ensure that BitTableFI suffers with high computational costs. Index-BitTableFI [7] is proposed to solve this problem. Index array and the correlative calculate method are applied in using BitTable horizontally. A breadth-first search strategy is used for quick identification of the co-occurrence items and depth-first search is for mining all the frequent itemsets in different levels. DBV-Miner [8] is presented by Bay et al. and developed for mining frequent closed itemsets. Dynamic Bit-Vector(DBV) and a lookup table are used, and the support of itemsets can be quickly computed by the intersection between two DBVs.

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Bay et al. presented more algorithms based on various data structures, like lattice-based algorithms [9–11] and N-list based algorithm [12]. Sequential pattern mining is originally put forward by Agrawal et al. [13], who also presented three sequential mining algorithms. Afshar formally defined the maximal frequent sequence and proposed the corresponding algorithm MaxSequence [14]. MaxSequence needs to generate and test candidate maximal sequences, and a compressed prefix tree is used to store maximal frequent sequences. When the database is larger, the overhead for maintaining and storing the prefix tree compromises the scalability of the algorithm. A highly compressed lattice storage structure and a breadth-first approach are used by FMMSP [15], and maximal frequent sequences and closed frequent sequences are mined quickly without candidate generation.

14 15 Because of the nature of biology data, the above algorithms are 16 not necessarily suitable for biological data mining. There are more 17 algorithms designed for biosequences these days. TRFinder [16] 18 algorithm seeks tandem repeats which can cause human disease 19 and consist of two or more copies of nucleotide patterns. A 20 probabilistic model and a statistical criteria collection are used to 21 detect tandem repeats. REPuter [17] is based on a suffix tree and a 22 sequence alignment technique for detecting various types of 23 repeats in DNA, it circumscribes the wide scope of repeat analysis, 24 but it is not efficient for mining frequent repeats. BioPM algorithm 25 [18] was developed for protein sequence mining and it introduces 26 the concept of multiple supports so as to improve performance 27 and efficiency. The mMbioPM [19] algorithm optimizes the struc-28 ture of hash lists to improve the efficiency of BioPM and reduces 29 the run time. However, the efficiency of the BioPM algorithm and 30 its improvements are not quite ideal because of the large scale of 31 the projected database when the minimal support is lower. MSPM 32 [20] is based on the prefix-tree structure, and it presents the 33 concept of primary pattern which makes the degree of the prefix 34 tree a constant. By avoiding too many short patterns generations, 35 the scale of the prefix-tree will not be too large. Its efficiency is 36 much better but it may miss frequent sequences. An index-based 37 approach [21] proposes an interesting measure for meaningful 38 biological information. Each leaf node in the tree structure is an 39 array whose length is variable. The transaction ID and starting 40 position of each sequence are stored in the arrays for memory 41 reduction. CBFMM [22] mines nucleotide and protein sequence 42 with a variety of FP-tree-based model definitions. Repetition 43 detection in biological data is required for potential malfunction 44 and disease identification. DPMine [23] is designed for colossal 45 sequence discovery from biological dataset. It integrates a DPT+ 46 tree, a doubleton data matrix and a one-dimensional array to find 47 doubleton patterns which may further generate colossal sequen-48 ces. For irrelevant regions in biological sequence evolution such as 49 mutations, gap constraints need to be considered. DFSG [24] is 50 designed for the sequences which are not conserved. For biological network analysis, graph simplification technology is used, aiming 51 52 to reduce the graph size [25]. An overview is given in [26] to 53 illustrate how the various frequent pattern mining algorithms can 54 be used for human bioinformatic applications.

55 The FBSB algorithm, reported here, is proposed to mine fre-56 quent biosequences with a high efficiency and less memory space 57 requirements. It first calculates the supports of the items for fre-58 quency mining and records the end position value of each 2-59 sequence to form a bitmap which is further used for frequent 2-60 sequence mining and pattern growth. A quicksort list is created for 61 fast connecting sequences in the same biosequence. Two sequen-62 ces in the quicksort with the position values next to each other in 63 an ascending order can be connected easily by adding the last item 64 of the second sequence to the first sequence, and the position 65 value of the second sequence is used as the position value of the new sequence. The bitmap is updated and the storage space is 66

released constantly. There is no candidate generation and every frequent sequence can be obtained. The algorithm can satisfy the requirements of biological data mining because of its good efficiency and high quality results. Experimental results show that FBSB is much better when compared with other methods.

The remainder of the paper is organized as follows. Section 2 introduces the problem definition about biological sequences and bitmap formation. Section 3 develops the FBSB algorithm and gives some examples. Section 4 presents the performance study of FBSB algorithm. Section 5 contains the concluding remarks.

### Preliminaries and problem definitions

#### Problem definition

DNA and protein sequences are two typical types of biosequences. It should be noted that there are differences between biosequences and general sequences, so some definitions are given as follows.

**Definition 1.** Let  $\Sigma$  be an alphabet, a sequence  $S = \langle s_1 s_2 ... s_m \rangle$  with  $s_i \in \Sigma(i=1,...,m)$  is called a DNA sequence if  $\Sigma = \{A,C,G,T\}$  consists of four nucleotides, or it is called a protein sequence if  $\Sigma$  consists of the 20 symbols for amino acids. A sequence can be called a *k*-sequence if it contains *k* nucleotides or amino acid symbols.

**Example 1.** Let  $\Sigma = \{a,b,c\}$  and  $S = \langle bacaab \rangle$ , *S* is a 6-sequence because there are six items in *S*.

**Definition 2.** Let sequence  $S_1 = \langle a_1, a_2, ..., a_m \rangle$  and sequence  $S_2 = \langle b_1, b_2, ..., b_n \rangle$  be two sequences (m < n) on the alphabet  $\Sigma$ .  $S_1$  is a subsequence of  $S_2$  if there exist integers  $i_1, i_2, ..., i_m$ , such that  $1 \le i_1 < i_2 < ... < i_m \le n$  and  $a_1 = b_{i_1}, a_2 = b_{i_2}, ..., a_m = b_{i_m}$ . It can also be said that  $S_2$  is a super sequence of  $S_1$ .

**Example 2.** Let  $S_1 = \langle bacaab \rangle$ ,  $S_2 = \langle aca \rangle$ ,  $S_3 = \langle bab \rangle$ .  $S_2$  is a subsequence of  $S_1$ , but  $S_3$  is not a subsequence of  $S_1$ . This is different from general sequences; as the items of the subsequence must occur contiguously in the super sequence.

**Definition 3.** Given a database of biosequences D and a biosequence S. The support of the biosequence S in D, denoted as  $\sup(S)$ , is the number of the sequences in D which contains S as its subsequence.

**Definition 4.** Given a biosequence database  $D = \{S_1, S_2, S_3, ..., S_{|D|}\}$ and a user-defined threshold  $\zeta$ , where |D| is the number of sequences in *D*. The minimal support min-sup can be calculated as min-sup =  $\zeta^*|D|$ . If the support of a biosequence *S* satisfies sup(*S*)  $\geq$  min-sup, *S* is called a frequent sequence in *D*. If *S* is a *k*sequence, it can be called a frequent *k*-sequence.

**Property 1.** For a sequence *S*, if *S* is an infrequent sequence, then any of its super sequences are also infrequent.

### Bitmap of the sequence

For efficient mining process, all the position values of the 2sequence occurrences are recorded. A bitmap is constructed to store the values and can be updated as the frequent sequences grow. A sequence may occur several times in the same database transaction, and all its occurrence positions should be put into a position array.

**Definition 5.** A bitmap is a two-dimensional table, where each 130 row represents the ID of a sequence in the database and each 131 column represents a sequence. A bitmap cell is denoted as  $Pos_i(S)$ , 132

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