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Prostate Ablation Using High Intensity Focused Ultrasound: The Potential Role for Patient Preference Information— Literature Review

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14 Purpose: The FDA (Food and Drug Administration) recently allowed the 15marketing of 2 high intensity focused ultrasound devices for prostate tissue 16 ablation indications after previous rejections for a prostate cancer indication due to insufficient data on clinical effectiveness or direct patient benefit. We 18 reviewed the safety and effectiveness of high intensity focused ultrasound and 19 knowledge regarding patient preferences, such as tolerance for adverse events 20associated with high intensity focused ultrasound ablation of tissue, in men 21with prostate cancer. This may inform decision making for device developers and the FDA.

23Materials and Methods: We searched PubMed® and gray literature, including 24FDA reports for relevant data on 1) the safety and effectiveness of primary 2526and salvage high intensity focused ultrasound of localized prostate cancer in studies performed in or outside the United States and 2) patient preference 27information on high intensity focused ultrasound related safety and effec-28tiveness outcomes. 29

30**Results**: We found no high intensity focused ultrasound effectiveness data 31relevant to clinical decision making, such as overall or prostate cancer specific 32survival, in the United States. Long-term effectiveness data from outside the 33 United States were sparse and outcomes varied. We also found no patient 34preference data on high intensity focused ultrasound treatment in men with 35prostate cancer.

36 Conclusions: The lack of long-term high intensity focused ultrasound onco-37 logical data in an American population has brought new challenges to 38prostate cancer stakeholders, including clinicians, patients and the FDA. 39 Patient preference information from future patient studies on high intensity 40 focused ultrasound could provide additional information to patients, clini-41 cians, and current and prospective device developers. In addition, it can be 42used by regulators in risk-benefit evaluations of this class of treatment 43devices. 44

The corresponding author certifies that, when applicable, a statement(s) has been included in the manuscript documenting institutional

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review board, ethics committee or ethical review board study approval; principles of Helsinki Declaration were followed in lieu of formal ethics

committee approval; institutional animal care and use committee approval; all human subjects provided written informed consent with gua-

Abbreviations and Acronyms
AS = active surveillance
300 = bladder outlet obstruction
${}^{-}\!DA=Food$ and $Drug$
HFU = high intensity focused
IEF = International Index of Erectile Function
$DUS = outside \ United \ States$
$DHIFU = primary \; HIFU$
PPI = patient preference
nformation
PSA = prostate specific antigen DALY = quality adjusted life-yea
2ALT = quality adjusted meryea $2oL = quality of life$
RP = radical prostatectomy
SG = standard gamble
sHIFU = salvage HIFU
TTO = time trade-off
JI = urinary incontinence
JTI = urinary tract infection

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Accepted for publication February 3, 2018.

THE JOURNAL OF UROLOGY® © 2018 by American Urological Association Education and Research, Inc.

No direct or indirect commercial incentive associated with publishing this article.

rantees of confidentiality; IRB approved protocol number; animal approved project number.

https://doi.org/10.1016/j.juro.2018.04.066 Vol. 200, 1-8, September 2018 Printed in U.S.A.

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Key Words: prostatic neoplasms, United States Food and Drug Administration, patient preference, clinical decision making, equipment and supplies

119 PROSTATE cancer is the most common malignancy 120and the third leading cause of cancer related 121death in men in the United States and Europe.¹ 122Current standard treatment options for localized 123prostate cancer range from AS to definitive in-124terventions, including RP or radiation therapy. 125AS carries a significant mental burden and 126 delayed treatment could lead to disease progres-127 sion.² While there may be excellent long-term 128 oncologic outcomes, RP and external beam radia-129 tion therapy are often associated with significant 130 morbidity that negatively affects patient QoL.³ 131HIFU is a minimally invasive technology which 132offers an alternative to traditional therapies, 133 especially in the low and intermediate risks 134 groups in primary and salvage settings in OUS 135and American studies.⁴⁻⁷ 136

The FDA recently allowed 2 HIFU devices to be 137marketed as tools for prostate tissue ablation. This 138 came after rejecting prior premarket applications 139with prostate cancer indications, which failed to 140 demonstrate appropriate clinical effectiveness or 141 direct patient benefit⁸ with respect to prostate cancer 142treatment. Although clinical outcome data such as 143disease-free survival is unavailable in the United 144States, evidence of tissue ablation is known, including 145posttreatment biopsy data and the adverse event 146 profile of HIFU. Since the translatability of post-147treatment prostate biopsy information to disease-free 148survival is unclear, patients and other stakeholders 149 must currently make a decision regarding HIFU 150treatment in the absence of relevant clinical outcome 151data but in light of known potential adverse events 152and tissue ablation effectiveness. 153

As more devices for and treatment methods of 154prostate tissue ablation become available, patient 155perspectives about health outcomes offer valuable 156information that may not be captured by clinical 157outcomes. Preferences assign values to different 158 events and can shed light on the safety and effec-159 tiveness trade-offs that patients are willing to make 160 when undergoing treatment. PPI, such as tolerance 161 for adverse events associated with HIFU ablation of 162tissue in men with prostate cancer, could inform 163decision making by patients, clinicians, device 164 sponsors and the FDA. 165

This article provides a literature review of what is currently known about the results of HIFU for prostate tissue ablation in patients with prostate cancer as well as PPI on HIFU related outcomes. It identifies current gaps in the literature while suggesting that PPI might prove useful to multiple stakeholders to assess the role of these devices in men with prostate malignancy.

METHODS

We performed 2 literature searches. 1) We searched the literature to review the outcomes of primary and salvage HIFU in men with localized prostate cancer in studies OUS and in the United States. Publications were sourced primarily using the PubMed® database as well as the gray literature, including FDA reports. The search was performed using combinations of the key words HIFU, high intensity focused ultrasound and prostate cancer. Articles were included if they were published in peer reviewed journals. Studies with the same patient series, review articles and abstracts not reported in English were excluded from analysis.

Abstracts resulting from this search were reviewed for relevant clinical information. Full manuscripts were retrieved for clinical effectiveness data and rates of adverse events following HIFU. Reference lists of manuscripts were also checked for additional relevant studies.

2) We also reviewed patient preference studies using patient preference, prostate cancer, stated preference and quality of life as key words in the title and the abstract to find peer reviewed publications in the PubMed® and the gray literature. Studies on cancer screening, clinical research, explorations of novel treatment methods and method guidelines were excluded from analysis.

RESULTS

Our literature search included 20 OUS studies and 2 performed only in subjects in the United States and Canada with the majority from the United States. We noted differences in inclusion and exclusion criteria, and the study design, which made direct comparisons of outcome and safety data difficult. These differences included varying definitions of biochemical failure based on the Phoenix, ASTRO (American Society for Radiation Oncology) and Stuttgart definitions, inclusion of retreatments (multiple HIFU sessions) in effectiveness metrics, differences in patient population, differences in outcome definitions, heterogeneity in risk stratification, differences in followup, differences in ablation extent and differences in definitions of complications.

Primary and Salvage Treatment Effectiveness and Safety

To our knowledge no randomized controlled trials have been done to compare the effectiveness of primary or salvage HIFU in men with localized prostate cancer with that of other conventional management

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