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# Cerium oxide nanoparticles with antioxidant properties ameliorate strength and prolong life in mouse model of amyotrophic lateral sclerosis William DeCoteau<sup>a,1</sup>, Karin L. Heckman<sup>b,1</sup>, Ana Y. Estevez<sup>a,b</sup>, Kenneth J. Reed<sup>c</sup>, Wendi Costanzo<sup>c</sup>, David Sandford<sup>c</sup>, Paige Studlack<sup>a</sup>, Jennifer Clauss<sup>b</sup>, Elizabeth Nichols<sup>b</sup>, Jennifer Lipps<sup>b</sup>, Matthew Parker<sup>b</sup>, Bonnie Hays-Erlichman<sup>b</sup>, J.C. Leiter<sup>d,\*</sup>, Joseph S. Erlichman<sup>b</sup>

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#### 12 Abstract

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Cerium oxide nanoparticles (CeNPs) neutralize reactive oxygen and nitrogen species. Since oxidative stress plays a role in amyotrophic lateral sclerosis (ALS) in humans and in the SOD1<sup>G93A</sup> mouse model of ALS, we tested whether administration of CeNPs would improve survival and reduce disease severity in SOD1<sup>G93A</sup> transgenic mice. Twice a week intravenous treatment of SOD1<sup>G93A</sup> mice with CeNPs started at the onset of muscle weakness preserved muscle function and increased longevity in males and females. Median survival after the onset of CeNP treatment was 33.0 ± 3.7 days (N = 20), and only 22.0 ± 2.5 days in mice treated with vehicle, control injections (N = 27; P = 0.022). Since these citrate–EDTA stabilized CeNPs exhibited catalase and oxidase activity in cell-free systems and in *in vitro* models of ischemic oxidative stress, we hypothesize that antioxidant activity is the protective mechanism prolonging survival in the SOD1<sup>G93A</sup> mice. © 2016 Published by Elsevier Inc.

21 Key words: Oxidative stress; Cerium oxide nanoparticles; Amyotrophic lateral sclerosis

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We have been investigating the therapeutic potential of 23 antioxidant, cerium oxide nanoparticles (CeNPs). Cerium oxide 24nanoparticles are antioxidant on the basis of superoxide 25dismutase and catalase mimetic activity,<sup>1-3</sup> unlike many other 26antioxidant therapies (glutathione, ascorbate, vitamin E, etc.), 27CeNPs are antioxidant on the basis of catalytic activity, and the 28nanoparticles are not consumed in the redox reaction. The small 29size of the custom CeNPs (~3 nm diameter; see Supplementary 30 Data for physico-chemical characterization of the CeNPs) gives 31 these nanoparticles unusual access to the central nervous 32

system.<sup>4</sup> These nanoparticles have a relatively long tissue <sup>33</sup> half-life and persist in brain tissue in mice for weeks where they <sup>34</sup> remain biologically active.<sup>4</sup> CeNPs have shown benefit in a <sup>35</sup> variety of disease models in which oxidative stress plays a <sup>36</sup> prominent role: ischemic brain injury,<sup>5–7</sup> Experimental Autoim- <sup>37</sup> mune Encephalomyelitis (EAE),<sup>4</sup> heart failure<sup>8</sup> and <sup>38</sup> light-induced damage of the retina.<sup>9</sup> <sup>39</sup>

Given the efficacy of the CeNPs in disease models in which 40 oxidative stress plays a prominent role, we hypothesized that 41 CeNPs might provide similar therapeutic benefit in a murine 42 model of amyotrophic lateral sclerosis (ALS). The majority of 43 patients with ALS have no family history of the disease. 44 However, 5-10% of patients have an inherited form of ALS, and 45 of the familial forms of ALS, approximately 20% are related to 46 inherited mutations of the copper/zinc superoxide dismutase 47 enzyme (SOD1).<sup>10</sup> Transgenic mice that overexpress an 48 SOD1<sup>G93A</sup> point mutation, which replicates a common mutation 49 in familial ALS, have a toxic gain of function related to 50

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expression of the mutant SOD1 gene.<sup>11,12</sup> The SOD1<sup>G93A</sup> mice display many of the biochemical, clinical and pathological features of both familial and sporadic ALS in humans, and the transgenic SOD1<sup>G93A</sup> animals are often the first model system in which potential ALS therapies are tested.<sup>13,14</sup>

Oxidative stress and oxidative damage have received 56persistent attention as causes of ALS. First, evidence of excess 57reactive oxygen species (ROS) and reactive nitrogen species 58(RNS) and their attendant damage to DNA, RNA, lipids and 59proteins are abundant in the SOD1<sup>G93A</sup> mice.<sup>15-19</sup> Evidence of 60 oxidative and nitrative stress is also detectable in tissue from 61 patients with ALS, though the particular manifestations of 62 oxidative stress detected are variable among studies.<sup>16,17,20-22</sup> 63 Second, antioxidant drugs have shown efficacy in animal studies 64 of ALS,<sup>23-26</sup> and edaravone, a drug approved for ALS in 65humans, is a redox active agent.<sup>27-29</sup> Furthermore, drugs with 66 anti-inflammatory and antioxidant mechanisms of action 67 emerged as the most promising candidates for further investiga-68 tion in ALS in a meta-analysis of multiple, preclinical drug 69 trials.<sup>30</sup> Finally, ALS shares many pathological and biochemical 70 features with other neurodegenerative diseases, such as Alzhei-7172mer's disease and Parkinson's disease, and evidence of oxidative stress and trials of antioxidant therapies in these diseases also 73fuels the persistent enthusiasm for antioxidant therapies in 74 ALS.<sup>17,31–33</sup> Antioxidant drugs have not, however, actually been 75effective in clinical trials in ALS in humans thus far, though this 76may be changing.<sup>27,28</sup> This failure may reflect more about the 77 pharmacology of particular antioxidants tried rather than the 78 general benefit of antioxidants in the presence of oxidative stress. 79There is no genuinely effective treatment for ALS.<sup>10,34</sup> The 80 only widely approved therapy is riluzole, which is expensive and 81 extends life for only two-three months.<sup>35</sup> There have been 82 numerous tantalizingly effective drugs in animal models of ALS, 83 but to date, all of these drugs, except riluzole, have failed in 84 clinical trials in humans.<sup>30,34</sup> As a result, a consensus statement 85 was developed to try to improve the usefulness and predictive 86 power of preclinical, animal studies in ALS.<sup>36</sup> In the current 87 study, we tried to adhere to the consensus guidelines for 88 preclinical testing in ALS, and we tested the hypothesis that 89 treatment of SOD1<sup>G93A</sup> transgenic mice with CeNPs would 90 91 ameliorate muscle weakness and prolong survival when CeNP treatment was begun at the onset of motor weakness. 92

#### 93 Methods

All protocols involving animals were approved by the
 St. Lawrence University Institutional Animal Care and Use
 Committee.

#### 97 Murine model of ALS

High copy number male transgenic B6SJLTg(SOD1\*G93A)1Gur/J mice and B6SJLF1/J female mice were
purchased from Jackson Laboratories (Bar Harbor, ME) and bred.
Offspring were genotyped by Mouse Genotype (Carlsbad, CA)
using standard PCR primers, and only heterozygotes of both sexes
were included in these studies.

### CeNP trial in SOD1<sup>G93A</sup> mice

The onset of muscle weakness was measured using hanging 105 wire testing. SOD1<sup>G93A</sup> mice were trained on this task for 6 days 106 when males were 83 days old or females were 100 days old to 107 establish a baseline value. Thereafter, each animal was tested on 108 the hanging wire apparatus and weighed twice per week. The onset 109 of muscle weakness was defined by the occurrence of two 110 consecutive decreases in hanging wire performance, and both 111 hanging wire test times had to be less than the average baseline 112 value. A schematic outline of the experiment is shown in Figure 1. 113

We used a clinical score algorithm to define the onset of clinical 114 disease (Table 1). Both positive and negative attributes of 115 behaviors associated with specific scores were defined, and the 116 date of clinical disease onset was defined by the first occurrence of 117 a clinical score < 5. The surrogate endpoint for death was the 118 righting reflex, a standard test in ALS.<sup>37</sup> In addition, mice were 119 euthanized by an isoflurane overdose for <u>any</u> of the following 120 reasons: prolapse of the anus/uterus, autosarcophagy, loss of 121  $\geq$ 20% of body weight or any clinical score  $\leq$ 2. 122

After the onset of muscle weakness, the clinical disease and body 123 weight assessment were conducted daily, and the hanging wire 124 testing was conducted two times per week. Once the clinical score 125 was <5, each mouse was weighed daily, the clinical score was 126 assessed twice per day, and the hanging wire test was performed 127 three times per week. Investigators weighing the animals, perform-128 ing the behavioral scoring and measuring the hanging wire times 129 were all blinded to the treatment each animal received. 130

Drug therapy with CeNPs started once muscle weakness was 131 apparent on the hanging wire test. We used custom made CeNPs 132 that were synthesized with equal amounts of ethylenediamine-133 tetraacetic acid (EDTA) and citrate as a surfactant (see 134 Supplementary Data). As each mouse developed muscle 135 weakness, it was assigned randomly to one of the treatment 136 groups, either CeNPs or vehicle, control injections. Randomi-137 zation was stratified by gender. The treatment group received 138 bi-weekly intravenous (I.V.) tail injections via 30 ga needle of 139 CeNPs (20 mg/kg) diluted in 100  $\mu$ L vehicle (136 mM NaCl 140 buffered with 10 mM Na–HEPES and titrated to pH 7.4). 141 Control animals received identical, bi-weekly, 100  $\mu$ L, I.V. tail 142 vein injections with vehicle alone. Tail vein injections were 143 given under brief isoflurane anesthesia.

### Biodistribution of cerium in $SOD1^{G93A}$ mice 145

Mice were euthanized with an isoflurane overdose and 146 transcardially perfused with phosphate buffered saline, and internal 147 organs were removed to analyze tissue-specific cerium content. 148 Specimens were digested in nitric acid and prepared for inductively 149 coupled plasma mass spectrometry (ICP-MS) at the Trace Metal 150 and Analytics Facility at Dartmouth College to assess the level of 151 cerium in individual tissues after CeNP treatment. 152

#### Statistical analysis

For ordinal data (clinical scores), Freidman's test for 154 nonparametric, multiple comparisons was used to evaluate 155 main effects, and Dunn's post-hoc test (one-tailed) was used to 156 compare treatment groups to control. Day-by-day comparisons 157

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