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## A facile strategy to functionalize gold nanorods with polycation brushes 3 for biomedical applications

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# ABSTRACT

The fabrication of highly efficient nonviral gene carriers with low cytotoxicity remains a challenge in gene therapy. This paper reports a facile strategy to combine the advantages of gold nanorods (Au NRs) and polycations through surface functionalization. Different Au NR carriers with a controlled amount of poly(2-(N,N-dimethyl amino)ethyl methacrylate) (PDAEMA) brushes could be readily synthesized via surface-initiated atom transfer radical polymerization to achieve optimized nanohybrids for gene transfection. The obtained gene carriers demonstrate much higher gene transfection efficiency and lower cytotoxicity compared with polyethylenimine (~25 kDa, gold standard of nonviral gene vector) in both COS7 and HepG2 cell lines. In addition, the potential of the PDMAEMA-grafted Au NR carriers to be utilized as a computed tomography contrast agent for the imaging of cancer cells has also been investigated. This strategy may realize the gene therapy and real-time imaging within one nanostructure and facilitate biomedical applications.

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# 1. Introduction

Gene therapy provides a promising way to cure cancer and 45 genetic disorders while the development of efficient and safe gene 46 vectors is still the main challenge. Many efforts have been devoted 47 to the design of nonviral vectors such as cationic polymers and 48 49 inorganic nanoparticles [1,2]. On the other hand, in situ and real-50 time imaging of living tissues without causing injuries remains a 51 target in the diagnosis and therapy areas. Gold nanoparticles are attractive candidates for biomedical applications since they 52 are biocompatible, nontoxic and easy to synthesize and functional-53 54 ize [3–7]. Furthermore, gold nanoparticles could be employed as probes for cancer cell imaging [8,9], which makes them ideal 55 models for multifunctional therapeutic and diagnostic platforms 56 57 [10]. After suitable surface functionalization, gold nanoparticles could form inorganic/organic nanohybrids to construct highly 58 59 efficient nonviral gene vectors. Recent studies have demonstrated 60 that gold nanoparticle-based nanohybrids could protect DNA from nuclease degradation and target cells successfully without 61 immunogenicity or cytotoxicity [11–13]. However, the strategy to 62

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functionalize gold nanorods (Au NRs) for gene vectors is still limited. Due to the high atomic number, electron density and X-ray absorption coefficient [14], gold nanoparticles could be used as contrast agents for computed tomography (CT) imaging of living tissues with high resolution. Although many nonviral gene vectors with different structures have been designed, ideal safe and efficient gene vectors have not been achieved yet. Cationic polymers synthesized up to now still have the limitation of either low efficiency or high cytotoxicity [15]. Therefore, the development of a more effective and safe gene delivery strategy for clinical applications is pressing.

Atom transfer radical polymerization (ATRP) has played an important role in the preparation of biopolymers with specific functions [16,17]. Various biopolymers with a narrowmolecular-weight distribution have been synthesized and applied in gene therapy. Poly(2-(*N*,*N*-dimethyl amino)ethyl methacrylate) (PDMAEMA) has been proved to protect the plasmid DNA (pDNA) and facilitate cellular transfection efficiently [18]. High-molecularweight PDMAEMA exhibits excellent gene transfer efficiency but high cytotoxicity. Recently, we have demonstrated that novel comb and star shaped cationic gene vectors with high transfection efficiency and low cytotoxicity can be readily achieved via ATRP by linking PDMAEMA to polysaccharide backbones like hydroxypropyl cellulose [18], chitosan [19], dextran [20,21] and cyclodextrin [22,23]. In particular, we have successfully functionalized layered

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double hydroxide nanoparticles [24] and hydroxyapatite nanoparticles [25] with PDMAEMA for advanced gene delivery. These results
have inspired us to explore the functionalization of gold nanoparticles with PDMAEMA brushes to produce advanced vectors for both
gene therapy and diagnosis.

Herein, we report a facile preparation of organic-inorganic 92 93 hybrid gene vectors with the function of CT imaging based on 94 PDMAEMA-grafted Au NRs. Au NRs were selected as the platform since they demonstrated greater potential for imaging and cancer 95 96 therapy compared with conventional spherical Au nanoparticles, 97 probably due to their longitudinal plasmonic adsorption in the 98 near-infrared (NIR) region and the difference in curvature and cellular uptake of different-shaped nanoparticles [10,26,27]. The 99 Au NR-bovine serum albumin (BSA) conjugate is first constructed 100 101 to improve the biocompatibility and stabilization of Au NRs [28] 102 and introduce ATRP initiator [29] for the preparation of different 103 PDMAEMA-grafted Au NRs (Au-g-PD) (Scheme 1). Then, the gene 104 transfection and in vitro CT imaging have been investigated in detail through a series of experiments to demonstrate therapeutic 105 and diagnostic applications of the obtained organic-inorganic 106 107 hybrids.

## 108 2. Materials and methods

### 109 2.1. Materials

Chloroauric acid (HAuCl<sub>4</sub>) and ascorbic acid (AA) were obtained 110 111 from Sinopharm Group Co. Ltd. Silver nitrate (AgNO<sub>3</sub>, 99.8%), N,N-dimethylformamide (DMF), sodium borohydride (NaBH<sub>4</sub>, 112 98%), copper(I) bromide (CuBr, 98%), cetyltrimethylammonium 113 114 bromide (CTAB, 99%), branched polyethylenimine (PEI, Mw 115 ~25,000 Da), 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide 116 hydrochloride (EDAC, 98%), N-hydroxysuccinimide (NHS, 98%), 17  $\alpha$ -bromoisobutyric acid (BIBA, 98%), triethylamine (TEA), N, N, N', N', N''-pentamethyl diethylenetriamine (PMDTETA) and 118 2-(dimethylamino)ethyl methacrylate (DMAEMA, 98%) were 119 obtained from Sigma-Aldrich Chemical Co., St Louis, MO. DMEAMA 120 121 was used after removal of the inhibitors in a ready-to-use disposable inhibitor-removal column (Sigma-Aldrich). 3-(4,5-Dimethyl-122 thiazol-2yl)-2,5-diphenyl tetrazolium bromide (MTT), penicillin 123 124 and streptomycin were purchased from Sigma Chemical Co., St

Louis, MO. COS7 and HepG2 cell lines were purchased from the 125 American Type Culture Collection (ATCC, Rockville, MD). The 126 plasmid (encoding Renilla luciferase, pRL-CMV) was from Promega 127 Co., Cergy Pontoise, France, and was cloned originally from the 128 marine organism Renillareniformis. The pDNA was amplified in Esch-129 erichia coli and purified according to the protocol of Qiagen GmbH, 130 Hilden, Germany. The purity and concentration of the purified 131 DNA were determined by absorption at 260 and 280 nm and by 132 agarose gel electrophoresis. The purified pDNA was resuspended 133 in tris-EDTA (TE) buffer and kept in aliquots of 0.5 mg ml<sup>-1</sup> in con-134 centration. All other chemicals were used as received and were of 135 analytical grade. 136

#### 2.2. Synthesis of Au NRs

Au NRs were synthesized using the typical seed-mediated 138 growth method with minor modification [30]. Au seeds were pre-139 pared by chemical reduction of HAuCl<sub>4</sub> with NaBH<sub>4</sub>. 2.5 ml of 0.2 M 140 CTAB was mixed with 120 µl of 15 mM HAuCL<sub>4</sub> and 1 ml of water. 141 Then, 0.5 ml of 10 mM ice-cold NaBH<sub>4</sub> solution was added to the 142 mixture and the solution was stirred vigorously for 2 min. The 143 Au seeds were placed in a water bath at 27 °C for 1 h before use. Q3 144 For growth solution, 25 ml of 0.2 M CTAB, 1.4 ml of 4 mM AgNO<sub>3</sub>, 145 2.5 ml of 15 mM HAuCl<sub>4</sub> and 20 ml of H<sub>2</sub>O were mixed to give a yel-146 lowish solution. Then, 620 µl of 0.0788 M AA was added and the 147 solution turned colorless. Finally, 500 µl of Au seeds was injected 148 and the growth solution was kept constant at 27 °C for 24 h. Au 149 NRs were collected by centrifugation and had a longitudinal sur-150 face plasma resonance band at 790 nm in water. 151

#### 2.3. Immobilization of ATRP initiator on the surface of Au NRs

Bromoisobutyryl-functionalized BSA (BSA-Br) was first synthe-153 sized in the following steps. BIBA (0.1 g) was activated in the 154 presence of EDAC (0.29 g) and NHS (0.15 g) in 2 ml of DMF 155 containing 500 µl of TEA at 30 °C for 4 h. Then, 0.2 g of BSA in 5 ml 156 of PBS buffer was added and the resultant mixture was stirred at 157 37 °C for 48 h. BSA-Br was collected using lyophilization after being 158 dialyzed against water using a dialysis membrane (molecular 159 weight cutoff, MWCO 3500). The as-prepared Au NRs were purified 160 by centrifugation at 12,000 rpm for 10 min, washed with water and 161



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