ARTICLE IN PRESS

Vaccine xxx (2016) xxx-xxx



Contents lists available at ScienceDirect

Vaccine



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journal homepage: www.elsevier.com/locate/vaccine

Status of vaccine research and development of vaccines for GBS

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69 ARTICLE INFO

Article history: Received 31 July 2015 10 Accepted 23 December 2015 Available online xxx 11 12 13 Keywords: Streptococcus agalactiae 14 15 Pregnancy Vaccine 16 17 Conjugate 18 Group B streptococcus

ABSTRACT

Streptococcus agalactiae (group B streptococcus (GBS)) is the leading cause of neonatal sepsis and meningitis in many countries. Intrapartum antibiotic strategies have reduced the incidence of early-onset neonatal GBS in a number of countries but have had no impact on late onset GBS infection (LOD). In low/middle income settings, the disease burden remains uncertain although in several countries of Southern Africa appears comparable to or higher than that of high-income countries. As disease may be rapidly fulminating cases can be missed before appropriate samples are obtained and this may lead to underestimation of the true burden. Given the rapid onset and progression within hours of birth as well as the deficiencies in IAP strategies and absence of a solution for preventing LOD, it is clear that administration of a suitable vaccine in pregnancy could provide a better solution in all settings; it should also be cost effective. The current leading vaccine candidates are CPS-protein conjugate vaccines but protein-based vaccines are also in development and one has recently commenced clinical trials.

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1. About the disease and pathogen

O3
Streptococcus agalactiae (group B streptococcus (GBS)) remains
the leading cause of neonatal sepsis and meningitis in many
countries and an important cause of disease in pregnant women,
immunocompromised adults and the elderly. The highest incidence
of all is in the first 3 months of life and this review will focus on this
group.

Intrapartum antibiotic (IAP) strategies have reduced the inci-27 dence of early-onset neonatal GBS (EOD, defined as disease 28 occurring <7 days of age) where applied, but have had no impact 29 on late onset GBS infection (LOD, 7-90 days of age) and only 30 a limited impact on disease in pregnant women [1]. In low 31 and middle-income country (LMIC) settings, the disease burden 32 remains uncertain although in several countries of Southern Africa 33 appears comparable to or higher than that of high-income countries 34 (HIC) [2,3]. EOD may be rapidly fulminating and cases can there-35 fore be missed before appropriate samples are obtained. This may 36 lead to significant underestimation of the true burden and be a par-37 ticular issue in many African and Asian countries; comprehensive 38 epidemiological data from such countries are urgently required [4]. 39 A recent meta-analysis emphasized this and reported an overall 40 estimate of GBS incidence of 0.53 per 1000 live births and a mean 41

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http://dx.doi.org/10.1016/j.vaccine.2015.12.072

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case fatality ratio of 9.6% (95% CI 7.5–11.8). In African infants the incidence was 1.21 per 1000 live births, with a case fatality of 22% [5].

EOD accounts for approximately 60–70% of all neonatal GBS disease. There are 10 GBS serotypes and ST Ia, II, III and V are responsible for most EOD. Maternal carriage of GBS in the gastrointestinal and/or genital tracts is a pre-requisite for EOD, vertical transmission occurring during or just prior to birth. An estimated 20–30% of pregnant women are colonized with GBS (data derived mostly from HIC [6,7]) and approximately 50% of their babies become colonized and 1% of these babies progress to develop invasive disease. Disease may occur rapidly; signs are evident at birth or within 12 h in over 90% of cases (98% within the first 12 h) and presentation is typically with pneumonia or sepsis [8].

Two major strategies for targeting women to receive IAP are used: risk factor based (RFB) or swab culture-based. The former is based on the presence of any of the following intrapartum risk factors: delivery at <37 weeks' gestation, intrapartum fever, or rupture of membranes for \geq 18 h; while the latter is based on a positive vaginal-rectal swab, typically obtained at 35–37 weeks gestation and cultured for GBS using selective media. For both strategies IAP is also recommended for women with GBS bacteriuria at any time during their current pregnancy or for women who have had a previous baby with EOD [9]. A potential alternative strategy is based on detection of GBS using real time PCR methodology from swabs obtained in labour [7]. This method has the obvious advantage of detecting GBS at the most relevant time for IAP, as screening

Please cite this article in press as: Heath PT. Status of vaccine research and development of vaccines for GBS. Vaccine (2016), http://dx.doi.org/10.1016/j.vaccine.2015.12.072

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earlier in pregnancy (e.g. 35–37 weeks) can result in both false positive and false negative results.

A number of issues arise with this mode of prevention, including its feasibility in LMIC. In HIC there are issues with compliance, cost and feasibility (especially of PCR in labour) and more theoretical concerns about the excessive use of antibiotics. Of particular importance is that IAP does not decrease LOD. LOD is caused predominantly by serotype III, is acquired perinatally, nosocomially or from community sources, and in up to 50% of cases presents with meningitis, which is associated with significant mortality and long-term morbidity [10,11].

Given the rapid onset and progression of EOD within hours of 80 birth as well as the deficiencies in IAP strategies and absence of 81 a solution for preventing LOD, it is clear that administration of a 82 suitable vaccine in pregnancy could provide a better solution in 83 all settings; it should also be cost effective. A recently published 84 decision-analytic model based on South African data compared four 85 strategies: no intervention, maternal GBS vaccination, RFB-IAP, and 86 vaccination plus RFB-IAP. GBS vaccination alone was predicted to 87 prevent 30-54% of infant GBS cases compared to no intervention. 88 For vaccine prices between \$10 and \$30, and mid-range efficacy, 89 90 its cost ranged from \$676 to \$2390 per disability-adjusted life-year (DALY) averted (\$US 2010), compared to no intervention. RFB-IAP 91 alone, compared to doing nothing, prevented 10% of infant GBS 92 cases at a cost of \$240/DALY. Vaccine plus RFB-IAP prevented 48% 93 of cases at a cost of \$664-2128/DALY. It was concluded that vacci-94 nation would substantially reduce the burden of infant GBS disease 95 in South Africa and would be very cost-effective by WHO guidelines [12] (Table 1). 9704

There is also evidence that GBS may contribute to prematurity, birth asphyxia and stillbirths; for example, a recent systematic review estimated it might account for up to 12% of stillbirths [13]. These are important consequences but are difficult to quantify.

102 **2. Overview of current efforts**

2.1. EITHER vaccines currently available and their limitations OR
Biological feasibility for vaccine development

In the 1930s, Rebecca Lancefield demonstrated that protection 105 against GBS infection in mice could be achieved using capsular 106 polysaccharide (CPS)-specific rabbit sera [14]. CPS remains the 107 best-studied target of GBS, and until recently was the only target 108 109 for which human vaccine trials have been undertaken. In the 1980s, human trials with plain capsular carbohydrate based vaccines 110 demonstrated that they were well tolerated, including in pregnancy 111 [15], but only modestly immunogenic. GBS polysaccharide-protein 112 conjugate vaccines (predominantly using tetanus toxoid as the 113 conjugate protein) were then developed and have subsequently 114 been administered to healthy adults and pregnant women [16-19]. 115 Essentially all of these clinical vaccine studies were coordinated by 116 a key group of investigators in the USA with funding and sponsor-117 ship through the National Institutes of Health and National Institute 118 of Allergy and Infectious Diseases. Multiple studies were under-119 taken but progress slowed as no vaccine manufacturer appeared 120 willing to progress this candidate to large-scale development, in 121 large part due to perceived issues with the feasibility of maternal 122 immunization. 123

More recently, a vaccine manufacturer (Novartis, now GSK) has developed and commenced clinical trials with a new CPS conjugate vaccine, based on CRM197 as the conjugate protein. Randomized clinical trials to evaluate the safety and immunogenicity of vaccination during pregnancy are underway; some have been reported as conference abstracts and some results are available on clinicaltrials.gov (NCT01193920, NCT01446289, NCT02046148). Another manufacturer (MinervaX) has recently commenced phase 1 clinical trials with a protein vaccine (GBS-NN), made from the N-terminal domains of the Rib and AlphaC surface proteins of GBS (NCT02459262).

Additionally and most significantly, the attitude of healthcare workers, the general public, regulators and policy makers towards vaccination during pregnancy has changed. This is exemplified by the World Health Organization's global recommendations on influenza vaccine in pregnant women [20], multiple countries' recommendations on pertussis vaccine in pregnant women and, in the UK, by the high coverage achieved with a pertussis-containing vaccine in pregnancy [21].

2.2. General approaches to vaccine development for this disease for low and middle income country

The leading indication for GBS vaccines is the prevention of neonatal GBS infections (up to 2-3 months of age), including meningitis. Disease occurs too early in life for neonates or infants to mount an effective immune response following vaccination: the majority of infants with GBS disease present on day 1 of life. Therefore, the obvious target for vaccination is pregnant women. Pre-pregnancy or adolescent vaccination may also be considered, but are less feasible, especially in LMIC settings where there is no current platform for vaccination in these groups. The only alternative for prevention of EOD (but not LOD) is IAP, but it is generally believed to be too difficult to implement this in LMICs for logistical reasons. Additionally, evidence from cohort studies suggests that IAP based on swab-based screening at 35–37 weeks is a superior strategy to that based on risk factors [22]: however swab-based screening is significantly more expensive. The South African costeffectiveness data suggested that GBS vaccination might prevent 30–54% of infant GBS cases while RFB-IAP might prevent only 10% of infant GBS cases [12].

3. Technical and regulatory assessment

The current leading vaccine candidates are capsular polysaccharide-protein conjugate vaccines. Multiple clinical studies have already been completed in order to assess the optimal dosage, schedule, requirement for adjuvant, and the persistence of response, as well as immunogenicity and safety trials in pregnant women. These candidates have so far used conventional carrier proteins (tetanus toxoid, CRM197) [17] (clinicaltrials.gov: NCT01193920). The developmental pathway for conjugate vaccines using such proteins is now well established (e.g. Hib/meningococcal/pneumococcal conjugate vaccines).

A major regulatory issue for this vaccine is that it is being developed specifically for use in pregnant women. This is a new paradigm for regulators as currently no vaccine is licensed specifically for this use. The approach taken for a GBS vaccine and the experience gained will have implications for other vaccines also being developed specifically for pregnancy (e.g. RSV).

Another significant consideration in the development pathway for this vaccine is whether licensure will require large scale randomized placebo controlled trials that demonstrate efficacy against clinical disease, or whether regulatory approval can be based on demonstration of achievement of serological correlates of protection. The latter approach was taken for licensure of meningococcal C [23] and meningococcal B vaccines. Recent guidance lays the groundwork for such an approach for vaccines developed for pregnancy [24].

Serological correlates of protection. Baker and colleagues initially characterized the association between serotype-specific CPS antibody levels and invasive GBS disease in newborns in 1976 [25].

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