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Q41 Drug delivery systems based on nucleic acid nanostructures

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34 **1. Introduction**

Since the discovery of the DNA double helix in 1953 many re-3536searchers have been intrigued not only by its role and the processes involved in storing genetic information but also by its utilization as a 37building block for nanostructures [1]. This trend has been fuelled by 38 the introduction of automated solid phase synthesis, polymerase 39 chain reaction and molecular cloning techniques allowing to produce 40oligonucleotides (ODNs) and long nucleic acid strands and make them 41 available for a wide scientific community at an affordable price or effort. 42The versatile fabrication methods and the notion about the position of 43 each atom within double-stranded (ds) DNA in combination with the 44 45 unique self-recognition properties of DNA have made nucleic acids one of the most popular construction materials for nano-scale objects 46[2]. Early models of DNA self-assembly relied on the hybridization of 47 single-stranded (ss) ODNs into double strands to form nucleic acid 48 49 junctions [3,4]. Each junction is composed of four DNA sequences and contains short ss overhangs called "sticky ends" (Fig. 2A). These units 50provide a toehold for controlled assembly of multiple junctions, thereby 5152creating a lattice of squares. In a similar way a cube can be formed by catenating six circular DNA strands mediated by hybridization and liga-53 tion (Fig. 2B) [5]. 54

However, these assembly processes did not yield rigid junctions with defined angles and hence the geometry of the resulting structures was not well defined. To overcome these shortcomings two methods were developed to obtain well defined and structurally stable DNA nanoobjects. The first one involves DNA tiles that utilize the helical turn of DNA to form crossovers between two or more double

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ABSTRACT

The field of DNA nanotechnology has progressed rapidly in recent years and hence a large variety of 1D-, 2D- 20 and 3D DNA nanostructures with various sizes, geometries and shapes is readily accessible. DNA-based 21 nanoobjects are fabricated by straight forward design and self-assembly processes allowing the exact posi- 22 tioning of functional moieties and the integration of other materials. At the same time some of these 23 nanosystems are characterized by a low toxicity profile. As a consequence, the use of these architectures in 24 a biomedical context has been explored. In this review the progress and possibilities of pristine nucleic 25 acid nanostructures and DNA hybrid materials for drug delivery will be discussed. For the latter class of struc- 26 tures, a distinction is made between carriers with an inorganic core composed of gold or silica and amphiphil-27 ic DNA block copolymers that exhibit a soft hydrophobic interior. 28

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strands within its structure (Fig. 3A). This design principle yields 61 more rigid building blocks of high structural integrity that can be 62 used for the construction of larger crystals of DNA [6,7]. Later this 63 method was greatly expanded and generalized to allow the assembly 64 of DNA into any desired shape like squares, triangles, star shapes or 65 even smilies using a single viral DNA strand and many short ones 66 that function as connecting elements, the "staple strands" [8]. An ex- 67 ample of a 3D structure is a DNA box that contains a controllable lid 68 (Fig. 1A) [9]. To date these DNA origami structures can be easily 69 designed and synthesized and are applied for detection of biomole- 70 cules like proteins or DNA and for performing reactions at the nano- 71 meter scale [10–12]. 72

The second method to obtain rigid DNA nanoobjects relies on the 73 tensegrity principle (Fig. 3B) [13,14]. The squares considered earlier 74 were unstable due to flexible junctions. DNA triangles, however, do 75 not face this shortcoming and when the edges are composed of this 76 motif rigid structures are obtained [15]. Therefore, many researchers 77 have used this principle to construct a large number of DNA nanocages 78 resistant to deformation like tetrahedra, octahedra, dodecahedra and 79 icosahedra [16–20]. 80

Aside from using pristine DNA as building block inorganic 81 nanoparticles (NPs) have been used as template for the organization 82 of ODNs. One very appealing template for this purpose is colloidal 83 gold that was first used in 1996 when DNA functionalized gold 84 nanoparticles (DNA-Au NPs) were introduced [21]. Thiol-terminated 85 ODNs readily react with the surface of Au NPs and subsequent hybrid-86 ization gives access to assemblies of higher order [22,23]. DNA-Au 87 NPs offer some extra features like magnetic properties, plasmonic ef-87 fects or the ability of fluorescence quenching, which represent a sig-89 nificant extension to the functionality of pristine DNA nanoobjects 90 [24]. These characteristics are important in the field of bio-imaging 91

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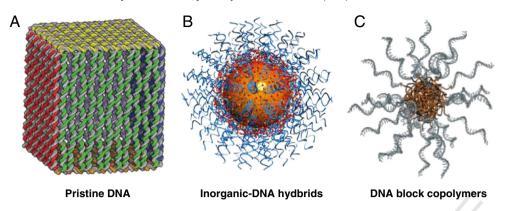


Fig. 1. Different classes of DNA nanostructures including (A) pristine DNA nanoobjects, (B) inorganic-DNA hybrid materials and C) assemblies of amphiphilic DNA block copolymers. Reproduced with permission from [9,24].

and biomedicine; hence DNA-Au NPs have become a very popular
template for the assembly of nanoobjects and currently find use in
imaging, detection and as transfection agents and gene regulation
materials [25–28].

In addition to DNA nanoparticles with an inorganic core such 96 97 structures can be produced with a soft interior. The realization of these structures implies the use of synthetic organic polymers. The 98 99 first DNA polymer conjugates date back to the late 1980s, where poly(L-lysine)-block-DNA was used as anti-viral agent [29,30]. Nowa-100 days, nucleic acid-polymer hybrid materials are already in clinical use 101 [31]. Thereby, a hydrophilic synthetic macromolecule component was 102 connected to an aptamer for increasing the in-vivo stability of the 103 nucleic acid. For the realization of DNA polymer nanoobjects hydro-104 phobic polymers need to be attached to the DNA units to achieve 105 self-assembly into larger aggregates. The first example of such an 106 amphiphilic biodegradable DNA block copolymer (DBC) contained 107 108 poly(D.L-lactic-co-glycolic acid) as a hydrophobic polymer block [32]. 109 These compounds form micellar structures that exhibit a hydrophobic core and a hydrophilic corona of ss DNA. More recently, several groups 110 realized such micellar morphologies and in addition showed that 111 the overall structure can be altered from spherical to cylindrical assem-112 113 blies by stimuli like changes in pH, addition of endonucleases or through hybridization with complementary DNA [33,34]. Due to these 114 unique properties, DBCs are currently applied in purification of bioma-115 terials [35,36], DNA detection [37], templated synthesis [38] and in 116 117 nanoelectronics [39].

All classes of DNA nanomaterials described above have in common that the size and shape are very well defined, probably better than in any other bottom-up fabricated material. This feature has dramatic 120 consequences for applications in the field of biomedicine where 121 multifunctional nanoparticles start to play an increasingly important 122 role, especially in the areas of drug delivery and bioimaging. The 123 DNA nanoobjects act as a shape persistent scaffold allowing precise 124 positioning of various moieties like targeting units or drug payloads. 125 On the other hand all the different types of DNA assemblies exhibit 126 distinctive properties. While the functionality of a pristine DNA 127 scaffold is relatively limited, in the case of DNA hybrid materials 128 extra functions are implemented via the non-nucleic acid components. All these different features will be highlighted in this review 130 article.

Although the use of DNA nanoobjects in biomedicine is still in its 132 early stages, promising examples have been provided that demonstrate 133 the applicability and benefits of using DNA-based nanomaterials over 134 other systems like liposomes, polymeric micelles and polymersomes 135 [40-43]. The most obvious use of oligonucleotide nanostructures in 136 the medical field is the delivery of siRNA, antisense RNA or genes. How- 137 ever, several excellent reviews on this topic have been published re- 138 cently [44-47]. The same holds true for DNA nanoobjects employed in 139 the context of cellular and in-vivo bioimaging [48,49]. Therefore, both 140 topics will not be covered in this manuscript. Here we will summarize 141 the use of pristine DNA nanoobjects and DNA hybrid materials as car- 142 riers in the field of therapeutic delivery and vaccination. For the dif- 143 ferent classes of DNA nanomaterials we start with describing the 144 preparation methods followed by the stability in biological environ- 145 ments and cell uptake behavior. Finally, we discuss controlled release 146 or performance of the nanostructures in-vitro and in-vivo. 147

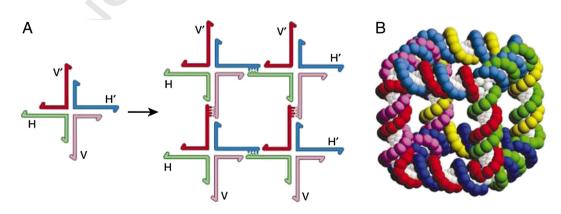


Fig. 2. (A) On the left a representation of a nucleic acid junction formed from four ss DNA sequences is shown and on the right the corresponding lattice formed by hybridization of the sticky ends is depicted. (B) DNA cube formed by catenated circular DNA strands. Reproduced with permission from [4].

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