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## Carboxylic acid mediated self-assembly of small molecules 3 for organic thin film transistors

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ABSTRACT

A p-type small molecule bearing dicarboxylic acid functional group (-COOH) is synthesized 29 and evaluated for field-effect transistor properties. We discover and report for the first 30 time, that the -COOH groups assist in the passivation of surface traps on the dielectric layer 31 and simultaneously facilitate the self-assembly of the molecules via inter-molecular hydro-32 33 gen bonding resulting in crystalline active channels. A 9-fold decrease in the threshold voltage was observed for the transistors made using the -COOH functionalized molecule, 34 35 QT-DA, compared to its ester analogue, QT-ES, providing an evidence of surface passivation. This resulted in an increase in the hole mobility of QT-DA by up to 2 orders of magnitude. It 36 was shown that OT-DA adopts a vertical alignment with respect to the substrate due to 37 preferential interaction between the -COOH groups and the SiO<sub>2</sub> surfaces. 38

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#### 43 1. Introduction

Conjugated small molecules present a viable approach 44 to solution processable organic thin film transistor (OTFT) 45 as it has the advantages of a definite molecular weight, 46 47 ease of purification and good batch-to-batch reproducibility. Fabrication of OTFTs involves the deposition of a semi-48 conducting organic layer on SiO<sub>2</sub>/Si substrates where the 49 50 thermally grown  $SiO_2$  acts as the gate insulator. The  $SiO_2$ 51 layer and other metal oxide gate insulators often contain 52 charge trapping sites due to the presence of hydroxyl 53 (-OH) groups and surface defects [1,2]. Since charge generation and transport in OTFTs occur close to the 54 55 semiconductor/insulator interface, any imperfection at 56 that interface can result in high threshold voltages that 57 in turn affect charge carrier mobilities. A common method

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to overcome this problem is to passivate the SiO<sub>2</sub> surface 58 with self-assembled monolayers (SAMs) such as hexam-59 ethyldisilazane (HMDS) [3,4], octadecyltrichlorosilane 60 (OTS) [5–7] and other types of silanes. Silanes are widely 61 used for surface functionalization as they form covalent 62 bonds with the SiO<sub>2</sub> surface. Other than silanes, alkane-63 phosphonic acids have also been used to treat substrates 64 due to their good binding properties to metal oxide 65 surfaces [8]. However, the use of molecules that can both 66 passivate the substrate and simultaneously form the active 67 channel is a relatively new and unique area of research 68 that is worth exploring. In this work, we report the 69 synthesis of carboxylic acid functionalized at the  $\alpha$ - and 70 71  $\omega$ -position of the semiconducting small molecule that function both as the dielectric passivating layer as well 72 as the active channel material. The bi-functional configura-73 tion was selected so that one of the carboxylic acid groups 74 can anchor onto and passivate the dielectric surface via 75 hydrogen bonding or proton transfer onto the lattice 76 oxygen atom while the other acid group can assist in the 77

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structural ordering and extending the packing range of the 78 79 small molecules via inter-molecular hydrogen bonding. 80 The above functionalities are achieved in a single spin coat-81 ing step. The interaction of carboxylic acid groups with 82 inorganic oxide surfaces has been reported for both dye-83 sensitized solar cells and SAMs [9-12]. A recent computa-84 tional study by Bauer et al. shows that the most stable 85 attachment mode for -COOH on inorganic oxides is the 86 monodentate linkage [13]. We employ the simple quarter-87 thiophene as the semiconducting core due to its wellreported success in small molecule OTFTs [14-17]. 88

89 A similar concept for SAM field effect transistors (SAM-FETs) where a semiconducting small molecule with a 90 91 mono-functionalized anchoring group was used as the active layer has been demonstrated [18-20]. In SAMFETs, 92 93 short channel lengths in the order of submicrometer range were commonly required for current modulation due to 94 95 limitations in long-range intermolecular  $\pi$ - $\pi$  interactions 96 between the molecules. In the SAMFETs fabrication, a com-97 mon practice was to immerse the substrate into a dilute SAM solution to effect the functionalization and self-98 assembly. However, previously a pyrene-phosphonic acid 99 100 molecule was reported to be able to self-assemble onto 101 hydrophobic surfaces via spin-coating [21]. Recently, 102 Hutchins et al. have shown that in situ functionalization and assembly during spin coating could also be realized 103 [20]. Leveraging on the SAMFETs concept, we propose that 104 a bi-functionalized molecule will be able to simultaneously 105 result in passivation layers and ordered thin films with 106 good charge transport properties that can be characterized 107 108 with larger micron-scale channel lengths. Although moieties other than carboxylic acid (e.g. phosphonic, sulfonic 109 acids and amine groups) may also bind well to oxide sur-110 111 faces we chose carboxylic acid due primarily to its ease 112 of synthesis for this proof-of-concept study.

## 113 2. Experimental

114 Heavily doped p-type silicon wafers with about 200 nm thick thermally grown SiO<sub>2</sub> were used as substrates for the 115 top contact OTFTs. The substrates were cleaned by ultraso-116 117 nicating in acetone for 10 min and in isopropanol for another 10 min. The substrates were then rinsed with 118 deionized (DI) water and dried with pressurized N2 and 119 treated with UV-ozone heated for 20 min at 100 °C prior 120 121 to surface silanization or direct deposition of active layers. 122 The quarterthiophene with dicarboxylic acid, QT-DA, and 123 the diester analogue, QT-ES molecules were dissolved in THF and CHCl<sub>3</sub> respectively at a concentration of 4 mg/ 124 mL and spin-coated on the substrates at 3000 rpm for 125 1 min. The source and drain electrodes were deposited by 126 thermal evaporation of gold (ca. 80 nm) through a shadow 127 128 mask. OTFT testing was performed on a probe station 129 equipped with an optical microscope and a Keithley 4200 130 parameter analyzer. Monolayer samples of QT-DA was 131 obtained by spin coating a 0.2 mM solution at 2000 rpm 132 on a UV-ozone treated SiO<sub>2</sub>/Si substrates follow by spin 133 rinsing. Determination of step height was achieved from voids present. The highest occupied molecular orbital 134 135 (HOMO) level of the molecules were obtained from thin

film samples by the photoelectron spectroscopy in air 136 (PESA) using a Riken Kekei AC-2 spectrometer. Film 137 thicknesses were measured using a step profiler KLA 138 Tencor P-10 while atomic force microscopy (AFM) images 139 were collected from Digital Instruments Nanoscope IIIa in 140 tapping mode. Molecular modeling was carried out using 141 energy minimization with molecular mechanics (MM2) 142 using the ChemBio Office ChemBio 3D Ultra, v. 11.0 143 (CambridgeSoft, Cambridge, MA) [22-24]. X-ray diffraction 144 (XRD) was conducted on the Bruker General Area Detector 145 Diffraction System (GADDs) with a Cu Ka radiation and a 146 2D detector. Scans were collected for a  $2\theta$  range of  $2-30^{\circ}$ 147 with scan duration of 10 min. The synthesis and detailed 148 materials characterization of QT-DA and QT-ES are 149 reported elsewhere [25]. 150

## 3. Results and discussion

Fig. 1 shows the molecular structure of quarterthiophene with dicarboxylic acid, QT-DA, and its diester analogue, QT-ES. QT-ES was used as a comparison to investigate the effect of incorporating –COOH functional groups. Materials characterizations of the final compounds are provided in Supporting Information.

157 The highest occupied molecular orbital (HOMO) level 158 for both molecules was estimated using Photo-Electron 159 Spectroscopy in Air (PESA) while the lowest unoccupied 160 molecular orbital (LUMO) level was calculated from the 161 optical band-gap. The HOMO/ LUMO levels for QT-DA 162 and QT-ES were -5.18/-3.11 eV and -5.50/-3.31 eV 163 respectively [25]. The HOMO levels for both molecules 164 make them suitable as hole transport materials. Field effect 165 transistor behaviour of these QT molecules was investi-166 gated via the bottom gate, top contact device configura-167 tion. The substrates used were heavily p-doped 168 conductive silicon wafers with a layer of thermally grown 169  $SiO_2$  (~200 nm) on the surface as the gate dielectric. No 170 silane treatment of the SiO<sub>2</sub> layers was done prior to the 171 deposition of the channel materials. Instead, we exposed 172 the substrates to UV-ozone treatment for 20 min to 173 remove surface contaminants and increase the density of 174 anchoring sites for molecule-substrate interaction [26]. 175 QT-DA and QT-ES were spin-coated from THF and CHCl<sub>3</sub> 176 respectively using a concentration of 4 mg/mL at 177 3000 rpm for 1 min. A layer thickness of approximately 178 70 nm was obtained and annealing was done prior to gold 179 source and drain deposition to evaluate the effects of ther-180 mal annealing. Annealing temperatures were chosen to be 181 between the crystallization and melting temperatures (not 182 shown in paper) of the materials and they were 75 °C and 183 100 °C for QT-ES and QT-DA respectively.p-Channel char-184 acteristics were observed for both QT molecules (Fig. 2) 185 and the hole mobilities were calculated from the satura-186 tion regime of the transfer curves with an applied drain 187 voltage of -70 V. The hole mobility of QT-ES was 188  $2.6\times 10^{-5}\,cm^2/Vs$  (averaged) and  $3.2\times 10^{-5}\,cm^2/Vs$ 189 (champion data) with  $I_{ON}/I_{OFF}$  ratio of approximately  $10^2$ 190 and a threshold voltage  $(V_{th})$  of -28 V. The hole mobility 191 of QT-DA showed a significant improvement over that of 192 OT-ES (up to 2 orders of magnitude)  $-2.7 \times 10^{-4}$  cm<sup>2</sup>/Vs 193

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