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1 Review

Q1 Cell-free DNA screening for fetal aneuploidy as a clinical service[☆]Q2 Howard Cuckle^{a,*}, Peter Benn^b, Eugene Pergament^c4 ^a Department of Obstetrics and Gynecology, Columbia University Medical Center, New York, NY 10032, USA5 ^b Department of Genetics and Developmental Biology, University of Connecticut Health Center, Farmington, CT 06030, USA6 ^c Northwestern Reproductive Genetics, Chicago, IL 60611, USA

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A B S T R A C T

Non-invasive prenatal testing (NIPT) through the analysis of cell free (cf)DNA is revolutionizing prenatal screening for fetal aneuploidy. Current methods used in clinical practice include shotgun massively parallel sequencing (s-MPS); targeted (t-MPS); and an approach that takes advantage of single nucleotide polymorphism (SNP) differences between mother and fetus. Efficacy of cfDNA testing for the common autosomal trisomies far exceeds that of conventional screening. Depending on the methodology used, reasons for discordancy between cfDNA results and fetal karyotype can include true fetal mosaicism, confined placental mosaicism, presence of a maternal karyotype abnormality, insufficient counting due to low fetal fraction, and a vanishing twin. Among the possible cfDNA strategies a Primary test has the highest performance but is expensive, while a Contingent cfDNA test can achieve high performance at a relatively low cost. Practicalities to be considered in the provision of testing include pretest counseling about the scope and accuracy of the testing, the interpretation of results when there is a low fetal fraction and follow-up studies for positive test results. The role of first trimester nuchal translucency measurement and conventional biochemical testing needs to be reassessed in the context of the use of cfDNA.

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34

35

38 Contents

39	Introduction	0
40	cfDNA testing methods	0
41	Performance for common autosomal trisomies	0
42	Performance for sex chromosome aneuploidy (SCA)	0
43	Reasons for false-positive and false-negative results	0
44	True fetal mosaicism	0
45	Confined placental mosaicism (CPM)	0
46	Maternal chromosome abnormality	0
47	Low FF and/or insufficient depth of sequencing	0
48	Vanishing twin	0
49	Sample mis-identification and laboratory error	0
50	Twins	0
51	cfDNA test no-calls	0
52	Choice of cfDNA screening strategy	0
53	Primary cfDNA	0
54	Secondary cfDNA	0
55	Contingent cfDNA	0
56	Cost–benefit analysis	0
57	Pretest counseling	0
58	Trisomies 21, 18 and 13	0
59	Sex chromosome abnormalities	0
60	Micro-deletions	0

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61	Other autosomal aneuploidies	0
62	Incidental findings	0
63	cfDNA test interpretation	0
64	Low but acceptable FF	0
65	Unacceptably low FF	0
66	Significance of a positive result	0
67	Confirming an autosomal trisomy positive result	0
68	Confirming a SCA positive result	0
69	Apparent false-positive result – additional follow-up	0
70	Practical experience	0
71	Implications for other clinical services	0
72	Gestational age, chorionicity, uterus and adnexa	0
73	Detection of fetal structural abnormalities	0
74	Pre-eclampsia and fetal growth restriction	0
75	Clarification of cfDNA results	0
76	Conclusions	0
77	Conflicts of interest	0
78	References	0

79

80 Introduction

81 Testing maternal plasma for cell free (cf)DNA represents the latest
82 progression in a series of technologies developed and implemented
83 since the 1980s for use in fetal aneuploidy screening. Although the latest
84 technology has considerably superior performance to earlier methods,
85 the aim is the same, namely, the identification of pregnancies at suffi-
86 ciently high risk of aneuploidy to warrant invasive prenatal diagnosis.
87 This involves either second trimester amniocentesis or first trimester
88 chorionic villus sampling (CVS). Both procedures are associated with
89 fetal loss and screening strategies have been designed to maximize ane-
90 uploidy detection while minimizing the proportion of women offered
91 invasive testing.

92 Until recently, most developed countries had either adopted the first
93 trimester Combined test as the preferred strategy or were in the process
94 of doing so. Some had also introduced sequential protocols such as the
95 Contingent test, which build on Combined test markers and offer second
96 trimester serum markers or more detailed first trimester ultrasound to a
97 large subgroup of women with borderline Combined test risks. Others
98 had extended the Combined test by incorporating concurrent additional
99 serum and ultrasound markers.

100 cfDNA testing is also widely referred to as non-invasive prenatal
101 testing (NIPT). Improved screening strategies using this test alone or
102 in combination with existing screening tests are rapidly replacing
103 older protocols and revolutionizing prenatal screening for aneuploidy.
104 However, these developments involve a number of practical issues. In
105 this paper these issues are discussed and information for those planning
106 or delivering such a service is provided.

107 cfDNA testing methods

108 **Q3** There are currently three broad cfDNA testing methods available:
109 shotgun (genome-wide) massively parallel sequencing (s-MPS);
110 targeted (t-)MPS that focuses on specific chromosomes of interest;
111 and an approach that takes advantage of single nucleotide polymor-
112 phism (SNP) differences between mother and fetus. Laboratories differ
113 in the bioinformatics that are used to classify results as 'positive' or
114 'high risk'.

115 s-MPS relies on identification and counting of large numbers of DNA
116 fragments in plasma specimens. MPS is used to simultaneously se-
117 quence millions of genome-wide fetal and maternal fragments and in-
118 formative sequences are mapped to discrete loci on all chromosomes
119 [1,2]. If fetal trisomy is present, there will be a relative excess of counts
120 for a given chromosome and with a monosomy deficit. Large numbers
121 of counts are necessary since in most cases the fetal fraction (FF) of

cfDNA is low and the excess or deficit in the assigned DNA fragments
is small. The observed distribution of counts between chromosomes is
compared with the expected distribution for euploid cases. Variant bio-
informatics include a z-score; a likelihood ratio; [3] and adjustment for
guanine–cytosine base content of the sequences, as well as the use of
moving averages to smooth data [4,5].

t-MPS is similar to s-MPS insofar as it uses sequencing but also selec-
tively enriches for chromosomal regions of interest (e.g. 21, 18, 13, X
and Y) and counts this subset [6]. A patient-specific risk score can be
generated by adjusting for the FF and then combines the results with
maternal and gestational ages [6].

The SNP approach takes advantage of DNA polymorphic differences
between the mother and fetus by comparing buffy coat (maternal) and
maternal plasma (maternal and fetal) [7]. Inclusion of a sample (blood
or saliva) from the father is helpful but not essential. A multiplex PCR
amplification of nearly 20,000 SNP sequences is carried out in a single
reaction followed by sequencing. Each product is evaluated based on
the hypothesis that the fetus has trisomy, monosomy or is euploid.
After considering the positions of the SNPs on the chromosomes and
the possibility that there may have been recombination, a maximum
likelihood is calculated for each option. Results are presented as risk
scores.

The various cfDNA tests available should not be expected to be
equivalent; [8] for example, counting methods (s-MPS and t-MPS)
that involve greater depth of sequencing should have greater efficacy
when FF is low or when testing for small copy number variations. Of
these two counting methods, t-MPS could potentially involve greater
depth of sequencing for the chromosomes of interest while requiring
considerably less total sequencing and thereby potentially lower cost.

The SNP method has several advantages. It can exclude imbalances
that are maternal as well as identify additional haplotypes that may be
indicative of undetected multiple pregnancies. It can provide informa-
tion about parent of origin of aneuploidy and, in theory, recombination.
It can also detect diandric triploidy, non-paternity, consanguinity, and
uniparental disomy. In ART pregnancies using an unrelated egg donor,
account would need to be taken of extra fetal alleles that are not present
in the surrogate mother.

159 Performance for common autosomal trisomies

160 The performance of an aneuploidy screening test can be judged
161 by three parameters: the detection rate (DR), the proportion of
162 affected pregnancies with 'positive' screening results; the false-
163 positive rate (FPR), the proportion of euploid pregnancies with posi-
164 tive results; and, the positive predictive value (PPV), the risk of

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