



Mathematical analysis

Some simple conditions for univalence



Quelques conditions simples pour l'univalence

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ABSTRACT

We apply Ozaki–Umezawa's lemma on functions that are convex in one direction to find some sufficient conditions for univalence and closeness to convexity.

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RÉSUMÉ

Nous appliquons le lemme de Ozaki et Umezawa sur les fonctions convexes dans une direction, afin de trouver des conditions suffisantes pour l'univalence et la presque convexité.

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1. Introduction

Let \mathcal{H} denote the class of functions analytic in the unit disk $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$, and denote by \mathcal{A} the class of analytic functions in \mathbb{D} and normalized, i.e. $\mathcal{A} = \{f \in \mathcal{H} : f(0) = 0, f'(0) = 1\}$. We say that $f \in \mathcal{H}$ is subordinate to $g \in \mathcal{H}$ in the unit disk \mathbb{D} , written $f \prec g$ if and only if there exists an analytic function $w \in \mathcal{H}$ such that $|w(z)| \leq |z|$ and $f(z) = g[w(z)]$ for $z \in \mathbb{D}$. Therefore $f \prec g$ in \mathbb{D} implies $f(\mathbb{D}) \subset g(\mathbb{D})$. In particular if g is univalent in \mathbb{D} , then the Subordination Principle says that $f \prec g$ if and only if $f(0) = g(0)$ and $f(|z| < r) \subset g(|z| < r)$, for all $r \in (0, 1]$.

Let us recall the Ozaki–Umezawa's lemma [6,8].

Lemma 1.1. Let $f(z) = z + a_2 z^2 + \dots$ be analytic for $|z| \leq 1$ and $f'(z) \neq 0$ on $|z| = 1$. If there holds the relation

$$\int_0^{2\pi} \left| 1 + \Re \left\{ \frac{zf''(z)}{f'(z)} \right\} \right| d\theta < 4\pi, \quad |z| = 1, \tag{1.1}$$

then $f(z)$ is convex in one direction and hence $f(z)$ is univalent in $|z| \leq 1$.

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2. Main result

Theorem 2.1. Let $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ be analytic in \mathbb{D} . Assume that

$$\left| 1 + \Re e \frac{zf''(z)}{f'(z)} \right| \leq |1 + \Re e \{\alpha_0 z\}| \quad (z \in \mathbb{D}), \quad (2.1)$$

where $\alpha_0 = 1/\cos t_0$ and t_0 is the positive root of the equation

$$\tan t = t + \pi/2, \quad 0 < t < \pi/2. \quad (2.2)$$

Then $f(z)$ is univalent in \mathbb{D} . Note that $2.909 < \alpha_0 < 2.992$.

Proof. Applying [1] and [4], we have from (2.1)

$$\begin{aligned} \int_0^{2\pi} \left| 1 + \Re e \frac{zf''(z)}{f'(z)} \right| d\theta &= \int_0^{2\pi} \left| 1 + \Re e \frac{re^{i\theta} f''(re^{i\theta})}{f'(re^{i\theta})} \right| d\theta \\ &\leq \int_0^{2\pi} \left| 1 + \Re e \left\{ \alpha_0 r e^{i\theta} \right\} \right| d\theta, \end{aligned}$$

where $0 < r < 1$. Letting $r \rightarrow 1$, we have

$$\begin{aligned} &\int_0^{2\pi} \left| 1 + \Re e \frac{zf''(z)}{f'(z)} \right| d\theta \\ &\leq \int_0^{2\pi} |1 + \alpha_0 \cos \theta| d\theta. \end{aligned}$$

We have

$$\cos^{-1}(-1/\alpha_0) = \pi - \cos^{-1}(1/\alpha_0). \quad (2.3)$$

Thus we obtain

$$\begin{aligned} &\int_0^{2\pi} |1 + \alpha_0 \cos \theta| d\theta \\ &= 2 \int_0^{\cos^{-1}(-1/\alpha_0)} (1 + \alpha_0 \cos \theta) d\theta - \int_{\cos^{-1}(-1/\alpha_0)}^{2\pi - \cos^{-1}(-1/\alpha_0)} (1 + \alpha_0 \cos \theta) d\theta \\ &= 2 [\theta + \alpha_0 \sin \theta]_0^{\cos^{-1}(-1/\alpha_0)} - [\theta + \alpha_0 \sin \theta]_{\cos^{-1}(-1/\alpha_0)}^{2\pi - \cos^{-1}(-1/\alpha_0)} \\ &= 2 [\theta + \alpha_0 \sin \theta]_0^{\pi - \cos^{-1}(1/\alpha_0)} - [\theta + \alpha_0 \sin \theta]_{\pi - \cos^{-1}(1/\alpha_0)}^{\pi + \cos^{-1}(1/\alpha_0)} \\ &= 3 \left[\pi - \cos^{-1}(1/\alpha_0) + \alpha_0 \sin \left\{ \cos^{-1}(1/\alpha_0) \right\} \right] \\ &\quad - \left[\pi + \cos^{-1}(1/\alpha_0) - \alpha_0 \sin \left\{ \cos^{-1}(1/\alpha_0) \right\} \right] \\ &= 4\pi + \left[-2\pi - 4\cos^{-1}(1/\alpha_0) + 4\alpha_0 \sin \left\{ \cos^{-1}(1/\alpha_0) \right\} \right]. \end{aligned} \quad (2.4)$$

Therefore, we will get the univalence of f in the unit disk by Ozaki–Umezawa's Lemma 1.1, whenever

$$-2\pi - 4\cos^{-1}(1/\alpha_0) + 4\alpha_0 \sin \left\{ \cos^{-1}(1/\alpha_0) \right\} = 0. \quad (2.5)$$

If $t_0 = \cos^{-1}(1/\alpha_0)$, then (2.5) becomes

$$-2\pi - 4t_0 + 4 \frac{1}{\cos t_0} \sin t_0 = 0,$$

which is assumed in (2.2). Note that $1.22 < t_0 < 1.23$. \square

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