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$_{3.01}$ Electronic properties of one-dimensional graphene ⁴ quantum-dot arrays

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First-principles method $\frac{25}{26}$

ABSTRACT

Using the first-principles method based on density functional theory, the electronic prop- 28 erties of various one-dimensional graphene quantum-dot arrays (1D GQDAs) are system- 29 atically studied. It shows that arrays present semiconducting behaviors when their edge 30 structure is armchair-type, however, if their edge structure is zigzag-type, arrays are either 31 metallic or semiconducting depending on the type of *edge units*: AA-type or AB-type. 32 Punching nanoholes in quantum-dots would lead to an increase of the band gap for semi- 33 conducting arrays, but does not change a metallicity significantly for metallic arrays. More- 34 over, we find that the band gap of 1D semiconducting GQDAs decreases oscillatorily with 35 size increasing, which means that the bandgap size is closely related to the quantum con- 36 finement and size effects. Our studies show that constructing various kinds of 1D GQDAs 37 can effectively regulate the electronic behaviors of the graphene structure and obtain 38 abundant electrical properties. 39

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44 1. Introduction

 Since graphene, a single-layered two-dimensional crys-46 tal composed of sp^2 hybridized carbon atoms, was success-47 fully fabricated in experiment $[1]$, its unique properties in mechanics, electronics, and magnetics have attracted much attention. In particular, it is predicted that it would have promising applications in nanoelectronics based on its unusual electronic properties [\[2–6\].](#page--1-0) Two-dimensional graphene can be tailored into other shapes as well, such as one-dimensional graphene nanoribbons (GNRs) [\[7\],](#page--1-0) gra-54 phene quantum dots (GQDs) $[8,9]$, and graphene quantum rings (GQRs) [\[10–12\]](#page--1-0), by using different techniques [\[13\]](#page--1-0). Among them, GNRs are the most popular structure investi- gated, and they have two typical families: zigzag-edged GNRs (ZGNRs) and armchair-edged GNRs (AGNRs), which

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can be achieved by cutting a two-dimensional graphene 59 along different crystallographic directions. Due to different 60 edge structures, ZGNRs always present metallic behaviors 61 while AGNRs is semiconductor $[14]$, if they are passivated 62 by hydrogen atoms and neglecting the spin effects of elec- 63 trons simultaneously. 64

Seeking for high-performance graphene-based devices 65 is a challenging work. The key technique for graphene to 66 be applied widely to nanoelectronic devices is how to 67 make them have a suitable bandgap, especially for devel-
68 oping field effect transistors (FETs). However, two-dimen- 69 sional graphene is a semimetal with a zero bandgap. 70 Therefore, lots of methods, such as edge modification 71 [\[15\]](#page--1-0), heteroatom doping $[16]$, introducing mechanical 72 deformation $[17]$ and topologic defects $[18]$, are used to 73 modulate the electronic structure of graphene, especially 74 for a bandgap opening. Recently, an interesting approach 75 proposed to engineering bandgaps is to punch periodic 76 nanoholes on two-dimensional graphene or GNRs [19- 77] [21\]](#page--1-0), which changes the electronic structure by forming 78

Fig. 1. One dimensional graphene quantum-dot arrays. (a) 1D QDAs derived from ZGNRs ($W = 10$), the dotted box indicates the quantum-dot (unit cell). (b) 1D QDAs derived from AGNRs (W = 15), (c) and (d) indicate the quantum-dots which come from punching nanoholes in the eight quantum-dots as shown in (a) and (b), and the nanoholes shape is highlighted with red lines. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

 inner edge structures to realize the transformation of the metal or semimetal to semiconductor. These studies indi- cate that the bandgap size of graphene or GNRs is closely related to the density of nanoholes punched, thus it is pos- sible to effectively control their electronic structure by adjusting the density of nanoholes. It is worth noting that to obtain the favorable electronic properties of GNRs, except for introducing the well-defined inner edges and changing their shapes properly, an alternative pathway is to alter their outer edge structures, namely, introducing edge defects artificially. Due to the effects of edge states, it might be more effectively to regulate the electronic structure of nanoribbons significantly.

In this paper, we consider a special nanostructure, 92 one-dimensional (1D) graphene quantum-dots arrays 93 (GQDAs), which can be viewed as a long chain through 94 connecting graphene nanoflakes with different geometrical 95 shapes (triangular, hexagonal, and diamond-shaped) each 96 other in some artificial way at one infinite line, or regarded 97 as a special derivative of GNRs by tailoring edges of GNRs 98 and introducing larger edge defects periodically. Relative 99 to single graphene quantum-dot, the periodic potential is 100 introduced when graphene nanoflakes are connected 101 regularly into 1D GQDA, its electronic properties will be 102 changed correspondingly. The calculated results show that 103 due to the edge structure effect, size effect, and quantum 104

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