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### 1 Research review paper

# Improving microalgae for biotechnology — From genetics to synthetic biology

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#### ABSTRACT

Microalgae have traditionally been used in many biotechnological applications, where each new application 16 required a different species or strain expressing the required properties; the challenge therefore is to isolate or 17 develop, characterize and optimize species or strains that can express more than one specific property. In agricul-18 ture, breeding of natural variants has been successfully used for centuries to improve production traits in many existing plant and animal species. With the discovery of the concepts of classical genetics, these new ideas have 20 been extensively used in selective breeding. However, many biotechnologically relevant algae do not posses 21 the sexual characteristics required for traditional breeding/crossing, although they can be modified by chemical 22 and physical mutagens. The resulting mutants are not considered as genetically modified organisms (GMOs) 23 and their cultivation is therefore not limited by legislation. On the other hand, mutants prepared by random or 44 specific insertion of foreign DNA are considered to be GMOs. This review will compare the effects of two genetic 25 approaches on model algal species and will summarize their advantages in basic research. Furthermore, we will 26 discuss the potential of mutagenesis to improve microalgae as a biotechnological resource, to accelerate the 27 process from specific strain isolation to growth optimization, and discuss the production of new products. Finally, 28 we will explore the potential of algae in synthetic biology. 29

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nucleases

#### Abbreviations: CRISPR, clustered regularly interspaced short palindromic repeats; DSB, double stranded break; EMS, ethyl methane sulfonate; EPA, eicosapentaenoic acid; GMO, genetically modified organism; MNNG, methylnitronitrosoguanidine; TAG, triacylglycerol; TALEs, transcription activator-like effectors; T-DNA, transfer DNA; ZFN, zinc-finger

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In recent years, algae have attracted wide interest as potential tools 51 to produce different compounds, including specialty chemicals, pharma- 52 ceuticals, food supplements and biofuels. Research in algal physiology 53 (including algal biotechnology) dates back to the 1950s, when their 54 potential was first noted and exploited (Aach, 1952; Geoghegan, 1951; 55 Milner, 1951; Spoehr and Milner, 1949), for review see (Borowitzka, 56

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1. Introduction

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2013). Over the past 50 years we have learnt a considerable amount 5758about algal physiology, growth and regulation, optimization of growth conditions and improvements in energy balance for algal production. 5960 However, to this day our knowledge is mostly limited to wild type strains of different origins. Traditionally, different strains or species of 61 algae are used for different purposes, thus specific rapidly growing 62 strains are used for biomass production, while others are used for the 63 production of specific compounds such as astaxanthin, eicosapentaenoic 64 65 acid (EPA) and recently, oils (Pulz and Gross, 2004; Trentacoste et al., 66 2013). This illustrates an inherent limitation of wild type strains, 67 where ideally, the strain should combine high growth rate and maximum product formation with ease and low cost of harvest. Some strains 68 fulfill one or two of these criteria, but it is not feasible to achieve optimal 69 70 production through simple strain selection. Naturally occurring strains did not evolve under conditions required for the production of many 71 biotechnologically and economically important products. Therefore, 72selective breeding technologies akin to those successfully applied in 73 74 the development of cereal cultivars of wheat and corn must be used (Georgianna and Mayfield, 2012). The time course of these processes 75can be further accelerated with basic knowledge accumulated through 76 higher plant breeding, as well as the availability of emerging methods 77 and technologies. Therefore, in order to fully exploit the potential of 78 79algae, it is crucial to invest in basic research on algal physiology and reactions to changing environments. This knowledge, combined with 80 genetic approaches, will enable the exploitation of novel algal strains 81 having optimized growth and production characteristics. 82

#### 83 2. Mutagenesis

Genetic material of all living organisms is transferred from parents to 84 85 progeny, thus forming the basis of hereditary traits. Genes, the simplest 86 units of heredity, are transferred to the next generation following a 87 set of basic genetic rules. Since their discovery in the mid-19th century 88 by Gregor Mendel, these fundamental laws have been exploited extensively by breeders in order to produce and combine desired traits in the 89 progeny, and by researchers to study mechanisms of heredity, as well as 90 91 other aspects of biology. Naturally occurring mutants arise by interac-92 tions between environmental effectors such as UV irradiation, or metabolically produced reactive oxygen species, and the genetic material. 93 Such mutations, and the resulting mutants, are a major source of genetic 94 variability with potential for evolution (Barton, 2010; Eyre-Walker and 95 96 Keightley, 2007). However, the natural processes are too slow for im-97 mediate applications in breeding or research. Mutation frequency can be increased by several orders of magnitude using different mutagens 98 99 (see below), leading to the production of mutant populations. To maximize these, thousands to tens of thousands of independent mutants 100 101 must be generated in order to cover the entire genome. The mutant population can then be screened for the desired phenotype. While the 102generation of mutant populations can be a simple task, especially in 103 the case of chemical mutagenesis, the real strength of mutational 104 screening lies in the selection of mutants with desired phenotypes. 105106 This is also one of the major bottlenecks of any mutagenesis screen 107and is discussed in more detail below. The required phenotypes can be complex, including increased cell size, improved growth, resistance 108to different compounds or improved productivity of a specific com-109pound, all of which will require specific (and different) screening proto-110 111 cols. Both breeders and researchers can search for similar phenotypes, but with different motivations. Breeders are concerned with the organ-112 ism itself, in order to produce a specific progeny. In contrast, researchers 113 are more interested in mutational mechanisms and their intracellular 114 connections. This difference may seem trivial, but it has far-reaching 115 consequences. The first step in characterizing a mutational mechanism 116 is to identify the mutated gene(s). This is traditionally done by crossing 117 mutants to other strains, and requires the existence of sexual reproduc-118 tion in the specific species. While generally obvious in higher plants, this 119 120 prerequisite is sometimes complicated to fulfill in algae, where the

conditions needed for sexual reproduction are sometimes not known121and the strains are usually maintained asexually. In basic research, this122obstacle can be overcome by sequencing the mutant genome using123next-generation sequencing and comparing this to the parental strain124(Dutcher et al., 2011). Importantly, prior knowledge, or the existence125of sexual reproduction, is not required for obtaining and propagating126mutant algal strains. Mutant strains can be selected based on phenotype127and reproduced asexually, giving rise to a culture expressing that128phenotype. Because most algae are haploid, even recessive mutants129can be propagated in this way. Traditionally, only strains with desired130phenotype/s are selected from the mutant population. However, it is131also possible to save and characterize mutants of all genes in the collec-132tion, irrespective of phenotype, with the benefits described below.133

#### 2.1. Chemical and physical mutagens

Chemical and physical mutagens are among the most widely used, 135 both in basic and applied science, particularly because most of them 136 are easy to apply at different doses and their mutagenic potentials are 137 well characterized (Table 1). Although so far there have only been a 138 few reports describing mutagenesis of wild type algal cells in order to 139 improve their biotechnological properties, all major mutagens were 140 used to this end and proved their usefulness. The most widely used 141 chemical mutagens are alkylating agents such as ethyl methane sulfo- 142 nate (EMS) and methylnitronitrosoguanidine (MNNG). They were also 143 the first ones used in mutagenic screenings to increase EPA production 144 in Nannochloropsis oculata (Chaturvedi and Fujita, 2006) and to enhance 145 growth properties of Chlorella (Ong et al., 2010). Typical physical muta- 146 gens include different types of irradiation such as UV, gamma or heavy 147 ion beams. The mode of action and mutagenic potential of each type 148 of radiation on cells depends on the energy while the frequency of 149 their use depends on ease of application. Mutagenesis by UV is very 150 simple, since it requires neither specialized equipment nor chemicals 151 and can be very easily performed, essentially by exposing cells to germi- 152 cidal UV lamps in a sterile hood. Given its simplicity and potential, this 153 method has been used both in basic research to prepare algal strains 154 with specific features (Neupert et al., 2009), and in applied science to 155 produce strains with increased production of oil (de Jaeger et al., 156 2014; Vigeolas et al., 2012). Gamma and particularly heavy ion beam 157 irradiation requires specific equipment so they are not so widely used. 158 Nevertheless, the applicability of gamma irradiation to mutagenesis 159 was demonstrated by improved productivity of astaxanthin (Najafi 160 et al., 2011). Clearly all mutagens have proven their merits in produc- 161 tion of mutants with desired phenotypes. However, as we mention 162 above and discuss in more detail below the main limitation of any 163 mutagenesis screen is the screening procedure itself. 164

#### 2.1.1. Conditional mutations

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Essential genes are less amenable to a classical genetic approach 166 since an inactivating mutation cannot be recovered due to its lethality. 167 One way to circumvent this is through point mutations that could affect 168 only the activity or behavior of a gene product without its inactivation, 169

ifferent mutagens, their mode of action and mutations caused.			t1.2
Mutagen	Mode of action	Most common mutation caused	t1.3
EMS, MNNG	Alkylation of DNA base, particularly guanine	Point mutations	t1.4
UV irradiation	Photochemical reaction leading to cyclobutane ring	Point mutations, deletions	t1.5
Gamma irradiation	Ionization leading to double stranded break	Deletions	t1.6
Heavy ion beams	Ionization leading to double stranded break	Chromosome breaks and exchanges	t1.7
T-DNA, antibiotics	DNA fragment insertion	Insertions, deletions	t1.8
resistance gene			t1.9

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Table 1

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