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whether they co-evolved with their mitochondrial counterparts.

### Q21 Positive selection along the evolution of primate mitogenomes

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#### ABSTRACT

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#### 31 1. Introduction

The proposition that mitochondrial genes (mt-genes) have 32 33been subjected to non-neutral evolution has been gaining support in the last decades (Ballard and Kreitman, 1995; Dowling et al., 342008; Nachman et al., 1996; Singh and Hale, 1990). This was the 35case of the model experiment with six generations of modified mice 36 carrying proofreading-deficient mitochondrial DNA (mtDNA) polymer-37 ase, in which most non-synonymous mutations in the mitochondrial 38 genome were rapidly eliminated, indicating a strong purifying selection 39 (Stewart et al., 2008). Moreover, positive selection, or the rapid fixation 40 of advantageous mutations, in mtDNA has been reported for some 41 mammalian lineages (Foote et al., 2011; Hassanin et al., 2009; 42 43 Mishmar et al., 2003; Shen et al., 2010), a finding that, though rare to present, might eventually prove to be more frequent than previously 44 assumed. 45

On the other hand, some studies indicating positive mtDNA selec-46 47 tion have been questioned (Ingman and Gyllensten, 2007; Mishmar et al., 2003; Sun et al., 2007), mostly because sampling problems or low 48 genetic distances between the taxa under study lead to false positive es-49 50timates. Similarly, the proposed diversity of mitochondrial haplotypes of some human populations presumably resulting from positive selec-51 tion related to climate (Mishmar et al., 2003) has not been confirmed 5253and was subsequently ruled out by large scale sequencing of complete

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human mitochondrial genomes in other populations (Gunnarsdottir et 54 al., 2011; Schonberg et al., 2011). 55

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Positive selection has been previously indicated for genes of the 56 electron transport chain complexes, including mitochondrial subunits 57 in primates (Hughes and Friedman, 2008; Wright, 1990) but this was 58 not confirmed to present by site-by-site, codon screening (Zhao et al., 59 2012). In view of the scarcity of evidence in favor of non-neutral evolu- 60 tion along evolutionary mammalian lineages, we have analyzed mtDNA 61 genomes of 70 primate species. We herein report strong evidence of 62 positive selection in at least four mitochondrial genes and nine evolu- 63 tionary lineages. 64

#### 2. Materials and methods

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#### 2.1. Preparation of biological samples and libraries

The mitochondrial genomes of four neotropical primates, Aotus infulatus, Chiropotes israelita, Callimico goeldii 19

and Callicebus lugens were sequenced and annotated. Phylogenetic reconstructions with mitochondrial genes 20

of other 66 primates showed a similar arrangement to a topology based on nuclear genes. Screening for pos- 21

itive selection identified 15 codons in 7 genes along 9 independent lineages, three with two or more genes 22

and five in internal nodes, ruling out false positive estimates. Mitochondrial genes of the electron transport 23

chain (ETC.) complexes evolved with high substitution rates. A study of nuclear ETC. genes might elucidate 24

Peripheral blood samples were obtained from one captive *Callimico* 67 goeldii kept in the Centro de Primatologia do Rio de Janeiro (CPRJ-INEA). 68 DNA sample of *Aotus infulatus* was provided by Dr. Artur Silva from the 69 Genetics Department of Universidade Federal do Pará, Belém, Brazil. 70 Liver tissue from one *Chiropotes israelita* and one *Callicebus lugens* cap-71 tured in the field were provided by Dr. Cibele R. Bonvicino. 72

Blood samples used in this study were part of the blood samples reg- 73 ularly collected for checkups and control of captive animals at 74 CPRJ-INEA. Field and sample collections were carried out following 75 the national guidelines and provisions of IBAMA (Instituto Brasileiro 76 do Meio Ambiente e dos Recursos Naturais Renováveis, Brazil; perma- 77 nent license number 11375-1). The granting of this license by IBAMA 78 followed approval by its Ethics Committee. 79

DNA from liver tissue was extracted with phenol chloroform 80 (Sambrook et al., 1989) while DNA from fresh blood was extracted 81 with QIAamp® DNA Mini and Blood Mini Kit (QIAGEN®). Sample 82

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quality was checked by both electrophoresis in agarose 1% gels and 83 84 NanoDrop® 1.000 Spectrophotometer (Thermo Scientific) and quantified using a Qubit® 2.0 Fluorometer (Life Technologies™). Library prep-85 86 aration followed the Illumina Sequencing Workflow protocols (Nextera Kit or Truseq DNA Sample Prep) for the HiSeq2000 platform. DNA 87 containing 800-900 bp fragments (with Nextera kit) and 300-400 bp 88 (with Truseq Kit), checked with an Agilent Bioanalyzer 2100 DNA 89 90 with a high sensitivity DNA chip, was subsequently tested by qPCR 91 using a Library Quantification Kit for library validation (KAPA -92 KK4824). DNA cluster generation was prepared for 3 lanes of a PE 93 Flowcell v.3 for C. lugens, 4 lanes for C. goeldii and one lane for C. israelita and A. infulatus following the manufacturer's protocol. A 97 × 96 pairend 94run was carried out in an Illumina HiSeq2000 platform for C. lugens 95(with a minimum of 83.6% registered base calls with Q30 quality 96 score) and a 99×93 pairend run for C. goeldii, C. israelita and A. infulatus 97 (with a minimum of 87.5% registered base calls with Q30 quality score). 98

#### 99 2.2. Data analyses

Output data was converted to Fastq files using CASAVA v1.8.2 100 software (Illumina®) and contigs were obtained with De Novo As-101 sembly analysis with CLC Genomics Workbench software (CLC Bio). 102 Contigs over 15 kb and below 18 kb were run against non-human 103 104 sequences on Blast (http://blast.ncbi.nlm.nih.gov) to check for mitochondrial sequence hits. Genes were mapped using the Mitos 105 website (http://mitos.bioinf.uni-leipzig.de/help.py) and, subsequently, 106 checked manually with MEGA 5.1 (Tamura et al., 2011). Sequence 107 data from other primate species were obtained from Genbank (Supple-108 109 mental Table 1) and datasets containing alignments for each gene were manually constructed with MEGA 5.1 (Tamura et al., 2011). A dataset 110 (Dat-Con) containing concatenated mt-genes was also created and the 111 112 best model of evolution was inferred with ModelGenerator v. 0.85 (Keane et al., 2006). Phylogenetic reconstructions with Dat-Con were 113114 performed with PHYML 3.0 (Guindon et al., 2010) for maximum likelihood (ML) and with MrBayes 3.2.1 (Ronquist and Huelsenbeck, 2003) 115for Bayesian analysis (BA), with the general time reversible model 116 117(GTR) with gamma distributed rate heterogeneity with invariable 118sites (I+G). In MrBayes, the Markov chain Monte Carlo (MCMC) algo-119 rithm was implemented with two independent runs with four chains each. The cold chains were sampled every 100th generation until 12020,000 trees were obtained. A burn-in of 2000 was used. 121

Analysis of codon usage was carried out by estimating: (i) The im-122proved effective number of codons index (ENC) providing an esti-123 mate of the departure of codon usage from usage of synonymous 124 codons. An ENC estimate of 20 corresponds to the highest codon 125bias resulting from usage of one codon per synonymous codon family 126 while an estimate of 61 indicates that all codons of all synonymous 127 128 codon families are equally used (Sun et al., 2013; Wright, 1990); (ii) The improved codon adaptation index (CAI2) showing the rela-129tive adaptiveness of codon usage (Sharp and Li, 1987; Xia, 2007) by 130 131 comparing codon usage of a given sequence with the relative synon-132ymous codon usage estimates of very highly expressed genes of a ref-133 erence species, in this case, Homo sapiens. The higher the similarity between the pattern of codon usage of a given sequence and the ref-134erence pattern, the higher CAI2 estimates will result; and (iii) The 135codon bias index (CBI), measuring the frequency with which any 136coding region uses a subset of preferred codons (Bennetzen and 137 138 Hall, 1982). ENC and CAI2 were calculated with DAMBE (Xia and Xie, 2001) while CBI and GC content at second  $(GC_2)$ , third  $(GC_3)$ 139140 and all positions (CG) were calculated with DnaSP 5.10 (Librado and Rozas, 2009). The association between codon usage and phylog- 141 eny was tested with the Bayesian tip-significant test (Parker et al., 142 2008) implemented in BaTS software (http://evolve.zoo.ox.ac.uk/ 143 evolve/BaTS.html). This analysis tested against the null hypothesis 144 postulating that codon usage estimates were randomly distributed 145 among the tips of the phylogeny. If higher (or lower) values tended 146 to be associated with monophyletic groups at a rate greater than 147 pure chance, the null hypothesis was rejected at significance level 148 equal to 0.05. 149

Analysis of differential selection at codon sites was performed sepa- 150 rately for each gene with the ML topology obtained with Dat-Con, using 151 three algorithms available in the DataMonkey server (Delport et al., 152 2010), namely, SLAC, REL and FEL (Kosakovsky Pond and Frost, 2005). 153 SLAC was used for inferring positive selection based on an ancestral 154 state reconstruction of codon sites (Kosakovsky Pond and Frost, 155 2005). REL was used as a likelihood-based test for estimating the distri- 156 bution of dN/dS ratios and subsequently assigning each codon site to a 157 previously estimated dN/dS class, and empirical Bayes analysis for 158 every codon site (Kosakovsky Pond and Frost, 2005). Finally, FEL is 159 also likelihood-based for obtaining branch lengths and substitution 160 rates, but the distribution of dN/dS ratios is not estimated and a likeli- 161 hood ratio test is used site by site (Kosakovsky Pond and Frost, 2005). 162 Simulation studies (Kosakovsky Pond and Frost, 2005) reported SLAC 163 as the most conservative test, with REL and FEL showing a higher sensi- 164 tivity for inferring positively selected codons. The codon model for each 165 gene was also estimated with the DataMonkey server. Branch Site REL 166 (Kosakovsky Pond et al., 2011) analysis was also run in DataMonkey 167 to estimate branch-specific episodes of positive selection. A dataset 168 containing only one species per genus was composed for verifying the 169 robustness of findings and avoiding small tree branches that would in- 170 crease the rate of false positive estimates. 171

#### 3. Results and discussion

The mitochondrial genome of the species sequenced in our labora- 173 tory ranged from 16,523 bp to 16,689 bp (Supplemental Table 1). On 174 average, coverage of mitochondrial genomes was  $3307 \times$  for *C. lugens*, 175  $269 \times$  for *C. goeldii*, 777  $\times$  for *A. infulatus* and  $264 \times$  for *C. israelita*. Sequence and annotation data were deposited in Genbank with accession numbers KC592390-KC592393. 178 Q3

Bayesian and ML analyses produced an identical topology (Fig. 1). 179 The topology herein described differed from a previous one based on 180 nuclear genes (Perelman et al., 2011) although most differences oc- 181 curred at low supported nodes or at nodes preceded by short 182 branches. Our topology showed one incongruent grouping of taxa 183 belonging to two different families, as was the case of Perodicticus 184 (a member of the Lorisidae) grouping with the branch leading to Ga- 185 lago and Otolemur (members of the Galagidae) rather than with 186 other Lorisidae (Loris and Nycticebus). Similarly, Lepilemur (family 187 Lepilemuridae) was more basal with respect to Propithecus (family 188 Indriidae). Within the neotropical clade, Aotus grouped with Saimiri/ 189 Cebus rather than with the callitrichids and, in the Hylobatidae, 190 Hylobates grouped with Symphalangus rather than with Nomascus. In 191 the Cercopithecidae, Trachypithecus grouped with Presbytis rather than 192 with Semnopithecus and Pygathrix grouped with Rhinopithecus rather 193 than Nasalis. 194

Differences between our mtDNA tree and the nuclear gene tree 195 (Perelman et al., 2011) might have resulted from the different num- 196 ber of taxa included in analyses, changes in mutation rates, or selec- 197 tive forces. Interestingly, the most discordant arrangements were 198

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**Fig. 1.** Maximum likelihood topology of primate mt-genes. Bold branches (numbered) represent lineages under positive selection. All nodes were strongly supported (LRT = 1) except for nodes shown in black circles (LRT between 1 and 0.9) and white circles (below 0.9). Codon Usage statistics (see Supplemental Table 2) relationship to mt DNA phylogeny. Statistics include effective number of codons (ENC), codon adaptation index (CAI2), codon bias index (CBI) and GC content at second (G+C2), third (G+C3) and all positions (G+Cc). ENC values were divided by 100 to fit the graph scale.

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