



# Probabilistic Theory of Plastic Mechanism Control for Steel Moment Resisting Frames



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## ABSTRACT

This work aims at the development of an advanced method for the seismic design of Moment Resisting Frames (MRFs) based on a target value of the failure probability in the attainment of a collapse mechanism of global type for stochastic frames (considering the aleatoric uncertainty of the material properties). Therefore, the method herein presented constitutes the probabilistic version of the Theory of Plastic Mechanism Control (TPMC) already developed for frames with deterministic material properties. With reference to MRFs whose members have random values of the yield strength, when structural collapse is of concern, the failure domain is related to all the possible collapse mechanisms. Within the probabilistic TPMC, the term “failure” does not mean the attainment of a structural collapse, but the development of a collapse mechanism different from the global one.

The design requirements normally needed to prevent undesired collapse mechanisms are probabilistic events within the framework of the kinematic theorem of plastic collapse. The limit state function corresponding to each event is represented by a hyperplane in the space of random variables, so that the failure domain is a surface resulting from the intersection of the hyperplanes corresponding to the limit states representing the single failure events. Since plastic hinges in frame’s members are common to many different mechanisms, the single limit state events are correlated. Therefore, by applying the theory of binary systems and considering that the limit states are events located in series, the probability of failure can be computed by means of Ditlevsen bounds. This approach has been validated by means of Monte Carlo simulations.

In order to achieve a predefined level of reliability in the attainment of the design goal, the reliability analysis is repeated for increasing values of the overstrength factor of the dissipative zones to be used in TPMC, aiming to its calibration. Finally, on the basis of the results of a parametric analysis, a simple relationship to compute the value of the overstrength factor needed to include the influence of random material variability in the application of TPMC is proposed.

## 1. Introduction

It is well known that the control of the collapse mechanism is of primary importance in the seismic design of structures to assure adequate global ductility and energy dissipation capacity. Specifically, structures exhibiting soft storey or partial mechanisms are not able to exploit their plastic reserves and are subjected to damage concentration phenomena. For this reason, it is universally recognised that the optimum seismic performances are obtained when a collapse mechanism of global type occurs. Modern seismic codes (Eurocode 8, AISC) [1,2] provide simplified design rules to prevent unsatisfactory collapse mechanisms, such as the use of the so-called beam-column hierarchy criterion (strong column-weak beam design methodology according to American terminology) for Moment Resisting Frames (MRFs). However,

this criterion is usually able to prevent soft storey mechanism only, but it does not assure the development of a collapse mechanism of global type [3,4]. In order to overcome the drawbacks of code provisions, the Theory of Plastic Mechanism Control (TPMC) has been developed [3,4] by extending the kinematic theorem of plastic collapse to the concept of mechanism equilibrium curve. Such approach, including the influence of second order effects, allowed the definition of the design conditions to prevent all the undesired collapse mechanisms, up to an ultimate displacement compatible with the local ductility supply of structural members.

Up to now, TPMC has been already applied to deterministic structures having different seismic resistant schemes [5–11] and structural material [12]. The reason of the success relies on the robustness of the theoretical background based on the kinematic theorem of plastic

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## Nomenclature

$\alpha_0^{(g)}$	First order collapse mechanism multiplier of horizontal forces for the global mechanism	$cov$	Coefficient of variation
$\alpha_{0,ib,it}^{(t)}$	First order collapse mechanism multiplier of horizontal forces for the generic mechanism	$\mathbf{C}_x$	Vector of covariance of $\mathbf{x}$
$\beta_C$	Vector of reliability (or Cornell) indexes	$E[]$	Linear operator (expected value)
$\gamma^{(g)}$	The slope of the mechanism equilibrium curves for the global mechanism	$E_{ib,it}^{(t)}$	Failure event
$\gamma_{ib,it}^{(t)}$	The slope of the mechanism equilibrium curves for the generic mechanism	$F_k$	The seismic force applied at k-th storey
$\gamma_{ov}$	Overstrength factor	$G(\mathbf{x})$	Function of the random vector $\mathbf{x}$
$\Gamma^{(sb)}$	Vector of coefficients accounting for second order effects in case of global mechanism and shear band mechanisms	$h_k$	Storey height of the k-th storey
$\Gamma^{(up)}$	Vector of coefficients accounting for second order effects in case of global mechanism and upper partial mechanisms	$h_{ib}, h_{it}$	Storey height of the $i_b$ -th storey or $i_t$ -th storey
$\delta$	Top sway displacement	$h_{ns}$	Sum of the interstorey heights of the storeys involved by the generic mechanism
$\delta_{it}$	The design displacement compatible with the ductility supply of the structure	$i$	Column index
$\mu_G$	Mean value of G	$i_b, i_t$	Mechanism index
$\mu_x$	Vector of means of $\mathbf{x}$	$j$	Bay index
$\rho$	Correlation coefficient between $y_1$ and $y_2$	$k$	Storey index
$\rho_{ij}$	Correlation coefficient between i-th and j-th events	$M_{b,j,k}$	The plastic moment of the beam of j-th bay at k-th storey
$\sigma_G$	Standard deviation of G	$M_{c,i,k}$	the plastic moment of i-th column of k-th storey
$\sigma_G^2$	Covariance matrix of G	$n$	Size of the frame sample in MC simulation
$\Phi$	Standard Gaussian CDF	$n_0$	Number of the failure cases in MC simulation
$\mathbf{a}_0$	Vector of the known quantities	$n_b$	Number of bays for each storey
$\mathbf{b}_i, \mathbf{b}_j$	i-th and j-th row of $\mathbf{B}$ matrix	$n_c$	Number of columns for each storey
$\mathbf{B}$	Matrix of deterministic coefficients	$n_s$	Number of storeys
$\mathbf{B}_b^{(sb)}$	Submatrix of coefficients of sum of the plastic moments of beams at each storey with reference to events related to the “shear band” mechanisms	$N_{tot}$	Total number of possible mechanisms
$\mathbf{B}_c^{(sb)}$	Submatrix of coefficients of sum of the plastic moments of columns at each storey with reference to events related to the “shear band” mechanisms	$\mathbf{P}_f$	Vector of probability of the failure events
$\mathbf{B}_b^{(up)}$	Submatrix of coefficients of sum of the plastic moments of beams at each storey with reference to events related to the “upper partial” mechanisms	$P_f$	Probability of failure
$\mathbf{B}_c^{(up)}$	Submatrix of coefficients of sum of the plastic moments of columns at each storey with reference to events related to the “upper partial” mechanisms	$P_{f,i}$	Probability of failure of i-th event
		$P_{f,ij}$	Joint probabilities between i-th and j-th events
		$R$	Reliability factor
		$sb$	Shear band mechanisms
		$t$	Mechanism index
		$up$	Upper partial mechanisms
		$V_k$	The total gravity load applied at k-th storey
		$\mathbf{x}$	Vector random variables
		$\mathbf{x}_b$	Vector collecting the sum of the plastic moments of beams at each storey
		$\mathbf{x}_c$	Vector collecting the sum of the plastic moments of columns at each storey
		$y_1, y_2$	Independent standard Gaussian variables

collapse and on second order rigid plastic analysis. However, even in the case of structures designed by TPMC, undesired collapse mechanisms could occur when the effects of random material variability are taken into account. This is the case of stochastic steel frames, whose members have random plastic moments due to the aleatoric uncertainty of the yield strength of steel.

The problem of undesired effects in plastic mechanism control, due to random material uncertainty, are also recognised by modern seismic codes that, aiming to compensate such effects, suggest the use of overstrength factors for the evaluation of the ultimate resistance of dissipative zones within hierarchy criteria. As an example, ANSI/AISC 341-10 [2] computes the ultimate resistance of dissipative zones covering the effects of uncertainty of yield strength by means of a factor given by the ratio between the average yield strength of steel and its nominal value. This is the so-called overstrength factor in material yield stress, denoted with  $R_y$  in ANSI/AISC 341-10. Conversely, Eurocode 8 [1] provides an overstrength factor  $\gamma_{ov}$  (having the same aim of  $R_y$ ) ranging between 1.0 and 1.25. However, these values, applied within the beam-column hierarchy criterion, are not based on a probabilistic assessment aimed at a specific collapse mechanism.

It is also useful to point out that the overstrength factor,  $\gamma_{ov}$  according to EC8 or  $R_y$  according to ANSI-AISC 341-10, refers to the overstrength due to the random variability of yield stress. Conversely,

the system overstrength  $\Omega_0$ , adopted both in ANSI-AISC 341-10 [2] and ASCE 7-10 [39] is related to the overall plastic redistribution capacity of the structural scheme.

In this work, the attention is focused on the calibration of  $\gamma_{ov}$  (or  $R_y$ ) with the aim to properly accounting for the influence of random material variability (i.e., yield stress variability) on the control of the collapse mechanism typology.

As in the last two decades many researchers devoted their efforts to probabilistic-based approaches for assessing the collapse of structures under dynamics seismic loadings [25–38], it is worthwhile mentioning that these efforts are aimed at the evaluation of the mean annual frequency of exceeding the limit state corresponding to different performance levels [27,30]. In particular, FEMA P695 is devoted to the quantification of the building seismic performance factors by properly including the different sources of uncertainties [28]. Conversely, the work herein presented is not aimed to such performance assessment, but to the control of the collapse mechanism typology. For this reason, the use of a static approach is justified considering that the control of the collapse mechanism typology can be based on the kinematic theorem of plastic collapse as described in Section 3. The accuracy of such approach is testified by past works on deterministic TPMC [5,11] where the attainment of a collapse mechanism of global type was successively validated using incremental dynamic non-linear analyses.

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