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**Hypothesis** 

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## Formation of alternative proteasomes: Same lady, different cap?

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## ABSTRACT

The 26S proteasome is thought to be a homogenous complex, consisting of a 20S proteolytic core and a 19S regulatory particle that is required for its activation.

Two groups have recently reported the activation of archeal 20S by a p97-related double-ring AAA+ ATPase complex, in a similar fashion to that reported for 19S. Since p97 is found in eukaryotes, the existence of a parallel setting in higher organisms is intriguing. Herein, we present supporting data and hypothesize that in eukaryotes, p97 and CSN form a promiscuous, hence hard-to-detect, "alternative cap", enabling the prompt and precise elimination of particular substrates.

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#### 40 1. Introduction

Ubiquitin

VAT

Rapid response of cells to environmental changes or stress is key 41 for all domains of life. Adjustment to new circumstances requires 42 alterations of the cellular environment through degradation of 43 44 unneeded proteins by the 26S proteasome, a master regulator of cellular quality control [1]. The 26S proteasome is a mega complex, 45 arranged in 2 major assemblages: the 20S core particle (CP), a 46 barrel-shaped complex, which is composed of 4 stacked heptameric 47 rings ( $\alpha,\beta,\beta,\alpha$ ), forming a tightly sealed gated cylinder, and the 19S 48 regulatory particle (RP), which caps the 20S CP and controls/acti-49 vates the entrance into the proteolytic cavity. The 19S RP (also 50 referred to as "cap") is divided into lid and base assemblies. The 51 lid is composed of 9 subunits (Rpn3, 5-9, 11, 12, and 15) and has 52 a deubiquitinase enzymatic activity essential for proteolysis 53 (donated by Rpn11). The base includes 2 large solenoid-shaped sub-54 units (Rpn1, 2), 2 ubiquitin receptors (Rpn10, 13), and a motor AAA+ 55 ATPase ring, which comprises 6 distinct subunits (Rpt1-6), and is 56 57 required for the unfolding of substrates, opening the gate, and 58 translocating substrates into the 20S CP [2]. Recent cryo-electron

microscopy studies have provided evidence that several lid subunits interact directly with the AAA+ motor domain within several Rpt subunits (Rpn7 with Rpt2/6; rpn5/6 with Rpt3) [3–7] and even with the 20S (i.e. Rpn6) [8], suggesting that the Rpt1-6 ATPases ring is more static than was thought and might be motivated through substantial conformational rearrangements achieved by incorporation of the lid into the 26S holocomplex [5]. Activation of the 20S by the 19S involves interactions with a binding pocket within the  $\alpha$ ring of the 20S CP, causing extensive conformational changes in the 20S and resulting in gate opening [9].

The ubiquitin proteasome system (UPS) plays an essential role in a variety of fundamental cellular processes. Not surprisingly, multiple proteasome activators (PA) exist in addition to the well-71 studied default 19S RP configuration. Three proteasome regulators. PA28/11S. PA200/Blm10. and ECM29. were found to compete with the 19S RP on the  $\alpha$ -ring activation-binding site, in a non-ATPdependent manner [10]. The PA28/11S consists of heteroheptameric  $(\alpha,\beta,\gamma)$  rings, is induced by interferon- $\gamma$ , associated with the 20S, and involved in immune response [11]. PA200/Blm10 activates the 20S and has a role in spermatogenesis, DNA repair, and other pathways [12]. ECM29, functions as a chaperon and stabilizer of proteasome 20S-19S interactions, as well as a negative regulator, which suppresses gate opening and causes proteasome 81 blockage [13-16]. However, because interaction with the 20S CP (upon a specific cellular function or stress) could be transient, the list of proteasome regulators in eukaryotes might be longer and difficult to predict. One thing is clear, the proteasome is not 85 assembled as one homogenous entity, and the exact number of

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Abbreviations: Hb-Y-X, hydrophobic-tyrosine-X motif; PA, proteasome activator; CSN, COP9 signalosome; CRL, Cullin RING E3 ligase; PAN, proteasome-activating nucleotidase; Ribophagy, Ribosome autophagy; UbD, ubiquitin-binding domain; DUb, deubiquitinationg enzyme; UPS, ubiquitin proteasome system

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E. Pick, T.S. Berman/FEBS Letters xxx (2013) xxx-xxx

87 PA-complexes and their respective roles is thus far, shrouded in 88 mystery (Fig. 1).

89 Whereas both 19S substructures (base and lid) are found only in 90 eukaryotes, a base-like subcomplex composed of AAA+ ATPases is 91 found in several prokaryotes, with a conserved evolutionary role 92 in acceleration of selective proteolysis. A few examples are ARC 93 (Rhodococcus erythropolis), or MPA (Mycobacterium tuberculosis) 94 that activate bacterial orthologs of the 20S proteasome [10,17]. Other bacterial examples are ClpA, C, or X, all of which activate 95 96 the ClpP protease [18]. In archea, a homohexameric ring that is 97 located proximally to the catalytic 20S subcomplex is known as 98 PAN (proteasome-activating nucleotidase) [19]. PAN exhibits the highest homology with the eukaryotic Rpt-ring of ATPases, and 99 shares more than 40% of amino acid similarity with them [20]. 100 101 Interestingly, PAN is absent in several archea and is not required 102 for viability of other archea in which the 20S exists and is required 103 for protein degradation.

#### 104 2. New insights regarding proteasome activators based on 105 studies in archea

106 Recent studies have suggested a regulatory network of protea-107 some AAA+ ATPases across the archea kingdom. Bioinformatics 108 analysis suggests that PAN is not alone, and that the putative number of proteasome ATPases in archea varies between 1 and 5, 109 110 including the double ring AAA+ ATPase Cdc48/p97/VAT (p97),



Fig. 1. Understanding proteasome function and regulation. Similar to the story about the blindfolded scientists who described an elephant differently according to the organ they held, the role and control systems of the proteasome are not fully understood in the scientific world

which is conserved from archea to humans. Interactions between 111 archeal p97 and 20S CP, and formation of active proteasomes were 112 found so far in 2 organisms, Thermoplasma acidophilum and Met-113 hanosarcina Mazei [21-23]. These newly described assemblages 114 are actively involved in translocation of substrates into the prote-115 olytic cavity [21,22]. Using bioinformatics tools, Barthelme and 116 Sauer [16] showed that p97 is the only 20S CP potential partner 117 in  $\sim$ 15% of archea, and that both p97 and PAN exist in similar rates 118 in the remaining  ${\sim}85\%$  of analyzed genomes [16]. Interactions be-119 tween the 20S and p97 involve a similar, conserved C-terminal 120 hydrophobic-tyrosine-X motif (Hb-Y-X), which is also found in 121 PAN and the Rpt1-6 ring [24,25]. In all of these interactions, the 122 core complex is activated through docking of the activator into 123 the  $\alpha$ -ring-binding pockets within the 20S (Fig. 2) [21,24–26]. 124 Interestingly, the Hb-Y-X motif exists not only in other archeal 125 but also in eukaryotic p97 enzymes, suggesting a common mecha-126 nism for activation of proteolysis and that p97 has the potential of 127 forming active proteasomes [16]. These new data raise questions 128 regarding the evolutionary conservation of this unconventional 129 architectural design (Fig. 2). 130

### 3. p97 as a Proteasome activator: supporting information

Could it be that the eukaryotic p97 replaces the 19S and directly promotes selective protein degradation? In eukaryotes, p97 ATPase functions in a plethora of pathways with an important role in preparing proteins for degradation through the 2 key degradation apparatuses: the proteasome and the lysosome [27]. It participates in a wide range of cellular processes including cell-cycle regulation, response to DNA damage, ER degradation, and autophagy [28]. Together with its counterparts, p97 binds to polyubiquitinated substrates and uses ATP to unwind them. If required, p97 also functions to extract clients out of membranes or chromatin, and to eventually facilitate their degradation [29].

The eukaryotic p97 interacts with substrates that are covalently 143 attached to a poly-ubiquitin chain through an array of p97-binding 144 factors, such as the Ufd1/Npl4 counterparts that are required for extraction of substrates from chromatin and membranes, or members of the UbX family that harbor a ubiquitin-binding domain (UbD) and connect between p97 and substrates. Additionally, p97 also binds to an array of deubiquitinating enzymes (DUbs) such as the ovarian tumor protein Otu1, atx3 that regulates the degradation of misfolded ER proteins, Yod that is included in ER disclosure, or the Ubp3/Bre5 factors in budding yeast that are involved in ribosome autophagy (ribophagy) [30-33]. Interactions between 2 enzymes such as a DUb and an unfoldase (p97), could be explained by the need for recycling of client proteins. Yet, in addition to interactions with DUbs, the mammalian p97 interacts also with the proteasome [34], and may have the potential to play a role of PA, and to enable a prompt degradation of ubiquitinated proteins in situ. p97 and the 19S-RP have common characteristics: both include a molecular ATPase engine, interact with ubiquitin shuttles and with deubiquitinating enzymes, and both are involved in the regulation of proteolysis. Proteasome activation by p97 might explain the involvement of this molecule in the precise and rapid degradation of a wide array of proteasome substrates. Nevertheless, suggesting that eukaryotic p97 serves as an "alternative base" that activates the 20S-CP is unimaginable, because interactions between p97 and the eukaryotic proteasome have so far been confirmed only through the 19S and not directly with the 20S [29,34]. 169

Finley and Matouschek have suggested 2 models that may explain the contribution of p97 to the eukaryotic proteasome [23]. The first model suggests that p97 was initially an integral part of the degradation machinery, however, the eukaryotic 19S took over the 20S-binding site, and interactions between p97 and the

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