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- Targeting HER2 + breast cancer cells: Lysosomal accumulation of 1
- anti-HER2 antibodies is influenced by antibody binding site and conjugation to polymeric nanoparticles 3
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ABSTRACT

Humanized monoclonal antibodies (mAb) against HER2 are being engineered to treat cancer. We utilized phage- 28 display technology to generate a novel anti-HER2 mAb (named 73]IgG) that binds an epitope of HER2 distinct 29 from that of trastuzumab. Although these mAbs bind to the same cell surface receptor, they have different cell 30 distribution profiles. After 3 h of incubation, almost 10% of the total 73]IgG reaches the lysosome compared to 31 less than 3% of trastuzumab. Interestingly, 73JIgG disassociates from HER2 whereas trastuzumab remains 32 bound to the receptor. Importantly, HER2 distribution is not affected by the antibody binding epitope, thus 33 negating this mechanism as the reason for the difference in intracellular trafficking of 73]IgG versus trastuzumab. 34 Each of trastuzumab and 73JIgG was chemically-modified with either a small molecule or polymeric nanoparticle 35 to better understand the influence of conjugation on cellular localization. Relative to antibody alone, antibody- 36 nanoparticle conjugates resulted in a higher concentration of antibodies in the lysosome whereas antibody- 37 small molecule conjugates did not affect cell trafficking to the lysosome. Given the importance of lysosomal 38 targeting, these results demonstrate the importance of understanding the influence of the antibody-conjugate 39 on cell trafficking for ultimate optimization of treatment selection. 40

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1. Introduction

The human epidermal growth factor receptor type 2 (HER2, also 47 referred to as HER2/neu or ErbB2) is a member of the HER family of 48 49transmembrane receptor tyrosine kinases. Elevated levels of HER2 in cancer are associated with poor prognosis, and thus HER2 has become 50a major therapeutic target [1–3]. Trastuzumab (Herceptin®) is a 5152humanized IgG used clinically to treat patients with HER2-dependent tumors [4]. Several mechanisms have been proposed to explain 53 trastuzumab (Tras) activity and trafficking [5–7]. The conserved Fc 5455region is important in recruiting immune cells, leading to antibodydependent cell-mediated cytotoxicity (ADCC). Tras may prevent HER2 56dimerization, or inhibit shedding of the extracellular domain (ECD) of 57HER2, both of which result in decreased signaling [8,9]. Tras may also 58decrease the cell surface levels of HER2 by increasing endosomal/ 59

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lysosomal destruction of the receptor [10]. Nevertheless, conflicting re- 60 ports suggest that the majority of trastuzumab remains bound to HER2 61 and does not influence the internalization/recycling profile [11].

While the cell profile and mechanism of action of trastuzumab 63 remain unclear, even less is known about newer anti-HER2 antibodies 64 [5-7]. Several additional anti-HER2 antibodies are in development 65 (i.e. pertuzumab and MM-111), each binding an epitope on HER2 that 66 is unique from the Tras binding site [12-16]. We have used phage 67 display technology to generate antibody libraries against a desired 68 target [17,18] and herein describe the cellular disposition of one of 69 these antibodies (73JIgG) that was generated against the ECD of HER2. 70 Despite their proposed therapeutic mechanisms of action, the majority 71 of therapeutic antibodies shows insufficient efficacy as a monotherapy 72 and must be used concomitantly with additional chemotherapeutics. 73 An exciting approach to increase the efficacy of therapeutic antibodies 74 is to conjugate the antibodies with either potent, cytotoxic small mole-75 cule drugs [19-21] or with large drug-laden delivery vehicles (e.g. poly-76 meric nanoparticles, liposomes, micelles) [22-28]. The first approach is 77 designed to improve overall efficacy while maintaining desirable 78

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S.C. Owen et al. / Journal of Controlled Release xxx (2013) xxx-xxx

pharmacokinetic (PK), therapeutic (e.g. ADCC, CDC), and targeting
properties of the antibody [29]. The second approach is designed to
utilize the antibody as a combined therapeutic and targeting ligand
while delivering a high drug load preferentially to cancer cells [30].

Antibodies conjugated to small molecules (Ab-SM) bind to target 83 antigens, facilitating endocytosis [31]. In fact, Tras has been derivatized 84 with the cytotoxic agent maytansine (DM1) to produce the conjugate 85 86 trastuzumab-DM1 (Tras-DM1), which is clinically approved for HER2-87 postive cancer [20,21]. The ability to internalize and traffic to the lysosome is important for Ab-SM conjugates to release the small molecule 88 89 drug and for relevant cytotoxic pathways. For example, Tras-DM1 contains a non-reducible thioester bond between the antibody and 90 drug, requiring internalization and proteolytic degradation of the conju-9192gate in order for drug to be released [21,32]. Likewise, the Ab-SM brentuximab-vedotin (Adcetris®) has an enzymatically degradable 93 linker between the parent antibody and drug, requiring this Ab-SM to 94 reach the lysosome in order for the conjugated drug to be released 95 96 and be efficacious [33,34].

Antibody-nanoparticle (Ab-NP) constructs are designed to bind 97 specifically to the target cell via overexpressed antigens on the surface 98 and then internalized through receptor-mediated endocytosis [30]. In 99 this approach, the antibody is used as a therapeutic and targeting 100 101 ligand; however, conjugation to nanoparticles may alter the pharmaco-102 kinetic profile and cell endocytosis mechanism of the parent antibody [35–37]. We studied cell endocytosis of Ab–NPs using polymeric 103 nanoparticles comprised of self-assembled, amphiphilic poly(lactide-104 co-2-methyl, 2-carboxy-trimethylene carbonate)-graft-poly(ethylene 105106 glycol), P(LA-co-TMCC)-g-PEG. Previous studies with trastuzumabmodified P(LA-co-TMCC)-g-PEG NPs have shown specific binding and 107 toxicity to HER2 + vs. HER2 - cells [22,38]. 108

To gain greater insight into antibody targeting and cellular distribu-109 110 tion for Abs modified with either small molecules vs. nanoparticles, we 111 compared a novel antibody (called 73JIgG and its corresponding fragment, 73JFab) to that of clinically approved trastuzumab (TrasIgG, 112 and its corresponding fragment, TrasFab) in terms of cell binding and 113lysosomal accumulation for the Ab alone, Ab-SM, and Ab-NP. While 114 there has been a surge in popularity of antibody therapeutics and 115116 targeting ligands, there are few systematic studies that compare cellular distribution, even though cellular distribution impacts ultimate efficacy. 117 Here we demonstrate that: 73JIgG (and 73JFab) bind an epitope on 118 HER2 that is distinct from that of trastuzumab (and TrasFab); this bind-119 120 ing is specific to HER2 + cells; and the cellular trafficking of 73IIgG differs from that of trastuzumab. We provide a quantitative analysis of 121 the levels of trastuzumab and 73JIgG that remain bound to HER2, as 122 well as the levels that reach the lysosome in two HER2 + cell lines. 123 124 Interestingly, lysosomal accumulation is influenced by binding to 125nanoparticles. Developing a detailed understanding of cell trafficking for antibodies and emerging novel antibody drug or nanoparticle conju-126gates, will facilitate the selection of the most appropriate antibody 127system to achieve therapeutic efficacy. 128

129 2. Experimental

130 2.1. Materials

Herceptin® (trastuzumab) was purchased through Hoffmann-La 131 Roche Limited (Mississauga, ON). The following antibodies were pur-132chased: anti-LAMP2 [Rb] (Abcam 37024), anti-HER2 [Ms] (Thermo MS-133 301), anti-Rabbit 647 [Gt] (Invitrogen A2555A), anti-Mouse Alexa-546 134 [Gt] (Invitrogen A21043), and anti-Human IgG-H&L Fluorescein [Rb] 135(Sigma F4512). 5-((2-(and-3)-S-(acetylmercapto)succinoyl)amino) 136(SAMSA) fluorescein was purchased from Invitrogen (Eugene, OR). 137 P(LA-co-TMCC)-g-PEG-furan was synthesized using previously reported 138 protocols [39]. Sulfosuccinimidyl 4-(N-maleimidomethyl)cyclohexane-139140 1-carboxylate (sulfo-SMCC) was purchased from Pierce (Rockford, IL). All other solvents and reagents were purchased from Sigma-Aldrich 141 and were used as received, unless otherwise noted. 142

2.2. Trastuzumab Fab 143

Trastuzumab Fab was produced from Trastuzumab IgG using a Fab 144 Preparation Kit (Thermo Scientific Pierce, Rockford, IL) according to 145 the manufacturer's guidelines. 146

2.3. Selection and characterization of anti-HER2 Fabs

Phage from Library F was cycled through rounds of binding selection 148 with HER2 coated on 96-well Maxisorp Immunoplates (NUNC, Rochester, 149 NY) as the capture target, as described [40]. After four rounds of selection, phage was produced from individual clones grown in a 96-well 151 format and the culture supernatants were used in phage ELISAs to 152 detect specific binding clones. Clones that bound to HER2 but not to bovine serum albumin (BSA; Sigma-Aldrich, St Louis, MO) were subjected 154 to DNA sequence analysis. 155

2.4. Expression and purification of 73JFab protein 156

The Fab expression vector was derived from the phage display 157 phagemid by inserting an amber stop codon upstream of the sequence 158 encoding for cP3. Fab protein was produced by growing the 159 transformed 55244 *Escherichia coli* cells as previously described [40]. 160 The crude lysate was spun down, and the supernatant was applied to 161 an rProtein affinity column (GE Healthcare); the column was washed 162 with 100 column volumes of wash buffer (50 mM Tris–HCl, 150 mM 163 NaCl, pH 8.0). Fab protein was eluted with nonpyrogenic elution buffer 164 (50 mM NaH₂PO₄, 100 mM H₃PO₄, 140 mM NaCl, pH 2.8) and neutralized with nonpyrogenic neutralization buffer (1 M Tris–HCl, pH 8.0). 166 Elutant was subsequently concentrated and buffer exchanged into PBS 167 using the Amicon Ultra 30K Centrifugal Filters (Millipore, Carrigtwohill, 168 CO) and protein concentrations were determined by a Nanodrop 169 Spectrophotometer (Thermo Fisher Scientific, Wilmington, DE) using 170 human Fab (Jackson Immunoresearch, West Grove, PA) as a standard. 171

2.5. Conversion of 73JFab to 73JIgG1

The VH and VL sequences of the 73JFab clone were PCR-amplified 173 and the resulting VL and VH cassettes were subcloned into EcoRI/ 174 BsiWI and EcoRI/Nhel restriction sites in expression vector pFUSE2ss- 175 CLIg-hk or pFUSEss-CHIg-hG1, respectively. Correct variable region 176 sequences were verified by sequencing. Mammalian vector pFUSE2ss- 177 CLIg-hk contains an expression cassette of the constant region of 178 human κ light chain, and pFUSEss-CHIg-hG1 contains an expression 179 cassette of the constant region of human γ 1 heavy chain (Invitrogen, 180 San Diego, CA). 181

2.6. IgG production in mammalian cells

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73JIgG1 was produced using the FreeStyleTM 293 Expression System 183 as per manufacturer's instructions (Invitrogen, Burlington, ON, Canada). 184 Briefly, 250 mL of 293F cells in suspension were cultivated in 1 L 185 shaker flasks (Fisher Scientific, Ottawa, ON, Canada) to a density of 186 ~1–1.2 × 10⁶ cells/mL. For transfection, 250 µg of the heavy chain 187 DNA and 250 µg of the light chain DNA were combined with 250 µL of 188 293fectin and then added to the cells. Cells were fed ~24 h after trans-189 fection with 0.5% (w/v) Tryptone (Bio Basic, Markham, ON, Canada) and 190 Q7 harvested by centrifugation 5 days post-transfection. Conditioned 191 medium was diluted with 1/10th volume of 10× PBS and incubated 192 with 1 mL of rProtein A-Sepharose (GE Healthcare, Sweden) for 1 h at 193 RT while shaking. The gravity-flow column was loaded with the condi-194 tioned media and the beads were washed with 100 CV of nonpyrogenic 195 wash buffer (1× PBS). IgG was eluted off the column with nonpyrogenic 196

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