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## 2 Review

# Communication of genome regulatory elements in a folded chromosome

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#### ABSTRACT

The most popular model of gene activation by remote enhancers postulates that the enhancers interact directly with target promoters via the looping of intervening DNA fragments. This interaction is thought to be necessary for the stabilization of the Pol II pre-initiation complex and/or for the transfer of transcription factors and Pol II, which are initially accumulated at the enhancer, to the promoter. The direct interaction of enhancer(s) and promoter(s) is only possible when these elements are located in close proximity within the nuclear space. Here, we discuss the molecular mechanisms for maintaining the close proximity of the remote regulatory elements of the eukaryotic genome. The models of an active chromatin hub (ACH) and an active nuclear compartment are considered, focusing on the role of chromatin folding in juxtaposing remote DNA sequences. The inter-connection between the functionally dependent architecture of the interphase chromosome and nuclear compartmentalization is also discussed.

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### 48 1. Introduction

The importance of the genome spatial organization for the 47 48 regulation of gene expression has been first demonstrated through 49 the preferential sensitivity of active genes to DNase I [1]. In the last decade, increasing interest in this problem has been triggered by 50 observations that the mutual positioning of genes and regulatory 51 sequences within the nuclear space and their positioning with 52 53 respect to functional nuclear compartments might be important for transcriptional control. The studies performed using both cyto-54 55 logical (fluorescence in situ hybridization (FISH), immunostaining) and biochemical approaches (chromosome conformation capture 56 (3C) and derivative protocols commonly known as C-methods 57 58 [2]) resulted in an integral view of the eukaryotic cell nucleus, where the domain organization of the genome is highly associated 59 with chromatin folding and the functional compartmentalization 60 of the nuclear space [3,4]. The chromosomal territories separated 61 62 and perforated by the ramified interchromatin domain constitute

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the basis for nuclear organization. The territorial organization of 63 interphase chromosomes has been extensively reviewed [5-7] 64 and we will not discuss it here. Promoter-enhancer communica-65 tion is of particular importance as a possible mechanism for 66 67 mediating the functionally dependent spatial positioning of genes and regulatory elements. Although there are different models of 68 enhancer-mediated promoter activation, the most popular mecha-69 nisms suggest that the physical approach and direct contact 70 71 between enhancers and promoters is necessary for the enhancer 72 action [8]. Particularly, the active chromatin hub (ACH) model [9] postulates that distant regulatory elements that control the 73 expression of tissue-specific genes assemble into a common ACH 74 complex, to which the promoters of transcribed genes are 75 recruited. The model is supported by evidence obtained using the 76 3C protocol to study several experimental models, particularly, 77 the domains of beta- and alpha-globin genes of vertebrates 78 [10-15]. Although the 3C protocol [16] and derivative methods 79 [2] demonstrate the interactions between distant genomic ele-80 ments, the portion of cells in which two particular DNA sequences 81 interact cannot be estimated, as the average interaction profile for 82 a cell population is analyzed in these studies. Thus, it is difficult to 83 determine whether the identified complexes are stable or short-84 lived. Furthermore, the 3C protocol [16] only illustrates the pair-85 wise interactions of different genomic regions. The existence of 86 complex assemblies of regulatory elements can be inferred based 87 on the existence of a set of pairwise interactions between several 88

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Abbreviations: FISH, fluorescence in situ hybridization; 3C, chromosome conformation capture; ACH, active chromatin hub; LCR, locus control region; HS, DNAse I hypersensitive site; RCH, repressive chromatin hub; S/MAR, scaffold/matrix attachment regions

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genomic regions, but these inferences cannot be accurately proven.
Moreover, the alternative possibility that different pairwise interactions exist in different cells present in a population cannot be ruled out based on the results of the 3C experiments.

93 Although the idea that enhancers and promoters should be jux-94 taposed in the nuclear space has gained much support, the exact 95 mechanism of this juxtaposition remains unknown. The classical 96 model of ACH [9] suggests that ACHs are stabilized through inter-97 actions between proteins bound to different regulatory elements. However, it is equally possible that promoters and distant regula-98 tory elements transiently interact and are held in spatial proximity 99 100 through the specific folding of a relatively large chromatin domain. In this case, the folded chromatin domain containing the juxta-101 posed regulatory elements could be considered as a nuclear com-102 103 partment (an expression hub [17,18]). Notably, the structural 104 basis of nuclear compartmentalization and functionally dependent 105 genome folding remains a matter of debate. The popular hypothe-106 ses include, but are not limited to, the following: (i) fully functional 107 interactions, in particular, associations between the transcribed genes in transcription factories [19]; (ii) the existence of an 108 109 uncharacterized nuclear skeleton or matrix [20-22]; and (iii) the 110 effect of macromolecular crowding [23,24]. Here, we will discuss different mechanisms that might mediate the mutual positioning 111 of regulatory elements in interphase chromosomes. 112

#### 113 2. ACH model

The ACH model postulates that distant regulatory elements 114 (enhancers) and promoters of target genes are assembled in a com-115 mon complex [9] (Fig. 1A). This complex is likely stabilized through 116 117 interactions between proteins bound to the DNA sequences in-118 volved in the assembly of ACH. Both transcription factors [25–27] 119 and special "communication" proteins [28-30] were reported to mediate the interaction of distant regulatory elements. Of particu-120 lar interest is the observation that some DNA elements are indis-121 pensable for the assembly of ACH, while the others may or may 122 123 not be present. In human alpha-globin gene domain, the locus con-124 trol region (LCR)-like element, known as HS-40 (DNAse I hypersen-125 sitive site -40), is essential for the formation of ACH. The removal 126 of this element abolished all other spatial interactions within the 127 domain. Interestingly, the correct spatial organization of the domain was restored when the -40 element (normally located 128 129 40 Kb upstream of the alpha-globin gene cluster) was reinserted 130 downstream of the cluster [15]. A service element, which does 131 not possess an enhancer activity but is necessary for the formation of ACH, has been identified in the human AML gene [31]. 132

133 Although the pairwise interactions of the regulatory elements 134 assembled into an ACH have been well documented in different model systems (for a review see [32]), the exact nature of the 135 ACH remains obscure. The isolation of DNA-protein complexes, 136 137 which could represent ACHs, has not been reported. The resolution 138 of fluorescent microscopy is not high enough to study the fine 139 structure of ACH. A recent study demonstrated that upon differen-140 tiation of mouse erythroid cells, the beta-globin locus acquired a 141 more compact configuration, as evidenced from the reduction of the volume occupied by the locus [33]. Furthermore, it was demon-142 143 strated that upon induction of globin gene transcription, the shape of a folded chromatin domain occupied by the beta-globin locus 144 145 became more round [33]. Although these observations are consis-146 tent with predictions based on the ACH model, the validity of the 147 expression hub/active chromatin compartment model (see below) 148 cannot be ruled out, as both models suggest that promoters and 149 distant regulatory elements of a gene domain are brought in close 150 vicinity in the nuclear space to ensure transcription activation. The resolution of the approach used in this study only permitted the 151



**Fig. 1.** The ACH models. (A) The chromatin hub as a rigid complex of regulatory elements. (B) The chromatin hub as a nuclear compartment. The black lines represent segments of chromatin fibers with regulatory elements shown as white boxes. The circles symbolize transcription factors and transcription machinery proteins; rings-cohesion complexes.

analysis of the shape and dimensions, but not the internal organi-<br/>zation, of the relatively large chromatin domain (128 Kb of DNA,<br/>more than 200 nm in each direction).152

#### 3. Active nuclear compartment (expression hub)

As discussed in the previous section, the ACH model suggests that 156 enhancers and promoters are held together through the interaction 157 of proteins bound to each of these elements (Fig. 1A). It was assumed 158 that these DNA-protein complexes could be further stabilized via 159 formaldehyde cross-linking and solubilized after DNA cleavage by 160 restriction enzyme(s) and nuclei lysis by SDS [10,16,34]. Recent 161 studies have demonstrated that this assumption, which constitutes 162 the basis of the 3C procedure, might be incorrect [35,36]. It has been 163 reported that the major portion of DNA/chromatin fragments cannot 164 be solubilized from cross-linked nuclei. Consequently, the proximity 165 ligation step in the 3C procedure proceeds in non-lysed nuclei in a 166 chromatin cage rather than in a diluted solution, as originally pro-167 posed. Furthermore, the maintenance of the chromatin cage appears 168 essential for the detection of 3C signals [36]. Based on these observa-169 tions, we have suggested that the higher-order chromatin domain 170 folding constitutes a primary determinant in establishing/securing 171 the proper mutual positions of enhancers and promoters [36] 172 (Fig. 1B). It was previously proposed that transcribing genes and dis-173 tant regulatory elements should be placed in the same nuclear com-174 partment, an expression hub, where they form different short-lived 175 associations [18]. Our data [36] fit this model perfectly. The disposi-176 tion of an enhancer (or a block of enhancers) and several promoters 177 in close spatial vicinity secured through the specific folding of the 178 large chromosomal domain (Fig. 1B) facilitates the establishment 179 of short-lived alternating associations between the enhancers and 180 different promoters, resulting in the alternating transcription of dif-181 ferent genes, a phenomenon observed in cells expressing globin 182 genes [37]. At first view the results demonstrating the ability of 183 the LCR-like element HS-40 of the human alpha-globin gene locus 184 to ensure the correct spatial positioning of globin genes promoters 185 and enhancers [15] argue against the supposition that the configura-186 tion of a chromosomal domain is essential to secure this positioning. 187 Indeed, relocation of a regulatory element to a new genomic position 188 should drastically affect its ability to participate in shaping of the 189 parental chromatin domain due to the perturbation of spatial con-190 nections. On the other hand, being inserted in an ectopic position 191 this element still may constitute a platform for the assembly of a 192 chromatin hub. However, in the above-mentioned experiments, 193 the HS-40 was reinserted immediately downstream of the alpha-194 globin gene cluster [15]. Thus, HS-40 remained within the same 195 chromosomal locality and possibly could support the correct config-196 uration of the chromatin domain harboring alpha-globin genes. 197

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