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Review

Targeting of gastrointestinal tract for amended delivery of protein/peptide therapeutics: Strategies and industrial perspectives

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ABSTRACT

Delivery of proteins/peptides to the gastrointestinal (GI) tract via peroral/oral route involves tremendous challenges due to unfavorable environmental conditions like harsh pH, presence of proteolytic enzymes and absorption barriers. Detailed research is being conducted at the academic and industrial levels to diminish these troubles and various products are under clinical trials. Several approaches have been established to optimize oral delivery of proteins and peptides and can be broadly categorized into chemical and physical strategies. Chemical strategies include site specific mutagenesis, proteinylation, glycosylation, PEGylation and prodrug approaches, whereas physical strategies comprise formulation based approaches including application of absorption enhancers and metabolism modifiers along with delivering them via colloidal carrier systems such as nanoparticles, liposomes, microparticles, and micro- and nano-emulsions. This review stands to accomplish the diverse aspects of oral delivery of proteins/peptides and summarizes the key concepts involved in targeting the biodrugs to specific sites of the GI tract such as the intestine and colon. Furthermore some light has also been shed on the current industrial practices followed in developing oral formulations of such bioactives.

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73

74 **1. Introduction**

75 Despite several strides having been taken in the field of medical
 76 science, disorders such as hypertension, migraine, neurodegenerative
 77 disorders, cancer, diabetes, and infectious diseases have been left un-
 78 tamed. Conventional therapeutic moieties like anti-depressants (inef-
 79 fective in 20–50% of patients) and beta-blockers (ineffective in 15–35%
 80 of patients) underperform regularly [1,2]. Such circumstances have
 81 forced researchers to accelerate the development of novel drug delivery
 82 systems augmenting therapeutic efficacy focusing on customized medical
 83 care by considering the genetic make-up of concerned individuals
 84 [3]. It is in these cases, that proteins and peptides have found special
 85 utility. Expansion in recombinant DNA technology, solid-phase peptide
 86 synthesis, hybridoma technologies and other facets of proteomics-
 87 genomics have contributed enormously to the development of promis-
 88 ing biological therapeutics [4,5]. These products have added advantages
 89 viz. high specificity and activity, minimal drug–drug interactions and
 90 toxicity. An industrial boom in this sector came with the successful
 91 production of therapeutic proteins viz. interferons, human insulin, epi-
 92 dermal growth factor, anti-thrombotic agents, erythropoietin, anti-he-
 93 mophilic factors, anti-hepatitis A and B vaccines, etc. Currently, an
 94 estimated 400 biologics are undergoing advanced clinical trials, and
 95 around 40 peptide–protein drugs are available in the market globally
 96 [6,7]. Most of the therapeutic peptide and protein molecules are admin-
 97 istrated via parenteral route (IM, SC or IV). However chronic diseases
 98 such as diabetes, cancer, leishmaniasis etc. require frequent invasive ad-
 99 ministration leading to poor patient compliance. The best accessible al-
 100 ternative then appears to be the oral route perhaps, though the desired
 101 bioavailability for proteins–peptides is highly questionable.

102 The schemata of delivering biotechnologies via the oral route com-
 103 prise the following (i) formulation into a suitable oral delivery system,
 104 (ii) protection from the unfavorable environment of GI tract, (iii) en-
 105 hancing absorption thereby increasing bioavailability, (iv) sustaining
 106 therapeutic effect, (v) site specific targeting, and (vi) enhancing stabi-
 107 lity. Considerable success has already been achieved via these strategies
 108 (oral desmopressin and cyclosporin A have been developed), however
 109 room for massive improvement still exists and it is with this intention
 110 that the current review has been compiled. We ponder briefly upon
 111 the relevant anatomic sites, absorptive mechanisms and obstructive
 112 pathways that regulate systemic trafficking of proteins/peptides. This
 113 is followed by a myriad of chemical or formulation assisted site specific
 114 and receptor based targeting strategies. The current perspective ends
 115 with a short writeup on delivery technologies which have been indus-
 116 trially realized.

117 **2. GI tract: relevant anatomical features**

118 Majorly there are four types of cellular structures which line up end
 119 to end forming the cylindrical shaped absorptive framework of the in-
 120 testine. More than 99% of the intestinal epithelia is covered by

enterocytes, a specialized array of columnar epithelial cells, whose api- 121
 cal surface is morphed into multiple projections known as microvilli. 122
 Their principal role is absorption of digested nutrients utilizing passive 123
 and active processes. Along with maximizing the surface area for ab- 124
 sorption; microvilli also subserve digestion by secreting membrane as- 125
 sociated hydrolases. The surface of the enterocytes is covered with 126
 glycocalyx and mucin (a viscous glycoproteinaceous substance) which 127
 is secreted by goblet cells. A third small yet distinct population known 128
 as M-cells (making up less than 1% of GI tract coverage) are present 129
 in specific regions known as Peyer's patches. The M cells are dedicated 130
 cells which have proficiency in absorbing colloidal sized particles 131
 (Fig. 1). 132

133 Physiologically, they work as immunosurveillants responsible for 133
 absorbing various kinds of antigens, macromolecules, microorganisms, 134
 and certain types of particles, which are then conveyed to the underly- 135
 ing lymphoid system to induce immune responses. M-cells or micro- 136
 fold cells lack the usual microvilli of enterocytes and exhibit microfolds 137

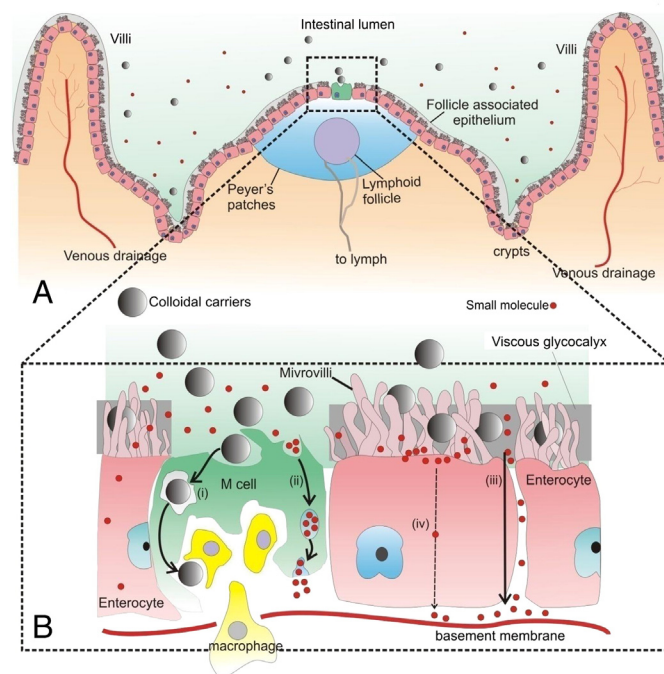


Fig. 1. (A) Cross section of intestinal epithelia showing distribution of M cells and enterocytes along with associated anatomical structures. (B) A magnified view of cells involved in absorptive processes namely (i) phagocytosis, (ii) pinocytosis, (iii) paracellular transport and (iv) transcellular transport. It is evident that colloidal sized entities (proteins, peptides or their carriers) find it difficult to approach apical surfaces of enterocytes due to viscous glycocalyx and microvilli. Therefore, they are more easily up taken by M cells. Smaller molecules are more adept in utilizing transcellular and paracellular transport.

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