



Intrinsically active nanobody-modified polymeric micelles for tumor-targeted combination therapy

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ABSTRACT

Various different passively and actively targeted nanomedicines have been designed and evaluated over the years, in particular for the treatment of cancer. Reasoning that the potential of ligand-modified nanomedicines can be substantially improved if intrinsically active targeting moieties are used, we have here set out to assess the *in vivo* efficacy of nanobody-modified core-crosslinked polymeric micelles containing covalently entrapped doxorubicin. Nanobody-modified polymeric micelles were found to inhibit tumor growth even in the absence of a drug, and nanobody-modified micelles containing doxorubicin were significantly more effective than nanobody-free micelles containing doxorubicin. Based on these findings, we propose that the combination of two therapeutic strategies within one nanomedicine formulation, i.e. the intrinsic pharmacological activity of ligand-modified carrier materials with the cytostatic activity of the incorporated chemotherapeutic agents, is a highly promising approach for improving the efficacy of tumor-targeted combination therapy.

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1. Introduction

Chemotherapeutic drugs generally suffer from poor pharmacokinetics and from an inappropriate biodistribution. Because of their low molecular weight (M_w) for instance, intravenously (*i.v.*) administered anticancer agents tend to present with short circulation times and with low concentrations in tumors and metastases. To assist *i.v.* administered anticancer agents in achieving proper circulation times and tumor concentrations, and to at the same attenuate their accumulation in potentially endangered healthy organs and tissues, many different drug delivery systems have been designed and evaluated over the years [1–3]. Clinically relevant examples of such ~1–100 nm-sized carrier materials are liposomes, polymers and micelles. Various liposomal, polymeric and micellar nanomedicines have been approved for clinical use, and many others are in clinical trials or in preclinical development

[4–6]. Virtually all of these formulations rely on the Enhanced Permeability and Retention (EPR) effect for improving the tumor localization of low M_w chemotherapeutic drugs, i.e. they exploit the physiological fact that solid tumors tend to present with leaky blood vessels and with defective lymphatics, thereby enabling them to efficiently accumulate in tumors over time [7–9].

Thus far however, the clinical performance of EPR-exploiting passively tumor-targeted nanomedicines has been relatively disappointing. They do generally substantially reduce the incidence and intensity of side effects, such as cardiotoxicity, bone marrow depression, alopecia and nausea, but to date, they have largely failed to really improve response rates and survival times [10,11]. To overcome this shortcoming, a number of efforts have been undertaken in recent years in which passively tumor-targeted nanomedicines are integrated in rationally designed combination regimens [12]. It has for instance been shown in this regard that polymeric nanomedicines interact synergistically with clinically relevant regimens of radiotherapy, and that they can be used to deliver multiple drugs to tumors simultaneously, leading not only to significant improvements in efficacy, but often also to substantial reductions in toxicity [13–15].

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An alternative strategy to improve the (pre-) clinical performance of nanomedicine formulations relies on the incorporation of targeting ligands, such as antibodies or peptides, which recognize receptor structures that are (over-) expressed at the target site [1–6]. Such actively targeted nanomedicines have long been thought to be able to enhance the overall tumor accumulation of drugs and drug delivery systems, but recent insights indicate that this is not the case: Kirpotin and colleagues, for instance, evaluated the (kinetics of) tumor accumulation of Her2-targeted immunoliposomes, and found no difference between actively and passively targeted liposomes [16]. Similarly, Choi and coworkers convincingly demonstrated that transferrin-targeted nanoparticles are equally (in-) efficient as transferrin-free nanoparticles in localizing to tumors [17]. Taking the basic principles of passive and active drug targeting into account [11], it indeed seems logical that active targeting cannot lead to substantially higher levels in tumors than passive targeting, since the initial accumulation of drug delivery systems in tumors exclusively relies on EPR, and not on binding to and/or uptake by tumor cells. Consequently, it can be reasoned that active targeting might only be useful for drugs and/or drug delivery systems which themselves are not being taken up by cancer cells, such as non-cationic DNA- and siRNA-containing nanomedicines, for which the incorporation of targeting ligands has indeed been shown to be indispensable for enabling efficient gene expression and/or gene silencing [18,19].

Taking both of the above reasonings into account, i.e. that I) nanomedicine formulations are highly useful for combination therapies, and that II) more advanced strategies are needed to exploit the potential of actively targeted nanomedicines, we have here set out to provide proof-of-principle for an active targeting concept in which intrinsically active ligand-modified carrier materials are used to enhance antitumor efficacy. To this end, EGa1 nanobodies – which are currently under clinical evaluation for treating EGFR-overexpressing tumors [20] – were coupled to core-crosslinked polymeric micelles containing covalently entrapped doxorubicin [21], and the *in vivo* efficacy of nanobody-targeted polymeric micelles was evaluated in 14C tumor-bearing mice.

2. Materials and methods

2.1. Materials

6-Methacrylamidohexanohydrazide-DOX (DOX-MA) was synthesized as described in Ref. [22]. The EGa1 nanobody was produced and modified with *N*-succinimidyl *S*-acetylthioacetate (SATA) according to previously optimized procedures [23,24]. The macroinitiators with 4,4'-azobis(4-cyanopentanoic acid) mPEG₂ABCPA and (PDP-PEG)₂ABCPA were synthesized as described in Ref. [24].

2.2. Synthesis and characterization of block copolymers

The block copolymers composed of pHPMAm-Lac_n (48% pHPMAm-Lac₁, 52% pHPMAm-Lac₂) and either mPEG₅₀₀₀ (methoxy PEG) or PDP-PEG₅₀₀₀ (pyridyldithio propionate PEG) were prepared by free radical polymerization, using (mPEG₅₀₀₀)₂-ABCPA or (PDP-PEG₅₀₀₀)₂ABCPA as a macroinitiator, and subsequent methacrylation [24]. Polymer compositions and molecular weights were determined by ¹H NMR and GPC, and the critical micelle temperature (CMT) by means of light scattering [25].

2.3. Micelle preparation and characterization

Core-crosslinked micelles with covalently entrapped DOX were prepared as in Ref. [21]. All formulations contained 20 mg/mL of polymer and were concentrated if necessary. PDP-functionalized micelles for conjugation with EGa1 nanobody were prepared similarly, using a mixture of 20% (w/w) (PDP-PEG)-*b*-p(HPMAm-Lac_n) and 80% (w/w) mPEG-*b*-p(HPMAm-Lac_n). DOX and DOX-MA were determined by HPLC [21]. SATA-modified EGa1 nanobodies were coupled to the surface of empty or DOX loaded (PDP-PEG/mPEG)-*b*-p(HPMAm-Lac_n) micelles as described in Ref. [24]. Unreacted nanobody was removed by ultrafiltration. The final nanobody concentration was 0.8 mg/mL. The conjugation of the nanobody was confirmed using dot blot analysis, using a rabbit polyclonal anti-nanobody primary antibody, a peroxidase-conjugated secondary antibody, the SuperSignal West Femto Maximum Sensitivity Substrate (all from Thermo Fisher Scientific, The Netherlands), and

ChemiDoc XRS chemiluminescence detection system (Bio-Rad Laboratories, Inc, USA).

2.4. Cell culture conditions and cytotoxicity experiments

Human UM-SCC-14C head and neck squamous cell carcinoma cells (abbreviated as 14C; developed by Dr. Carey, Ann Arbor, MI, USA [26]) were cultured in DMEM (Invitrogen, The Netherlands), supplemented with 2 mM L-glutamine, 7.5% v/v FBS, 100 IU/mL penicillin and 100 µg/mL streptomycin, at 37 °C in a humidified atmosphere containing 5% CO₂. For the cytotoxicity analyses, 5 × 10³ cells/well were seeded into 96-well plates, allowed to adhere overnight, incubated for 4 h with increasing concentrations of the formulations (in 5 mM HEPES buffer; pH 7.4; in quadruplicates), washed, and cultured for another 68 h in fresh medium. Cell viability was determined using the WST-1 assay (Roche Diagnostics GmbH, Germany).

2.5. *In vivo* therapeutic efficacy

Male athymic Balb/c nude mice (Charles River International Laboratories, Inc) were caged under standard conditions in different groups as depicted in Table 1. 14C cells were cultured as described above. 1 × 10⁶ cells (dispersed in 100 µL medium) were inoculated subcutaneously into the right flank of each mouse. Tumors were measured every second day using a digital caliper. The tumor volume (in mm³) was calculated using the formula $V = \pi/6 \times L \times S^2$, where *L* is the largest, and *S* is the smallest superficial diameter. When tumors reached a volume of ~100 mm³, mice received *i.v.* (in the tail vein) injections of saline, free DOX, DOX-PM, EGa1-DOX-PM and drug-free EGa1-PM. DOX-PM and EGa1-DOX-PM were prepared as described above (in HEPES 180 mM pH 7.4 buffer) and concentrated to obtain the required dose (i.e. 3 and 9 mg DOX/kg). The EGa1 nanobody dose in all cases was 4 mg/kg. The formulations were injected every 3 days, for a total of 5 injections. In the high-dose groups, a total of 4 injections were given. The injection volume was 100 µL. When the tumors reached the humane end point (i.e. 1500 mm³), the mice were sacrificed using cervical dislocation.

2.6. Statistical analysis

Results are presented as average ± standard deviation. Statistical significance was evaluated using the two-tailed student's *t*-test. *p* < 0.05 was considered to indicate significant differences.

3. Results and discussion

To provide initial experimental evidence for the use of intrinsically active ligand-modified nanomedicines, we used core-crosslinked polymeric micelles (PM) based on poly(ethylene glycol)-*b*-poly [*N*-(2-hydroxypropyl) methacrylamide-lactate] (mPEG-*b*-pHPMAm-Lac_n; Fig. 1A). These carrier materials were selected because they circulate for prolonged periods of time [25], because they can be efficiently and stably loaded with doxorubicin via hydrazone-based and pH-responsive drug linkers (Fig. 1C), and because their functionalization with nanobody-based targeting ligands has already been established [24].

The biodegradable mPEG-*b*-p(HPMAmLac₁-*co*-HPMAmLac₂) and PDP-PEG-*b*-p(HPMAmLac₁-*co*-HPMAmLac₂) (Fig. 1A and B respectively) block copolymers used in this study were obtained upon radical polymerization using (mPEG)₂ABCPA or (PDP-PEG)₂ABCPA

Table 1

Groups of Balb/c mice (*n* = 6) bearing s.c. 14C tumors used in the therapeutic efficacy study and the treatments they received. Administration started at the day when tumors reached a size of 100–200 mm³ and was repeated every three days (EGa1 nanobody dose 4 mg/kg).

Group	Treatment	Dose
1	Free doxorubicin	5 × 3 mg DOX/kg
2	DOX micelles low dose	5 × 3 mg DOX/kg
3	DOX micelles high dose	4 × 9 mg DOX/kg
4	EGa1-DOX micelles low dose	5 × 3 mg DOX/kg
5	EGa1-DOX micelles high dose	4 × 9 mg DOX/kg
6	Empty EGa1 micelles	5 × 300 mg polymer/kg ^a
7	PBS	5 × 100 µL

^a Polymer dose equal to the one of the groups treated with the high dose of DOX-MA micelles.

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