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Functional immune responses to twelve serotypes after immunization with a 23-valent pneumococcal polysaccharide vaccine in older adults

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ABSTRACT

Background: The 23-valent pneumococcal polysaccharide vaccine (PPSV23) was introduced as part of the national immunization program for the elderly (\geq 65 years of age) in Korea on 2013. To evaluate immune responses in this population, serotype-specific anti-pneumococcal antibodies were studied with opsonophagocytic assay (OPA).

Methods: Pneumococcal vaccine-naïve participants \geq 65 years of age were enrolled. They were divided into two groups according to their age: 30 in (65–74 years) and 32 in group (\geq 75 years). The functional antibody response was determined by multiplexed OPA (MOPA) for 12 serotypes (1, 3, 4, 5, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) before and 4 weeks after vaccination with PPSV23.

Results: Geometric mean titers (GMTs) to all tested serotypes significantly increased in both groups after vaccination compared to those before vaccination. There were no significant differences in either the fold rise (post-vaccination to pre-vaccination) or the percentage of participants with a \geq 4-fold increase in OPA titers between two groups for any of the 12 serotypes. Following vaccination, GMT for serotype 9V was higher in group 1 than in group 2 (*P*=0.011).

Conclusions: PPSV23 induces functional immune response for 12 vaccine serotypes in both age groups. Further analysis is needed for the remaining 11 serotypes in the PPSV23, in order to develop a better understanding of the immune responses induced by PPV23 in older adults.

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24 **1. Introduction**

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Older adults are at high risk of invasive pneumococcal dis-25 ease (IPD) [1]. Prevention through vaccination has been used as 26 an effective way to reduce disease burden. Currently, two pneu-27 mococcal vaccines are available for elderly people: the 23-valent 28 pneumococcal polysaccharide vaccine (PPSV23) and the 13-valent 29 pneumococcal conjugate vaccine (PCV13). PPSV23 was licensed 30 in 1983 and is commonly recommended for prevention of IPD in 31 high-risk adults and the elderly [2]. PCV13 was licensed in 2010 32 as a replacement for PCV7 in infants and young children and was 33 recently approved in some countries including Korea for use in 34 preventing pneumonia and IPD in adults aged \geq 50 years [3–6]. 35

Although PCV13 may be more effective than PPSV23, the strains contained in the PCV13 are likely to be greatly reduced in the

http://dx.doi.org/10.1016/j.vaccine.2015.08.002 0264-410X/© 2015 Published by Elsevier Ltd. population due to successful infant immunization schedules and, thus, uncommon isolates. Moreover, PPSV23 will provide protection against 10 additional serotypes and is less expensive than PCV13. For these reasons, to date, in most countries, PPSV23 is preferred as part of routine vaccination in the elderly [7].

In Korea, a national immunization program (NIP) for PPSV23 was introduced for all individuals aged ≥ 65 years in May 2013. To evaluate the impact of the universal PPSV23 program in the target population, a vaccine effectiveness (VE) study is needed using the serotype-specific incidence of pneumococcal disease before and after vaccine introduction, which is difficult to perform in Korea. Given this limitation, the immunogenicity of PPSV23 was studied alternatively by measuring functional antibodies with opsonophagocytic assay (OPA), which is a better predictor of protection than antibody titer evaluated with ELISA, especially in older adults [8,9].

In this study, we aimed to evaluate functional immune responses by measuring OPA titers for the 12 serotypes included in both PCV13 and PPSV23, in the elderly vaccinated with PPSV23.

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7 2. Subjects and methods

58 2.1. Participants and study design

A total of 62 participants were enrolled into two age groups in 50 2013–2014: 30 in group 1 (65–74 years) and 32 in group 2 (>75 60 years). Eligible individuals were ambulatory adults aged >65 years 61 who never received the pneumococcal vaccine and in whom under-62 lying chronic illnesses such as hypertension and diabetes mellitus 63 were stable. Exclusion criteria were immune compromising con-64 ditions such as HIV infection, leukemia, lymphoma, Hodgkin's 65 disease, multiple myeloma, generalized malignancy, chronic renal 66 failure or nephrotic syndromes, congenital or acquired immunode-67 ficiencies, diseases requiring treatment using immunosuppressive 68 drugs, including long-term systemic corticosteroids or radiation 69 therapy, solid organ transplantation, functional or anatomic asple-70 nia, CSF leaks or cochlear implants, a history of hypersensitivity to 71 vaccine or IPD, any coagulation disorder, and a history of antibiotic 72 use within one week. All participants received PPSV23 (Prodiax-73 23[®], Merck & Co. Inc., Whitehouse Station, NJ, USA) into the deltoid 74 muscle. Blood samples were collected before and approximately 4 75 76 weeks (mean: 28.1 days, range: 26-35 days) after vaccination.

77 2.2. Multiplexed OPA (MOPA) for immunogenicity assessment

MOPA was performed for 12 serotypes (1, 3, 4, 5, 6B, 7F, 9V, 78 14, 18C, 19A, 19F, and 23F) as previously described [10]. Briefly, 79 HL-60 cells were differentiated into granulocytes by culturing in 80 RPMI 1640 (Welgene, Daegu, Korea) with 10% fetal bovine serum 81 and 0.8% dimethyl formamide for 5 days. After differentiation, HL-82 60 cells were diluted to 10^7 cells/mL in Hanks' buffer containing 83 0.1% gelatin and 5% fetal bovine serum. All serum samples were 84 also diluted in the same buffer. Target bacteria with resistance to 85 one of four antibiotics (optochin, streptomycin, spectinomycin, or 86 trimethoprim), but susceptibility to the other three were prepared 87 for the 12 serotypes. Equal volumes of the four bacterial suspen-88 sions selected to be analyzed were pooled. A serially diluted test 89 serum (20 μ L) was mixed with 10 μ L of pneumococcal suspension 90 containing 2000 CFU in each well of a 96-well microtiter plate. After 91 30 min of incubation at room temperature with shaking, 40 µL of 92 HL-60 cell suspension (4×10^5 cells per well) and 10 μ L of baby 93 rabbit complement (Pel-Freez, Brown Deer, WI, USA) were added to each well. Plates were incubated in a tissue culture incubator 95 (37 °C, 5% CO₂) with shaking for 45 min. An aliquot of the final reaction mixture (10 µL) was spotted onto four different Todd-Hewitt 97 agar yeast extract plates. After the fluid was absorbed into the agar, each plate was overlaid with molten Todd-Hewitt agar (0.75%)99 containing yeast extract, one of the four antibiotics, and 100 mg/L 100 of 2,3,5-triphenyltetrazolium chloride. After overnight incubation 101 in a candle jar at 37°C, the bacterial colonies on the agar plates 102 were counted using colony counting software, NICE (NIST [National 103 Institute of Standards and Technology, US]'s Integrated Colony Enu-104 merator). OPA titer was defined as the serum dilution that killed 105 50% of bacteria, which was determined by linear interpolation. 106

107 2.3. Statistical analysis

Geometric mean titers (GMTs) were calculated and two-sided 108 95% confidence intervals (CIs) were determined in each pneumo-109 coccal serotype for both groups. Differences in GMTs between pre-110 and post-vaccine sera were compared using a two-sample, paired 111 t-test after logarithmic transformation. Comparisons between both 112 groups were evaluated by the Student's t-test for continuous 113 variables and the Pearson χ^2 test or Fisher's exact test for cate-114 115 gorical variables. Holm's multiple test procedure [11] was applied to adjust P values for multiple comparisons. Reverse cumulative 116

Table 1

Demographic characteristics of the participants.

Characteristic	Group 1, aged 65–74 years (N=30)	Group 2, aged ≥75 years (<i>N</i> = 32)	P value
Male, n (%)	15(50.0)	18(56.3)	NS [*]
Age, years			
Mean	71.6	78.5	< 0.001
Median (range)	71.5 (68-74)	78.0 (75-85)	
Underlying conditions, n (%)			
Diabetes	8(26.7)	3(9.4)	NS
Cardiac disease	17(56.7)	14(43.8)	NS
Lung disease	1(3.3)	0(0)	NS
Cirrhosis	0(0)	0(0)	
Two or more [†]	6(20.0)	3(9.4)	NS

* NS, not significant.

[†] Participants with two or more of the following underlying conditions: diabetes, cardiac disease, lung disease, and cirrhosis.

distribution curves (RCDCs) were used to represent the percentage of participants that achieved different OPA titers to each of the pneumococcal serotypes. *P* values less than 0.05 were considered significant. Statistical analysis was performed using SPSS statistical software (version 18.0; SPSS Inc., Chicago, IL, USA).

2.4. Ethical considerations

The study protocol was reviewed and approved by the Institutional Review Board of Ewha Womans University Mokdong Hospital (ECT 13-24B-21). The study was conducted in accordance with good clinical practices (national regulations and ICH E6) and the principles of the Helsinki Declaration. Written informed consent was obtained from all participants following a detailed explanation of the study.

3. Results

3.1. Baseline participant characteristics

A total of 62 participants were studied, 30 in group 1 and 32 in group 2. The demographic characteristics of the two groups are summarized in Table 1. The median age of group 1 and group 2 was 71.5 years (range: 68–74 years) and 78.0 years (range: 75–85 years), respectively. There were no significant differences in gender or the presence of underlying disease between the two groups.

3.2. Immunogenicity

The GMTs and 95% CIs for pre- and post-vaccination OPA titers are shown in Table 2. Following vaccination, both groups exhibited significant increases in GMTs for all 12 serotypes. There were no differences in either the fold increase (post-vaccination to prevaccination) or the proportion of participants with a 4-fold or greater increase in OPA titers between the two groups for any of the 12 serotypes.

There were no differences in the baseline GMTs between two groups, with the exception of serotype 9V, for which group 1 had a higher GMT than group 2 (P<0.001). After vaccination, GMT for serotype 9V was also higher in group 1 compared to group 2 (P=0.011) (Table 2, Fig. 1).

Table 3 presents the number and percentage of participants in both groups with OPA titers ≥ 8 and ≥ 64 before and after immunization. These titers were chosen as a point of reference and do not necessarily correspond to seroprotection, because such a correlate has not been established in adults. Before vaccination, the number of participants with an OPA titer ≥ 8 ranged from 11 (37%) for serotype 1 to 30 (100%) for serotypes 9V, 14, and 19A in group

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