



## Brief paper

# Priority-free conditionally-preemptive scheduling of modular sporadic real-time systems<sup>☆</sup>



Xi Wang<sup>a</sup>, Zhiwu Li<sup>b,c,\*</sup>, W.M. Wonham<sup>d</sup>

<sup>a</sup> School of Electro-Mechanical Engineering, Xidian University, Xi'an 710071, China

<sup>b</sup> Institute of Systems Engineering, Macau University of Science and Technology, Taipa 999078, Macau

<sup>c</sup> Key Laboratory of Electronic Equipment Structure Design, Ministry of Education, Xi'an 710071, China

<sup>d</sup> Department of Electrical and Computer Engineering, University of Toronto, Toronto, ON M5S 3G4, Canada

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## ABSTRACT

For a real-time system (RTS) processing both sporadic and (multiple-period) periodic tasks, this study presents a novel modular modeling framework to describe the parameters of tasks, conforming to the pertinent concepts and techniques of discrete-event systems (DES). A task is represented by an automaton synchronized by the modular models corresponding to its parameters. As a consequence, a DES model depicting the RTS is synchronized by the DES representing these tasks. Based on supervisory control theory, priority-free conditionally-preemptive (PFCP) real-time scheduling is solved by finding all the safe execution sequences. Finally, the PFCP scheduling is illustrated by real-world examples.

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## 1. Introduction

Supervisory control theory (SCT) (Cury, De Queiroz, Bouzon, & Teixeira, 2015; Ramadge & Wonham, 1987; Wonham & Cai, 2017) aims to discover general principles common to a wide range of application domains such as manufacturing systems, traffic systems, database management systems, communication protocols, and logistic (service) systems. SCT has been implemented for real-time scheduling (Alareqi, Gorges, & Liu, 2015; Fontanelli, Greco, & Palopoli, 2013; Veenman & Scherer, 2014) of real-time systems (RTS), in which real-time tasks are modeled following two different approaches: timed discrete-event systems (TDES) (Chen & Wonham, 2002; Janarthanan, Gohari, & Saffar, 2006; Park & Cho, 2008; Wang, Li, & Wonham, 2016) and (untimed) discrete-event systems (DES) (Wang, Li, & Wonham, 2017). For the TDES-based real-time scheduling, SCT can provide optimal schedulers satisfying the preemptive or non-preemptive scheduling policies defined in specifications. Wang et al. (2017) show that both preemptive and non-preemptive scheduling policies are conservative,

i.e., some scheduling specifications may not be consistent with the preemptive or non-preemptive scheduling policies. Based on SCT, a novel real-time scheduling principle, namely priority-free conditionally-preemptive (PFCP) real-time scheduling, is developed. Accordingly, some classic real-time scheduling policies such as fixed-priority (FP) scheduling (Liu & Layland, 1973), preemption threshold scheduling (PTS) (Wang et al., 2015; Wang & Saksena, 1999), and deferred preemption scheduling (DPS) (Baruah, 2005) are considered as consequences of the developed specifications.

SCT-based real-time scheduling (Chen & Wonham, 2002; Janarthanan et al., 2006; Park & Cho, 2008; Wang et al., 2016, 2017) is a newly-identified research topic. In this study, a formal and unified modular real-time task framework is developed, which can be utilized to model both multiple-period and sporadic tasks that are not constrained by periods. Based on this modeling framework, each RTS can be built via a three-step approach: (1) the task parameters are represented by modular DES models; (2) the task behavior is constrained by the modular DES models corresponding to its parameters; and (3) the RTS model is constrained by the DES real-time task models corresponding to the running tasks. According to the PFCP principle, all the safe execution sequences in an RTS processing both multiple-period periodic and sporadic tasks can be found. This model is applied to a real-world manufacturing example.

The rest of this paper is organized as follows. The modular real-time task model is described in Section 2. By applying the

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\* Corresponding author at: Institute of Systems Engineering, Macau University of Science and Technology, Taipa 999078, Macau.

E-mail addresses: [wangxi@xidian.edu.cn](mailto:wangxi@xidian.edu.cn) (X. Wang), [zhwli@xidian.edu.cn](mailto:zhwli@xidian.edu.cn) (Z. Li), [wonham@ece.utoronto.ca](mailto:wonham@ece.utoronto.ca) (W.M. Wonham).

supervisory control of DES to real-world systems, Section 3 reports applications of real-time scheduling with priority-free conditional-preemption. Further relevant issues are discussed in Section 4. Finally, Section 5 concludes this paper.

## 2. Modular sporadic RTS model

This study deals with sporadic RTS processing sporadic and/or multiple-period tasks. Sporadic RTS and the corresponding modular DES models are defined below.

### 2.1. Basic concepts

A DES plant is a generator  $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$  where  $Q$  is the finite state set;  $\Sigma$  is the finite event set (alphabet);  $\delta : Q \times \Sigma \rightarrow Q$  is the partial state transition function;  $q_0$  is the initial state; and  $Q_m \subseteq Q$  is the subset of marked states. In accordance with Wonham and Cai (2017),  $\Sigma^+$  is the set of all the possible strings of symbols in  $\Sigma$ . After adjoining the empty string  $\epsilon$ , the set of strings over the alphabet  $\Sigma$  is written as  $\Sigma^*$ , i.e.,  $\Sigma^* = \Sigma^+ \cup \{\epsilon\}$ . Function  $\delta$  can be extended to  $\delta : Q \times \Sigma^* \rightarrow Q$ . We write  $\delta(q, s)!$  to mean that  $\delta(q, s)$  is defined, where state  $q \in Q$  and string  $s \in \Sigma^*$ . The length  $|s|$  of a string  $s \in \Sigma^*$  is defined as  $|\epsilon| = 0$ ;  $|s| = k$ , if  $s = \sigma_1\sigma_2 \dots \sigma_k \in \Sigma^+$ . If  $L \subseteq \Sigma^*$ , the prefix closure of language  $L$  is denoted by  $\bar{L}$  consisting of all prefixes of strings of  $L$ . The closed behavior of generator  $\mathbf{G}$  is represented by formal language  $L(\mathbf{G}) := \{s \in \Sigma^* | \delta(q_0, s)!\}$  and the corresponding marked behavior is  $L_m(\mathbf{G}) := \{s \in L(\mathbf{G}) | \delta(q_0, s) \in Q_m\} \subseteq L(\mathbf{G})$ . Synchronous product (Wonham & Cai, 2017) is a standard operation to combine a finite set of DES into a single and more complex one. Given  $n$  DES, where  $L_i \subseteq \Sigma_i^*$  with  $\Sigma = \bigcup_{i \in \mathbf{n}} \Sigma_i$ , and  $\mathbf{n} := \{1, 2, \dots, n\}$ , the natural projection  $P_i : \Sigma^* \rightarrow \Sigma_i^*$  is defined by (1)  $P_i(\epsilon) = \epsilon$ , (2)  $P_i(\sigma) = \begin{cases} \epsilon, & \text{if } \sigma \notin \Sigma_i \\ \sigma, & \text{if } \sigma \in \Sigma_i \end{cases}$ , and (3)  $P_i(s\sigma) = P_i(s)P_i(\sigma)$ ,  $s \in \Sigma^*$ ,  $\sigma \in \Sigma$ .

The inverse image function of  $P_i$  is  $P_i^{-1} : Pwr(\Sigma_i^*) \rightarrow Pwr(\Sigma^*)$ . For  $H \subseteq \Sigma_i^*$ ,  $P_i^{-1}(H) := \{s \in \Sigma^* | P_i(s) \in H\}$ . The synchronous product of  $L_1, L_2, \dots, L_n$  is denoted by  $L_1 \| L_2 \| \dots \| L_n$  with  $L_1 \| L_2 \| \dots \| L_n := P_1^{-1}L_1 \cap P_2^{-1}L_2 \cap \dots \cap P_n^{-1}L_n$ .

### 2.2. Sporadic RTS model

In a sporadic RTS, a sporadic task has an irregular arrival time and an either soft or hard deadline; a periodic task has a regular arrival time and a hard deadline. The period of a periodic task could be: equal to the corresponding deadline (Liu & Layland, 1973), greater than the deadline (Nassor & Bres, 1991), or multiple (Wang et al., 2016). In case the minimum period of a multiple-period periodic task is equal to its maximum period, it is a traditional periodic task.

Suppose that a uniprocessor sporadic RTS  $\mathbb{S}$  processes a set of independent real-time tasks discussed above, i.e.,  $\mathbb{S} = \{\tau_1, \tau_2, \dots, \tau_n\}$ . For  $i \in \mathbf{n} = \{1, 2, \dots, n\}$ , if  $\tau_i \in \mathbb{S}$  is periodic, it is specified as a four-tuple  $\tau_i = (R_i, C_i, D_i, \mathbf{T}_i)$  with a release time  $R_i$ , a worst-case execution time (WCET)  $C_i$ , a hard deadline  $D_i$ , and a multiple-period  $\mathbf{T}_i$ , where  $R_i$ ,  $C_i$ , and  $D_i$  are integer multiples of the processor time unit. A multiple-period (Wang et al., 2016) is a period set containing several possible periods (positive integers): the minimum (resp., maximum) period is represented by  $T_{i_{\min}}$  (resp.,  $T_{i_{\max}}$ ). Thus, we have  $\mathbf{T}_i = [T_{i_{\min}}, T_{i_{\max}}]$ . Only a period  $T$  in  $\mathbf{T}_i$  of task  $\tau_i$  is selected in each scheduling period. Normally,  $D_i$  is ignored in case  $D_i = T_{i_{\max}}$ . Since release time and periods are not assigned to sporadic tasks, a sporadic task is specified by a pair  $\tau_i = (C_i, D_i)$ .

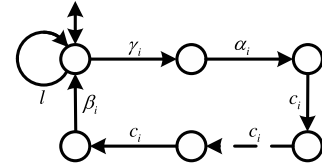


Fig. 1. WCET model.

### 2.3. Modular DES models

In accordance with Wang et al. (2017), the alphabet (set of event labels)  $\Sigma_i$  describing the processor's behavior to execute task  $\tau_i$  is:

- $\gamma_i$ : task  $\tau_i$  is released;
- $\alpha_i$ : the execution of  $\tau_i$  is started;
- $\beta_i$ : the execution of  $\tau_i$  is completed;
- $c_i$  ( $i \in \mathbf{n}$ ):  $\tau_i$  starts to be processed in the processor for one processor time unit; and
- $l$ : empty action, i.e., no task is being processed in the following processor time unit.

The global event set of an RTS  $\mathbb{S}$  is denoted by  $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \dots \cup \Sigma_n$ . As a consequence, the WCET, release time, period, and deadline of a task running in  $\mathbb{S}$  are described by languages over  $\Sigma$ . The alphabet  $\Sigma$  is partitioned into controllable events and uncontrollable events. Formally,  $\Sigma = \Sigma_c \dot{\cup} \Sigma_u$ , with  $\Sigma_c = \{\alpha_i, c_i | i \in \mathbf{n}\}$  as the controllable event subset and  $\Sigma_u = \{\beta_i, \gamma_i, l | i \in \mathbf{n}\}$  as the uncontrollable event subset. Moreover,  $\Sigma_i$  is also partitioned into the operation event set  $\Sigma_o = \{\gamma_i, \alpha_i, \beta_i | i \in \mathbf{n}\}$  and the execution event set  $\Sigma_e = \{c_i, l | i \in \mathbf{n}\}$ .

#### 2.3.1. WCET model

A sporadic (resp., periodic) task  $\tau_i$  describes an infinite stream of jobs arriving at irregular (resp., regular) time intervals. Suppose that  $n$  sporadic/periodic tasks are running in an RTS. For each task  $\tau_i$ ,  $i \in \mathbf{n}$ , its WCET  $C_i$  is represented by a DES generator  $\mathbf{G}_i^c$ . Suppose that  $s \in \Sigma^*$  and accordingly  $s^*$  represents  $\epsilon + s + s^2 + \dots$ . The marked language  $L_m(\mathbf{G}_i^c)$  over  $\Sigma$  describes the execution sequences of task  $\tau_i$ , i.e.,

$$L_m(\mathbf{G}_i^c) = (uvw)^* \tag{1}$$

with

- $u, w \in L_l = l^* = \epsilon + l + l^2 + \dots$ ; and
- $v \in L_a = (\gamma_i \alpha_i (c_i)^{C_i} \beta_i)^*$ .

Language  $L_a$  (resp.,  $L_l$ ) represents  $\tau_i$  arriving (resp., never arriving), in which  $(c_i)^{C_i}$  means the execution of task  $\tau_i$ . The WCET model is depicted in Fig. 1.

#### 2.3.2. Release time and period model

Generally, for any periodic task  $\tau_i$ , we have  $C_i \leq T_{i_{\min}}$ . Let  $T$  be an arbitrary period, i.e.,  $T_{i_{\min}} \leq T \leq T_{i_{\max}}$ . In a period, after the release of  $\tau_i$ ,  $C_i$  time units are utilized to process  $\tau_i$ . According to Wang et al. (2017), the other  $T - C_i$  time units are occupied by other tasks  $\tau_j$  in  $\mathbb{S}$  ( $\tau_i \neq \tau_j$ ) or alternatively left idle. A release time and period model for  $\tau_i$  is presented, which describes the system behavior before its first release and in each period  $T$ . The marked language  $L_m(\mathbf{G}_i^T)$  is over  $\Sigma$ , i.e.,

$$L_m(\mathbf{G}_i^T) = \bar{L}_r + u(\gamma_i v)^* \tag{2}$$

with

- $u \in L_r = \{s | s \in (\Sigma_e - \{c_i\})^* \ \& \ |s| = R_i\}$ ; and

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