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Biotechnology Advances xxx (2015) xxx-xxx



Contents lists available at ScienceDirect

Biotechnology Advances



journal homepage: www.elsevier.com/locate/biotechadv

1 Research review paper

Nano-structured and functionalized surfaces for cytocompatibility improvement and bactericidal action

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9 ARTICLE INFO

11 Available online xxxx

12 Keywords:

10

- 13 Polymers
- 14 Surface modification
- 15 Plasma
- 16 Laser
- 17 Surface properties
- 18 Nano-particle grafting
- 19 Surface properties
- 20 Cell-material interaction
- 21 Tissue engineering
- 22 Bactericidal action of noble metal nano-
- 23 particles

ABSTRACT

The field of material surface modification with the aim of biomaterial construction involves several approaches of 24 treatments that allow the preparation of materials, which positively influence adhesion of cells and their prolif- 25 eration and thus aid and improve tissue formation. Modified materials have a surface composition and morphol- 26 ogy intended to interact with biological systems and cellular functions. 27Not only surface chemistry has an effect on material biological response, surface structures of different morphol- 28 ogy can be constructed to guide a desirable biological outcome. Nano-patterned material surfaces have been test-29 ed with the aim of how surface geometry and physical properties on a micro- and nano-scale can affect cellular 30 response and influence cell adhesion and proliferation. Biological functionality of solid state substrates was significantly improved by the irradiation of material with 32 plasma discharge or laser treatment. Commonly used "artificial" polymers (e.g. polyethylene (PE), polystyrene 33 (PS), polytetrafluoroethylene (PTFE), polyethylene terephthalate (PET), polyethylene naphthalate (PEN)) and 34 biopolymers (e.g. Poly-L-Lactic acid (PLLA), polymethylpentene (PMP)) were treated with aim of biocompatibil- 35 ity improvement. The treatment of polymer/biopolymer substrates leads to formation of ripple or wrinkle-like 36 structures, supported also with heat treatment or other subsequent surface processing. Several types of chemical- 37 ly different substances (e.g. metal or carbon nano-particles, proteins) were grafted onto material surfaces or built 38 into material structures by different processes.

Surface physico-chemical properties (e.g. chemistry, charge, morphology, wettability, electrical conductivity, op- 40 tical and mechanical properties) of treated surfaces were determined. The enhancement of adhesion and prolif- 41 eration of cells on modified substrates was investigated in vitro. Bactericidal action of noble metal nano-particles 42 (e.g. Au, Ag) on polymers was characterized. The influence of metal nano-particle grafting by using metal nano- 43 particle suspension prepared by "green" methods was determined. Micro- and nano-patterned surfaces can be 44 constructed as tissue scaffolds with specific functions regarding cell adhesion and proliferation or potential bio- 45 sensor applications. 46

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http://dx.doi.org/10.1016/j.biotechadv.2015.01.001 0734-9750/© 2015 Published by Elsevier Inc.

Please cite this article as: Slepička P, et al, Nano-structured and functionalized surfaces for cytocompatibility improvement and bactericidal action, Biotechnol Adv (2015), http://dx.doi.org/10.1016/j.biotechadv.2015.01.001

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63 Introduction

Polymeric materials can be used for construction of replacements of 64 65 irreversibly damaged tissues and organs. As the best replacements (or the "golden standard" for all replacements), the autologous tissue is 66 still considered. However, obtaining this tissue is associated with sever-67 al drawbacks, such as limited availability, additional surgery for the pa-68 69 tient and donor site morbidity. Allogenous and xenogenous transplants 70are burdened with a risk of immune rejection and disease transmission, 71and if the donor tissue is preserved by chemical agents crosslinking (e.g. 72glutaraldehyde), also with potential toxic damage of the recipient's tissues (for a review, see Filová et al., 2009; Chlupáč et al., 2009). Thus, a 73new advanced interdisciplinary field called "tissue engineering" is 7475quickly developing, and according its classical definition, it "applies the principles of engineering and the life sciences towards the develop-76 77 ment of biological substitutes that restore, maintain, or improve tissue function" (Langer and Vacanti, 1993). 78

79 The tissue substitutes created by methods of tissue engineering contain a cell component and a material component, which serve as a car-80 rier for the cells since they are generally anchorage-dependent. The 81 material component can comprise either synthetic molecules 82 (e.g., various synthetic polymers, ceramics) or biological molecules 83 84 (e.g., polysaccharides or proteins, which are often molecules of the extracellular matrix, such as collagen, fibronectin etc.; see Bačáková and 85 Švorčík, 2008; Bačáková et al., 2004, 2014). For advanced tissue engi-86 neering, the biological molecules are used as recombinant. This means 87 that specific human proteins or their functional parts are expressed 88 89 and synthesized in bacteria, such as Escherichia coli (E. coli), in a defined, tunable and reproducible form. This technology also enables to avoid 90 91 the use of allogeneic and xenogeneic proteins which have the same 92 problems as the allogeneic and xenogeneic transplants of the whole tis-93sue (for a review, see Romano et al., 2011).

94Irrespective of the fact if the material designed for body implantation 95is biological, synthetic, organic or inorganic, it is referred as "biomaterial", because it enters in interaction with biological environments in vivo 96 or in vitro. The biomaterial should operate as an analogue of native ex-97 98 tracellular matrix. A relative simple and commonly used way how to 99 regulate the cell behavior by a material is to modulate its physico-chemical properties, e.g. chemistry, polarity, surface energy, wettability, mor-100 phology, pH, zeta potential, or rigidity and deformability (Bacakova 101 102 et al., 2011).

103 The physico-chemical changes induced on solid state surfaces (e.g. polymers) due to different types of treatment procedures 104 (heating, grafting, laser plasma or ion beam procedure), can be 105 characterized e.g. by microscopic methods (atomic force microscopy 106 (AFM), scanning electron microscopy (SEM), transmission electron 107 108 microscopy (TEM)), ellipsometry, spectroscopic methods (e.g. Fourier transform infrared spectroscopy (FTIR), Rutherford backscatter-109 ing spectrometry (RBS), X-ray photoelectron spectroscopy (XPS), 110 UV-vis, Raman spectroscopy), gravimetry, electrical properties, 111 electrokinetic potential and goniometry, allowing the detailed 112 113 study of changes induced by the treatment. The material surface 114 wettability is one of the most commonly used factors for characterization and tuning of the material. The material wettability is usually 115generated by the material polarity and surface free energy, and it is 116directly proportional to these properties. The material surface wet-117 118 tability can be achieved by chemical treatment, e.g. acid, alkali or hydrogen peroxide treatment, which leads to the material oxidation, 119 namely the formation of oxygen-containing chemical functional 120 groups which are polar and thus they produce the material wettabil-121 ity (Wang et al., 2011; Zhang et al., 2011). An alternative approach is 122physical treatment by irradiation with ion beam (Bačáková et al., 1232001a), ultraviolet light (Mikulíková et al., 2005) or laser (Koufaki 124et al., 2011; Mirzadeh et al., 2011), or by exposure to plasma 125(Novotná et al., 2013; Parizek et al., 2009). The physical treatment 126127is advantageous especially in synthetic polymers. The common consequences of this treatment is splitting the polymer chains, 128 namely the C–H and C–C bonds, followed by the release of hydro- 129 gen, formation of conjugated double bonds in the polymer chains, 130 and particularly by the creation of "oxygen groups" on the material 131 surface. In addition, these treatments often produce a nanostructure 132 of the substrate, which also supports the cell adhesion and growth 133 (for a review, see Bačáková and Švorčík, 2008; Bacakova et al., 134 2011). 135

On moderately hydrophilic materials, the cell adhesion-mediating 136 proteins, such as vitronectin, collagen, and laminin, are adsorbed from 137 biological liquids (cell culture medium, blood, interstitial fluid) in a flex-138 ible, reorganizable, near-physiological conformation, advantageous for 139 accessibility of specific bioactive spots in above spoken molecules (e.g. 140 RGD) to cell adhesion receptors (of integrin and non-integrin families). 141 Moreover, hydrophobic surfaces promote preferential albumin adsorp-142 tion, which is poorly adhesive for cells (Bacakova et al., 2011; Bačáková 143 et al., 2004). However, on highly hydrophilic surfaces, the cell adhesion 144 sorption, or the adsorption forces are weak and unstable (Bačáková 146 et al., 2007a; Proks et al., 2012).

Another important material surface property is its roughness and 148 morphology. In scientific literature, the roughness is most often de- 149 scribed by R_a value, described as "the average deviation of the roughness 150 profile from the mean line", that in fact reflects the size of the irregular- 151 ities, i.e. the height of the prominences and the depth of the depressions 152 (Bačáková et al., 2007b; Vandrovcová et al., 2012). It can be summarized 153 that the macro roughness (size of the irregularities hundreds of µm and 154 more) do not hamper the cell adhesion and spreading, because the cells 155 usually spread over the distances of tens of µm only, and thus they can 156 spread on the side walls of the irregularities on in valleys among 157 them, and do not feel these irregularities. In addition, in case of the 158 bone implants, the macro scale irregularities help to anchor mechanical- 159 ly the implant in the tissue and support its primary stability. The micro 160 scale surface roughness $(1 \,\mu m \text{ to } 100 \,\mu m)$ is a more controversial issue. 161 In some studies, it supported the cell's growth and adhesion, while in 162 others it hampered the cell spreading and proliferation, although the 163 lower proliferation activity was often associated with increased cell dif-164 ferentiation (Bacakova et al., 2011; Vagaská et al., 2010; Vandrovcova 03 et al., 2008). Thus, for exact description of the micro roughness, the R_a 166 parameter seems to be insufficient. Also other parameters has to be 167 mentioned, particularly the shape and the distance of the irregularities. 168 If the irregularities are rounded and relatively distant, they may have 169 beneficial or neutral influence on cell spreading and growth, while 170 sharp and densely distributed irregularities may attenuate these 171 properties. 172

The nanoscale surface roughness (R_a less than 100 nm) is one of the 173 most frequently studied material properties. The reason is that the 174 nanostructured materials usually act as carriers supporting cell adhe- 175 sion or proliferation. An explanation is that nanoscale irregularities 176 mimic the irregularities in the native extracellular matrix molecules, 177 i.e. their undulations, bending, branching etc., and also irregularities 178 on membrane of cell. In addition, nanoscale surface roughness gener- 179 ates a higher surface wettability due to the larger surface area produced 180 by the irregularities. Addition of nanoparticles (e.g. carbon nanotubes) 181 to an originally highly hydrophobic polymer (a terpolymer of 182 polytetrafluoroethylene, polypropylene and polyvinyldifluoride) and 183 creation of its surface nanoroughness compensated its surface hydro-184 phobicity and significantly increased the number and spreading of 185 cells (Bačáková et al., 2007b; Staňková et al., 2014). The nanoscale sur- 186 face roughness is considered as advantageous particularly for bone im- 187 plants preparation and for the prevention of encapsulation of these 188 implants with fibrous tissue (Bacakova et al., 2011; Price et al., 2004; 189 Vagaská et al., 2010; Vandrovcova et al., 2008; Webster et al., 2001). 04

A certain controversy in the literature is apparent also for the influ- 191 ence of the material's surface electrical charge on the cell behavior. 192 Some studies indicated that the positively charged surfaces increased 193

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