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# An inequality for regular near polygons

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#### Abstract

Let  $\Gamma$  denote a near polygon distance-regular graph with diameter  $d \ge 3$ , valency k and intersection numbers  $a_1 > 0$ ,  $c_2 > 1$ . Let  $\theta_1$  denote the second largest eigenvalue of  $\Gamma$ . We show

$$\theta_1 \le \frac{k - a_1 - c_2}{c_2 - 1}.$$

We show the following (i)–(iii) are equivalent. (i) Equality is attained above; (ii)  $\Gamma$  is *Q*-polynomial with respect to  $\theta_1$ ; (iii)  $\Gamma$  is a dual polar graph or a Hamming graph. © 2004 Elsevier Ltd. All rights reserved.

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### 1. Introduction

Let  $\Gamma$  denote a near polygon distance-regular graph with diameter  $d \ge 3$  (see Section 2 for formal definitions). Suppose the intersection numbers  $a_1 > 0$  and  $c_2 > 1$ . It was shown by Brouwer, Cohen and Neumaier that if  $\Gamma$  has classical parameters  $(d, q, 0, \beta)$  then  $\Gamma$  is a Hamming graph or a dual polar graph [2, Theorem 9.4.4]. The same conclusion was obtained by the second author under the assumption that  $\Gamma$  is Q-polynomial and has

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diameter  $d \ge 4$  [10, Corollary 5.7]. Let  $\theta_0 > \theta_1 > \cdots > \theta_d$  denote the eigenvalues of  $\Gamma$ . It is known that  $\theta_0 = k$ , where k denotes the valency of  $\Gamma$ . By [2, Proposition 4.4.6(i)],

$$\theta_d \ge -\frac{k}{a_1+1},$$

with equality if and only if  $\Gamma$  is a near 2*d*-gon. We now state our result.

**Theorem 1.1.** Let  $\Gamma$  denote a near polygon distance-regular graph with diameter  $d \ge 3$ , valency k, and intersection numbers  $a_1 > 0$ ,  $c_2 > 1$ . Let  $\theta_1$  denote the second largest eigenvalue of  $\Gamma$ . Then

$$\theta_1 \le \frac{k - a_1 - c_2}{c_2 - 1}.\tag{1.1}$$

Moreover, the following (i)-(iii) are equivalent.

- (i) Equality is attained in (1.1);
- (ii)  $\Gamma$  is *Q*-polynomial with respect to  $\theta_1$ ;
- (iii)  $\Gamma$  is a dual polar graph or a Hamming graph.

## 2. Preliminaries

In this section we review some definitions and basic concepts. See the books by Bannai and Ito [1] or Brouwer et al. [2] for more background information.

Let  $\Gamma = (X, R)$  denote a finite, undirected, connected graph without loops or multiple edges, with vertex set X, edge set R, path-length distance function  $\partial$  and diameter  $d := \max\{\partial(x, y) \mid x, y \in X\}$ . For  $x \in X$  and for all integers *i*, set

$$\Gamma_i(x) := \{ y \mid y \in X, \, \partial(x, y) = i \}.$$

Let k denote a nonnegative integer. We say  $\Gamma$  is *regular* with *valency* k whenever  $|\Gamma_1(x)| = k$  for all  $x \in X$ . Pick an integer i  $(0 \le i \le d)$ . For  $x \in X$  and for  $y \in \Gamma_i(x)$ , set

$$B(x, y) := \Gamma_1(x) \cap \Gamma_{i+1}(y), \tag{2.1}$$

$$A(x, y) := \Gamma_1(x) \cap \Gamma_i(y), \tag{2.2}$$

$$C(x, y) := \Gamma_1(x) \cap \Gamma_{i-1}(y). \tag{2.3}$$

The graph  $\Gamma$  is said to be *distance-regular* whenever for all integers  $i \ (0 \le i \le d)$ , and for all  $x, y \in X$  with  $\partial(x, y) = i$ , the numbers

$$c_i := |C(x, y)|, \qquad a_i := |A(x, y)|, \qquad b_i := |B(x, y)|$$
(2.4)

are independent of x and y. We call the  $c_i$ ,  $a_i$ ,  $b_i$  the *intersection numbers* of  $\Gamma$ . We observe  $c_0 = 0$ ,  $a_0 = 0$ ,  $b_d = 0$  and  $c_1 = 1$ . For the rest of this paper we assume  $\Gamma$  is distance-regular with diameter  $d \ge 3$ . We observe  $\Gamma$  is regular with valence  $k = b_0$  and that [2, p. 126]

$$c_i + a_i + b_i = k$$
  $(0 \le i \le d).$  (2.5)

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