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## Review Principles and engineering of antibody folding and assembly<sup>☆</sup>

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#### ABSTRACT

Antibodies are uniquely suited to serve essential roles in the human immune defense as they combine several 16 specific functions in one hetero-oligomeric protein. Their constant regions activate effector functions and their 17 variable domains provide a stable framework that allows incorporation of highly diverse loop sequences. The 18 combination of non-germline DNA recombination and mutation together with heavy and light chain assembly 19 allows developing variable regions that specifically recognize essentially any antigen they may encounter. 20 However, it also requires tailor-made mechanisms to guarantee that folding and association of antibodies is 21 carefully controlled before the protein is secreted from a plasma cell. Accordingly, the generic immunoglobulin 22 fold  $\beta$ -barrel structure of antibody domains has been fine-tuned during evolution to fit the different requireaments. Work over the past decades has identified important aspects of the folding and assembly of antibody doatintrinsically disordered antibody domain in the context of its partner domain as the basis for antibody assembly 26 and its control on the molecular level in the cell. These insights have not only allowed a better understanding of the antibody folding process but also provide a wealth of opportunities for rational optimization of antibody 28 molecules. 29

In this review, we summarize current concepts of antibody folding and assembly and discuss how they can be 30 utilized to engineer antibodies with improved performance for different applications. This article is part of a 31 Special Issue entitled: Recent advances in molecular engineering of antibody.

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#### 38 1. Introduction

The human immune defense depends on reliable and efficient dis-39 crimination of self from non-self. In all higher vertebrates, antibodies 40 play a major role in this task and protect the organism against threats 41 42 ranging from toxins to microbial pathogens [1]. Antibodies are complex glycoproteins that are secreted in large quantities by plasma cells [2,3]. 43They are made up of heavy chains (HCs) and light chains (LCs) with the 44 simplest human antibodies being hetero-tetramers that comprise two 45 46 HCs and two LCs each (Fig. 1). Five antibody classes exist in humans (IgA, G, D, E and M) that differ in their HCs ( $\alpha$ HC,  $\gamma$ HC,  $\delta$ HC,  $\epsilon$ HC and 47  $\mu$ HC) comprising either four ( $\alpha$ HC,  $\gamma$ HC,  $\delta$ HC) or five ( $\epsilon$ HC,  $\mu$ HC) immu-48 49 noglobulin (Ig) domains. Only two classes of light chains ( $\kappa$ LC and  $\lambda$ LC) are shared between the different human antibody classes. Even though 50the various antibody classes differ in their biological functions and 5152structural details, they have two important features in common that 53enable them to defend the organism against most infectious or toxic

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http://dx.doi.org/10.1016/j.bbapap.2014.06.004 1570-9639/© 2014 Published by Elsevier B.V. challenges it may encounter: variable regions that bind to antigens 54 and constant regions that link the antibody and its bound antigen to 55 the cellular immune defense (Fig. 1). 56

The constant region of antibodies incorporates multiple biological 57 functions. It binds to Fc receptors inducing antibody-dependent cell- 58 mediated cytotoxicity (ADCC) and activates the complement system 59 [4]. The constant region furthermore has an important impact on the 60 biological half-life of antibodies due to its binding to the neonatal Fc 61 receptor that induces antibody recycling after cellular uptake into 62 endosomes [5,6]. 63

Variable regions of one HC and one LC each form a composite anti- 64 gen binding surface (paratope) (Fig. 1). Antigen binding sites of the var- 65 iable regions are generated by non-germline genetic rearrangements, 66 non-templated base pair additions and hypermutation in a micro- 67 evolutionary process [7,8] that gives rise to proteins that can bind essen- 68 tially any target structure with high affinity and specificity. An array of 69 techniques has been developed, from ribosome, phage and yeast display 70 to genetically engineered mice with a human antibody repertoire to 71 obtain antibodies with the desired specificities [9–11]. Recently, this 72 possible repertoire has even been further extended by generating 73 bispecific antibodies [6,12–14]. 74

Together, the highly specific binding of antibodies and their link to 75 the cellular immune response have rendered them the most widespread 76

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**Fig. 1.** Schematic and structural elements of the IgG1 antibody molecule and the Ig fold. Left panel: The IgG1 antibody consists of two heavy chains (blue) and two light chains (green) that together form two identical antigen binding sites (paratopes), which are part of the Fab fragment (fragment antigen binding). The smallest complete dimeric antigen binding entity is the Fv fragment (fragment variable). Effector functions are mediated via the Fc fragment (fragment crystallizable). The C<sub>H</sub>2 domains are glycosylated (gray hexagons) and disulfide bridges (S-S) connect the two heavy chains as well as the heavy and light chains. Middle panel: The Fab fragment is connected by an interchain disulfide bond and each of the domains has one buried disulfide bond (yellow, CPK representation). Proline residues within the Fab fragment are highlighted in red. *Trans* prolines are shown in a stick representation. The small helices in the C<sub>L</sub> domain, which are found in all most antibody constant domains except for e.g. C<sub>H</sub>1, are colored in orange. Right panel: As shown for the C<sub>L</sub> domain, the constant domain Ig fold consists of seven strands (a–f), two of which (b and f) are connected by the buried disulfide bond. Prolines and small helices are depicted in the same manner as in the middle panel.

diagnostic and therapeutic biomolecules that can be used for a variety of 77 78applications from detecting and marking tumors in vivo, via treating autoimmune diseases to combating cancer cells [6]. Recent developments 79have given rise to antibodies that are able to pass the blood brain barrier, 80 81 opening up a whole new field of diseases that could potentially be targeted with tailored antibodies [15,16]. Thus, a vital interest exists in 82 optimizing antibody production, stability and half-life in the organism 83 as well as in inhibiting side reactions like aggregation, which can cause 84 serious side effects including immunogenicity [17–20]. As strategies to 85 engineer specificity have been extensively reviewed elsewhere [9-11, 86 87 21,22], in the following, we will focus on recent insights into the determinants of in vitro and in vivo antibody folding and assembly pathways, 88 with an emphasis on how these can be used to design better antibody 89 90 therapeutics.

#### 91 2. Engineering antibodies: stability and folding pathways

92 Each of the antibody domains shows the Ig fold, the most widespread protein topology in secretory pathway proteins, with vari-93 94able domains belonging to the V-set and constant domains to the C-set [23,24]. Ig domains show a Greek key  $\beta$ -barrel topology generally 95 96 composed of either seven (C-type) or nine (V-type)  $\beta$ -strands [23,24] 97 (Fig. 1). A characteristic of this topology is that  $\beta$ -strands that are 98 adjacent in space are not necessarily adjacent in sequence (Fig. 1). 99 Most of the published studies on antibody optimization have focused on the antigen binding variable regions due to their immediate role in 100 target recognition and the possibility to design smaller binding mole-101 cules without constant domains [20,25]. The opposite, however, applies 102when it comes to studies that have assessed Ig folding pathways in de-103tail. These mostly focused on antibody constant domains or other mem-104 bers of the Ig superfamily [26-29]. 105

Mutational approaches combined with kinetic analyses of a protein 106 folding process allow the structural assessment of protein folding tran-107 108 sition states (Phi value analysis) [30-33]. This approach has revealed that Ig domains initiate their folding by a nucleus composed of Ig 109 strands b, c, e and f around which the Ig fold forms [34–36] (Fig. 1). 110 However, antibody domains comprise several structural features that 111 112 significantly influence this general mechanism with direct implications 113 for protein engineering.

#### 2.1. Disulfide bonds in antibody folding

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A defining characteristic of most antibody domains is an intramolec- 115 ular buried disulfide bridge perpendicular to the two sheets of the Ig 116 fold [37] (Fig. 1). Early on, it has been recognized that this disulfide 117 bond plays a crucial role in the stability of antibody domains in vitro 118 [38–40] and complete antibodies in vivo [41]. Besides, the oligomeric 119 structure of antibodies is stabilized by disulfide bonds connecting LCs 120 and HCs as well as inter-HC disulfide bonds [42,43] (Fig. 1). In the case 121 of the constant domain of antibody LCs (C<sub>L</sub> domain, Fig. 1), the internal 122 disulfide bridge accelerates folding and inhibits off-pathway misfolding 123 reactions [44,45]. Of note, in antibody constant domains the internal 124 disulfide bridge connects strands b and f (Fig. 1), which are part of the 125 common Ig topology folding nucleus [34–36]. For the variable domains, 126 the intramolecular disulfide bond, also connecting strands b and f, sim- 127 ilarly reduces their tendency to aggregate [46]. Together this argues for 128 an intimate link between folding of antibody domains and formation of 129 their disulfide bond which was also found in vivo [47] where disulfide 130 bonds in antibody domains and between antibody chains already form 131 co-translationally [41,42]. Disulfide bond formation is aided by protein 132 disulfide isomerases (PDIs) in vivo [48,49] and in vitro [50] (Fig. 2). 133 The time window for the action of PDI can be extended in the presence 134 of the Hsp70 chaperone BiP [51], a major ER-resident protein involved 135 in the folding, assembly and quality control of antibodies in the cell 136 [52,53] (Fig. 2), by inhibiting premature collapse of the polypeptide 137 chains. Engineering additional disulfide bonds into the Ig fold or be- 138 tween Ig domains is one of the most widespread approaches to stabilize 139 antibody domains. A significant stabilization can be achieved this way 140 [54–58] as described in several recent reviews [59–62]. A particularly 141 well studied example in this regard is Fv fragments, the most simple di- 142 meric binding module derived from antibodies, which comprise only 143 one  $V_L$  and one  $V_H$  domain (Fig. 1). Nowadays, Fv fragments are mainly 144 used as single chain Fv fragments (scFv) where the C-terminus of the  $V_L$  145 and the N-terminus of the V<sub>H</sub> domain are connected by a peptide linker 146 [63,64]. Disulfide bonds have been shown to be particularly useful to 147 stabilize scFv fragments either by engineering the antigen binding site 148 [65], or by, more generally applicable, the framework regions to stabi- 149 lize V<sub>L</sub>-V<sub>H</sub> heterodimers [66,67]. Indeed, dissociation of the variable do- 150 mains is often an initial step preceding aggregation of scFv fragments 151 under challenging conditions and thus disulfide bond engineering can 152

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