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1 Occupational traumatic injuries among offshore seafood processors in

² Alaska, 2010–2015☆'☆☆'★

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ABSTRACT

Introduction: The US Coast Guard and Federal Occupational Safety and Health Administration have identified 17 the Alaskan offshore seafood processing industry as high-risk. This study used Coast Guard injury reports to 18 describe patterns of traumatic injury among offshore seafood processors, as well as identify modifiable hazards. 19 Methods: From the reports, we manually reviewed and abstracted information on the incident circumstances, 20 injury characteristics and circumstances, and vessel. Traumatic injury cases were coded using the Occupational 21 Injury and Illness Classification System, and a Work Process Classification System. Descriptive statistics 22 characterized worker demographics, injuries, and fleets. Results: One fatal and 304 nonfatal injuries among 23 processors were reported to the Coast Guard during 2010–2015 across multiple fleets of catcher-processor 24 and mothership vessels. The most frequently occurring injuries were: by nature of injury, sprains/strains/tears 25 (75, 25%), contusions (50, 16%), and fractures (45, 15%); by body part affected, upper extremities (121, 40%), 26 and trunk (75, 25%); by event/exposure resulting in injury, contact with objects and equipment (150, 49%), 27 and overexertion and bodily reaction (76, 25%); and by source of injury, processing equipment and machinery 28 (85, 28%). The work processes most frequently associated with injuries were: processing seafood on the 29 production line (68, 22%); stacking blocks/bags of frozen product (50, 17%); and repairing/maintaining/cleaning 30 factory equipment (28, 9%). Conclusions: Preventing musculoskeletal injuries, particularly to workers' upper 31 extremities and trunks, is paramount. Some injuries, such as serious back injuries, intracranial injuries, and finger 32 crushing or amputations, had the potential to lead to disability. Practical applications: Safety professionals and 33 researchers can use the study findings to inform future intervention efforts in this industry. Hazard control 34 measures should target: (a) overexertion from lifting and lowering objects and equipment; (b) equipment and 35 boxes falling and striking workers; (c) workers being caught in running machinery during regular operations; 36 and (d) slips, trips, and falls. 37

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47 **1. Introduction**

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Offshore seafood processors work in a demanding environment that
 combines the occupational safety and health challenges faced in the
 commercial fishing and food manufacturing industries. The U.S. seafood

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processing industry comprises onshore establishments and vessels 51 operating at sea that engage in the following activities: eviscerating 52 fresh fish by removing heads, fins, scales, bones, and entrails; shucking 53 and packing fresh shellfish; processing marine fats and oils; smoking, 54 salting, and drying seafood; canning seafood; and freezing seafood 55 (NAICS, 2017). Two types of vessels engage in extensive seafood pro- 56 cessing. Catcher-processors have the capacity both to harvest seafood 57 using various types of gear on deck, and then to process, package, and 58 freeze the catch in a factory below deck. Processor vessels - also 59 known as floating factories or "motherships" - receive the catch that 60 is harvested by other vessels and then process, package, and freeze it. 61 Vessels' specific processing and packaging activities, seafood products 62 (e.g., fillets, surimi, roe), and crew sizes vary by fleet. Fleets are groups 63 of vessels that operate in the same geographic region, fish for and/or 64 process the same species, and use the same type of gear (e.g., trawl, 65 longline, pot). Only U.S.-flagged vessels are permitted to participate in 66 fisheries within the U.S. Exclusive Economic Zone, which extends up 67 to 200 nautical miles offshore (NOAA, 2017a). The American Fisheries 68

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Act of 1998 (46 CFR Part 356) further limits foreign involvement in U.S.
fisheries and stipulates that U.S. citizens must retain 75% ownership and
control of these vessels (MARAD, n.d.).

72 In Alaska, processing seafood is a critical step in the supply chain that 73 brings this valuable natural resource to market. During 2015, Alaskan 74 fishermen harvested the majority of the nation's seafood, at 6 billion 75 pounds, and generated the largest portion of the national revenue, 76 at \$1.7 billion, with subsequent processing adding value to the product 77 (NMFS, 2016). That year, Alaska's Division of Environmental Health 78 approved seafood processing permits for 87 vessels that had the capa-79 bility to process over 5000 lb of seafood per day (Alaska Division of 80 Environmental Health, 2017). Approximately 3500 people worked onboard these catcher-processors and motherships, with only 6% 81 82 being Alaska residents (Alaska Department of Labor, 2017). Working 83 onboard these vessels in Alaska is difficult, requiring physical and 84 mental endurance. When recruiting employees, companies describe how the vessels operate in remote locations, are wet, cold, and noisy 85 86 environments, and the living conditions at sea are cramped. They 87 explain that processors' work shifts are long, and tasks typically 88 monotonous, with prolonged periods of standing, repetitive move-89 ments, and heavy lifting. Their photographs show processors wearing 90 personal protective equipment such as: slip-resistant boots; waterproof 91 pants, overalls, and jackets; gloves of various materials (depending on 92 task); hearing protection; safety glasses; and hard hats (Glacier Fish Company, 2017; Premier Pacific Seafoods, 2017; Signature Seafoods, 93 2017; Trident Seafoods, 2017). 94

95 The U.S. Coast Guard and Federal Occupational Safety and Health 96 Administration (OSHA) share jurisdiction over regulating worker safety 97 and health onboard catcher-processors and motherships in Alaska, with 98 OSHA's jurisdiction extending to 'uninspected vessels' under 5000 gross 99 tons when operating within 3 nautical miles from the coastline (OSHA, 100 2010). Both agencies have identified offshore seafood processing as 101 high-risk. Coast Guard regulations for processing vessels are more strin-102 gent than regulations for vessels that only harvest the catch, including classification and load line requirements (USCG, 2009). Factors that in-103 crease the safety and operational risks to fleets that engage in extensive 104 105 processing activities within a factory include: having sizeable crews; 106 utilizing processing and freezing machinery; using hazardous gases in refrigeration systems; and having the ability to freeze and store the 107 catch, allowing crews to operate in remote areas that are far from search 108 and rescue support (USCG, 2006). For all fleets, the Coast Guard's fatality 109 110 prevention activities focus on emergency preparedness. OSHA determined that offshore seafood processing was a high-hazard industry in 111 112 Alaska and therefore developed a Local Emphasis Program (LEP), 113 which is an enforcement strategy to address hazards that pose a particular risk to workers (OSHA, 2017a). The LEP has been in 114 115 effect for over a decade and established policies and procedures for regularly-programmed inspections (OSHA, 2016). OSHA's activities 116 focus on preventing fatal and nonfatal injuries and illnesses among 117 offshore processing workers. 118

Working offshore presents unique risks, including the potential for 119 120 vessel disasters and falls overboard. Risks vary by vessel and fleet. In 121 July 2016, the F/V Alaska Juris, an aging freezer-trawler built in the 1970s, sank in the Bering Sea more than 126 miles west of Adak, putting 122 123 at risk the lives of 46 crewmembers, who successfully abandoned ship and were rescued (NTSB, 2017). Recently, a report assessed vessel 124 125 disasters and fatalities due to traumatic injury during 2002-2014 in the Bering Sea/Aleutian Islands Pollock fleet (AFA fleet), which includes 126 catcher vessels, catcher-processors, and motherships. Among the 127 processor crewmembers, two fatal falls overboard in Alaskan waters 128 occurred in 2003 and 2007. In terms of fatality and vessel disaster fre-129 130 quency, this fleet was found to be among the safest as compared with other Alaskan fleets. However, the report also found that future research 131 was necessary to identify safety hazards related to nonfatal injuries 132 (Case, Lucas, & Mason, 2017). Nonfatal injuries and illnesses constitute 133 134 the vast majority of workplace incidents and can be severe, resulting in lowered productivity, lost worktime and wages, lowered quality of 135 life, and disability. 136

Working in a factory to manufacture food presents additional risks. 137 Hazards in the onshore seafood processing industry include exposures 138 to: bioaerosols containing allergens, microorganisms, and toxins; 139 bacterial and parasitic infections; excessive noise levels; low tempera- 140 tures; poor workplace organization; poor ergonomic practices; and 141 contact with machinery and equipment (Jeebhay, Robins, & Lopata, 142 2004). Risk factors for musculoskeletal disorders in this industry 143 include: highly repetitive and forceful upper extremity movements; 144 localized mechanic stress; awkward and/or static postures at 145 workstations; prolonged standing; and temperature extremes (Aasmoe, 146 Bang, Egeness, & Løchen, 2008; Kim, Kim, Son, & Yun, 2004; Nag, Vyas, 147 Shah, & Nag, 2012; Ólafsdóttir & Rafnsson, 2000; Quansah, 2005). Recent 148 studies of onshore seafood processing in Washington State and 149 Oregon have shown high rates of accepted workers' compensation 150 claims in this industry compared to others (Anderson, Bonauto, & 151 Adams, 2013; Syron, Kincl, Yang, Cain, & Smit, 2017). 152

Few occupational safety and health studies of the Alaskan commer-153 cial fishing industry have discussed nonfatal injuries and illnesses 154 among processors (Beaudet et al., 2002; Lucas, Kincl, Bovbjerg, Lincoln, 155 & Branscum, 2014; Neitzel, Berna, & Seixas, 2006; NIOSH, 2016a; 156 Syron, Lucas, Bovbjerg, Bethel, & Kincl, 2016). To date, no epidemiologic 157 study has focused solely on offshore processors across the multiple 158 catcher-processor and mothership fleets in Alaska. This study's objectives were to determine patterns of traumatic injury characteristics and 160 circumstances among offshore seafood processors working in Alaskan 161 waters during 2010–2015, as well as identify modifiable hazards. The 162 long-term goal of this research is to inform injury prevention strategies. 163

2. Methods	164

2.1. Case definition

Companies that operate commercial fishing industry vessels are 166 legally required to report to the Coast Guard any "injury that requires 167 professional medical treatment (treatment beyond first aid) and, if the 168 person is engaged or employed on board a vessel in commercial service, 169 that renders the individual unfit to perform his or her routine duties" 170 (Code of Federal Regulations, Title 46, Section 4.05-1). Companies use 171 the "CG-2692 Report of Marine Casualty" form to document the details 172 of incidents, including writing a narrative description of what occurred 173 (USCG, 2016). This study included all cases of fatal and nonfatal 174 traumatic injuries among seafood processors working in Alaskan 175 waters during 2010-2015 that were reported to the U.S. Coast Guard. 176 A traumatic injury was defined as: "any wound or damage to the 177 body resulting from acute exposure to energy... caused by a specific 178 event or incident within a single workday or shift" (BLS, 2016). Not 179 included in this study were disorders resulting from cumulative trauma 180 (e.g., carpal tunnel syndrome, repetitive motion strains, and noise- 181 induced hearing loss) or illnesses (e.g., infections, heart attacks, and 182 diabetes-related complications). Offshore seafood processors were 183 considered at work and exposed to potential hazards any time while 184 at sea, even if they were off duty. Processors complete tasks in the 185 factory and freezer, as well as offloading the frozen product from the 186 vessel once it returns to shore. Workers onboard catcher-processor 187 vessels sometimes perform a combination of tasks related to both 188 harvesting and processing the catch. For this study, if "combination" 189 workers were injured while performing deckhand duties related to 190 harvesting the catch, then they were not included as cases. 191

2.2. Data sources

The National Institute for Occupational Safety and Health (NIOSH) 193 Western States Division manages the Commercial Fishing Safety 194 Research and Design Program. This program's ongoing surveillance 195

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