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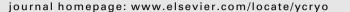
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Mathematical prediction of freezing times of bovine semen in straws placed 2 in static vapor over liquid nitrogen \ddagger

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ABSTRACT

A widespread practice in cryopreservation is to freeze spermatozoa by suspending the straws in stagnant nitrogen vapor over liquid nitrogen (N_2V/LN_2) for variable periods of time before plunging into liquid nitrogen (-196 °C) for indefinite storage. A mathematical heat transfer model was developed to predict freezing times (phase change was considered) required for bull semen and extender packaged in 0.5 ml plastic straws and suspended in static liquid nitrogen vapor. Thermophysical properties (i.e. thermal conductivity, specific heat, density, initial freezing temperature) of bovine semen and extender as a function of temperature were determined considering the water change of phase. The non-stationary heat transfer partial differential equations with variable properties (nonlinear mathematical problem) were numerically solved considering in series thermal resistances (semen suspension-straw) and the temperature profiles were obtained for both semen suspension and plastic straw.

It was observed both the external heat transfer coefficient in stagnant nitrogen vapor and its temperature (controlled by the distance from the surface of liquid nitrogen to the straw) affected freezing times. The accuracy of the model to estimate freezing times of the straws was further confirmed by comparing with experimental literature data. Results of this study will be useful to select "safe" holding times of bull semen in plastic straws placed N₂V/LN₂ to ensure that complete freezing of the sample has occurred in the nitrogen vapor and avoid cryodamage when plunging in LN₂. Freezing times predicted by the numerical model can be applied to optimize freezing protocols of bull semen in straws.

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Introduction 47

Plastic straws

48 Successful cryopreservation of bovine spermatozoa is a fundamental step in the conservation of valuable genetics, the 49 improvement of genetic progress and the application of assisted 50 reproductive technologies [55,4]. Long-term storage of semen 51 allows for transport and utilization over considerable distances, 52 53 and also provides a window of opportunity for animal testing prior 54 to gamete utilization. Semen cryopreservation is a standard 55 practice that permits efficient utilization and propagation of ani-56 mals and it ultimately leads to overall genetic progress [44].

In addition, genome cryobanking and germplasm repositories 57 58 established with the objective of preserving agricultural

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loss have now become national and international initiatives [66]. Cryopreservation of reproductive cells is accomplished basically in two ways: using conventional, slow cooling methods or by applying ultra fast, high cooling rates (vitrification) in the presence of cryoprotectant concentrations that are compatible with reproductive cell survival. Although vitrification [13] is showing much promise in the preservation of oocytes and embryos, the cryopreservation of semen is still mostly done by slow cooling mainly because it allows to preserve the relatively large volumes of diluted ejaculate (from 0.25 to 0.5 ml) necessary for artificial insemination with acceptable quality of post-thaw survival parameters in domestic species [6,7,17,38,43,44]. Automated, programmable freezers are routinely used to accomplish controlled, slow cooling of bovine semen packed in polypropylene straws [88].

Freezing procedure during cryopreservation is a critical factor on sperm viability, because cooling rates that are too high or too low can be detrimental for the cells. Rapid cooling causes a shortage in the period of water efflux, resulting in excessive intracellular ice formation and consequent cell death [45,46]. On the

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79 contrary, slow cooling often injures the cells due to mechanical 80 and/or osmotic effects of external medium [38,50]. To determine 81 the optimum cooling rates, the method using the programmable 82 freezer is the most precise, but due to the expense of these sys-83 tems, a widespread practice is to freeze the sample by suspending 84 the straws in nitrogen vapor (N_2V) over liquid nitrogen (LN_2) for 85 variable periods of time before plunging into LN₂ (-196 °C) for 86 indefinite storage [28]. Insulated, Styrofoam[®] boxes containing 87 LN₂ have been used successfully for sperm cryopreservation [22]. When using a Styrofoam[®] box, the rack containing the samples is 88 placed into the N_2V/LN_2 at a height of 3-4 cm above LN_2 for 89 90 7–8 min and the straws are then plunged in LN₂ [67]. Alternatively, Chemineaux et al. [19] suggested that 0.5 ml straws should be fro-91 zen 4 cm above LN₂ for 5 min. However, other freezing heights and 92 93 times have been reported with acceptable results in terms of cell 94 viability post-thaw [42].

95 The temperature gradient formed in the vapor phase of N_2V/LN_2 96 depends on several factors: distance to liquid nitrogen surface, 97 amount of liquid nitrogen relative to air space, dimension of the 98 container, etc. All of these factors can contribute to variability in 99 the temperature range at which the putative freezing of the sample 100 occurs and all should be taken into account when assuming complete freezing of the sample has occurred during holding time in 101 102 the nitrogen vapor. It is noteworthy that Ritar et al. [71] studied 103 freezing of caprine semen by holding straws in LN₂ (4 cm above li-104 quid nitrogen) for a few seconds followed by plunging into LN₂. 105 They reported that straws exposed to vapor for only 10 s did not cool sufficiently before plunging, and cell viability was seriously 106 107 impaired.

In previous reports [76,77] Sansinena et al. performed a numerical simulation of cooling rates during vitrification (i.e. ice formation was avoided) by solving the non-stationary heat transfer partial differential equations for plastic (French) straws and "minimal volume" vitrification devices; a wide range of heat transfer coefficient values likely to represent experimental conditions were used in the simulations.

115 However, when freezing takes place thermophysical properties 116 that are involved in the heat transfer partial differential equations 117 undergo abrupt changes with temperature due to ice formation; 118 this represents a highly non-linear mathematical problem that 119 must be solved numerically. The main challenge when trying to 120 numerically solve phase change problems is related to the lack of convergence due to the behavior of the thermophysical properties, 121 122 especially when using the apparent specific heat where the sensible heat is merged with the latent heat to produce a specific heat 123 124 curve with a large peak around the freezing point [64]. This func-125 tion is considered a quasi delta-Dirac function with temperature 126 depending on the amount of water in the sample. Several tech-127 niques were applied to deal with this problem, the most efficient 128 and widely applied method is the implementation of the enthalpy 129 variable, which can be obtained through the integration of the specific heat with temperature [23,24,53,64] and the Kirchhoff func-130 tion, which is the integral of the thermal conductivity [78,81]. 131 However the enthalpy and/or Kirchhoff formulation is unable to 132 133 solve the heat transfer with phase change problem when two or more materials with different enthalpy values are in intimate con-134 tact. In this kind of problems the energy transfer through a series of 135 thermal resistances, (i.e. semen suspension in series with the plas-136 137 tic wall of the straw) leads to an unsolved formulation because the 138 mathematical boundary condition has different values of enthalpy 139 and Kirchhoff function at the interphase of both materials [64]. In 140 the present work an alternative formulation of the apparent spe-141 cific heat is described in order to numerically solve a thermal resis-142 tance in series problem with change of phase in order to calculate 143 freezing times of bovine semen contained in plastic straw when 144 placed in nitrogen vapor over liquid nitrogen.

The objective of present study was: (a) to develop a mathemat-145 ical model to predict actual freezing times required for bull sper-146 matozoa/extender packaged in polypropylene straw (0.5 ml) 147 suspended in static N_2V over LN_2 (N_2V/LN_2); (b) to determine the 148 actual thermophysical properties (i.e. thermal conductivity, spe-149 cific heat, density, initial freezing temperature) of bull semen/ex-150 tender as functions of temperature considering the water change 151 of phase (ice formation); (c) to introduce these properties into 152 the numerical finite element program used to solve the non-sta-153 tionary heat transfer partial differential equations for the semen 154 suspension in plastic straw considering thermal resistances in ser-155 ies; (d) to predict freezing times for different nitrogen vapor tem-156 peratures and heat transfer coefficients; and (e) to compare the 157 obtained predictions with data from the literature in order to 158 determine "safe" holding times of plastic straw in static nitrogen 159 to ensure that complete freezing of the sample has occurred in 160 the nitrogen vapor, before plunging in the liquid nitrogen. 161

Materials and methods

Semen samples

Several French polypropylene straws of a commercially available, red Angus bull, were obtained for the characterization of physical/chemical properties of diluted bovine semen. All straws belonged to one single bull and were packed from the same ejaculate; sperm concentration in 0.5 ml straw was adjusted to 30×10^6 and diluted in commercial bull semen extender (Andromed[®], Minitube, Germany). Extender composition (as reported by manufacturer) consisted of phospholipids, TRIS buffer, citric acid, sugars, glycerol, ultrapure water and antibiotics.

Mathematical modeling of the heat transfer considering phase change transition

The system (straw and semen + extender) can be described as two concentric finite cylinders of different materials: the fluid and the straw. Dimensions of the polypropylene straw were 130 mm length, 2.6 mm o.d., 1.9 mm i.d. and 0.35 mm wall thickness. The differential equations that represent the heat transfer in the fluid and the plastic support considering radial and axial coordinates are:

$$\rho_{\rm s}(T) {\rm Cp}_{\rm s}(T) \frac{\partial T}{\partial t} r = \frac{\partial}{\partial r} \left(k_{\rm s}(T) r \frac{\partial T}{\partial r} \right) \qquad \text{in } \Omega_{\rm s} \quad t > 0 \tag{1}$$

$$\rho_{\rm p} {\rm Cp}_{\rm p} \frac{\partial T}{\partial t} r = \frac{\partial}{\partial r} \left(k_{\rm p} r \frac{\partial T}{\partial r} \right) + \frac{\partial}{\partial z} \left(k_{\rm p} r \frac{\partial T}{\partial z} \right) \qquad \text{in } \Omega_{\rm p} \quad t > 0$$
(2)

where *T* is temperature, ρ corresponds to the density, Cp specific heat, *k* thermal conductivity and the subscripts s and p correspond to the mixture of semen + extender and plastic material, respectively. It can be noticed that in the semen suspension the thermal properties are temperature dependent since there is a phase change transition of water into ice, however in the plastic support the thermophysical properties (k_p , ρ_p , Cp_p) are considered constant.

The surfaces exposed to N_2V/LN_2 are the bottom circle and the lateral plastic cylinder; the top circle was considered isolated (q = 0) since the semen is in contact with air inside the straw (Fig. 1). The warmest point in the system can be identified in Fig. 1. The equation that represents the boundary convective condition

$$-k_2(\nabla T \cdot n_2) = h \cdot (T - T_v) \qquad \text{in } \delta \Omega \quad t > 0 \tag{3}$$

where *h* is the surface heat transfer coefficient, T_v is the temperature of the N₂V/LN₂ and $\delta\Omega$ represents the surface of the straw

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