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On the smallest number of terms of vanishing sums of units in number fields [☆]

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ABSTRACT

Let K be a number field. In the terminology of Nagell a unit ε of K is called *exceptional* if $1 - \varepsilon$ is also a unit. The existence of such a unit is equivalent to the fact that the unit equation $\varepsilon_1 + \varepsilon_2 + \varepsilon_3 = 0$ is solvable in units $\varepsilon_1, \varepsilon_2, \varepsilon_3$ of K . Numerous number fields have exceptional units. They have been investigated by many authors, and they have important applications.

In this paper we deal with a generalization of exceptional units. We are interested in the smallest integer k with $k \geq 3$, denoted by $\ell(K)$, such that the unit equation $\varepsilon_1 + \dots + \varepsilon_k = 0$ is solvable in units $\varepsilon_1, \dots, \varepsilon_k$ of K . If no such k exists, we set $\ell(K) = \infty$. Apart from trivial cases when $\ell(K) = \infty$, we give an explicit upper bound for $\ell(K)$. We obtain several results for $\ell(K)$ in number fields of degree at most 4, cyclotomic fields and general number fields of given degree. We prove various properties of $\ell(K)$, including its magnitude, parity as well as

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the cardinality of number fields K with given degree and given odd resp. even value $\ell(K)$.

Finally, as an application, we deal with certain arithmetic graphs, namely we consider the representability of cycles. We conclude the paper by listing some problems and open questions.

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1. Introduction

Let K be a number field. We are interested in the smallest integer k having the following property:

$$\text{there exist units } \varepsilon_1, \dots, \varepsilon_k \in K \text{ such that } \varepsilon_1 + \dots + \varepsilon_k = 0. \quad (1)$$

Observe that for any even integer $k = 2t$, we have a trivial assertion given by $t \times 1 + t \times (-1) = 0$. So we shall use the following definitions.

Write $\ell_o(K)$ for the smallest odd $k \geq 3$ for which (1) is valid. Further, let $\ell_e(K)$ be the smallest even $k \geq 4$ for which (1) is valid, such that the sum appearing in (1) has no proper vanishing subsum. If no appropriate k exists at all, then we set $\ell_o(K) = \infty$ or $\ell_e(K) = \infty$, respectively. We put $\ell(K) = \min(\ell_o(K), \ell_e(K))$.

Before proceeding further, we make a trivial observation. Namely, if $k = \ell_o(K)$, then the sum of units appearing in (1) has no proper vanishing subsum. Indeed, otherwise we would have a proper vanishing subsum with an odd number of terms, contradicting the minimality of $k = \ell_o(K)$.

The above notions can be generalized to orders of number fields. Let \mathcal{O} be an order of a number field K . Then we can define $\ell_o(\mathcal{O}), \ell_e(\mathcal{O}), \ell(\mathcal{O})$ in the obvious way. Note that if \mathcal{O} is the maximal order of K , then we clearly have $\ell_o(\mathcal{O}) = \ell_o(K)$, $\ell_e(\mathcal{O}) = \ell_e(K)$, $\ell(\mathcal{O}) = \ell(K)$.

In this paper we obtain several results concerning $\ell(K), \ell_o(K), \ell_e(K)$ and $\ell(\mathcal{O}), \ell_o(\mathcal{O}), \ell_e(\mathcal{O})$. We show among other things that $\ell(K)$ is finite for any number field K , apart from the cases where $K = \mathbb{Q}$ or K is an imaginary quadratic field. Further, we prove that for any integer $k \geq 3$ there exists an order of a real quadratic number field with $\ell(\mathcal{O}) = k$, and also a complex cubic number field K with $\ell(K) = k$ – in the latter case excluding values k of the form $k = 4t^4 - 4t + 2$. On the other hand, we show that for each k , there are only finitely many quadratic fields, complex cubic fields and (up to certain completely described exceptions) totally complex quartic fields with $\ell(K) \leq k$, and all these number fields can be effectively determined. Furthermore, it is shown that for any number field K different from \mathbb{Q} and the imaginary quadratic fields we have $\ell_e(K) < \infty$. Finally, we prove that for $d \geq 3$ there are infinitely many number fields K of degree d with $\ell_e(K) = 4$, and for $d \geq 2$ there are infinitely many number fields K of degree d with $\ell_o(K) = \infty$.

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