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Mumford curves and Mumford groups in positive characteristic



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ABSTRACT

A Mumford group is a discontinuous subgroup Γ of $\mathrm{PGL}_2(K)$, where K denotes a non archimedean valued field, such that the quotient by Γ is a curve of genus 0. As abstract group Γ is an amalgam of a finite tree of finite groups. For K of positive characteristic the large collection of amalgams having two or three branch points is classified. Using these data Mumford curves with a large group of automorphisms are discovered. A long combinatorial proof, involving the classification of the finite simple groups, is needed for establishing an upper bound for the order of the group of automorphisms of a Mumford curve. Orbifolds in the category of rigid spaces are introduced. For the projective line the relations with Mumford groups and singular stratified bundles are studied. This paper is a sequel to [26]. Part of it clarifies, corrects and extends work of G. Cornelissen, F. Kato and K. Kontogeorgis.

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Introduction

Let K be a complete non archimedean valued field. For convenience we will suppose that K is algebraically closed. A *Schottky group* Δ is a finitely generated, discontinuous

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subgroup of $\mathrm{PGL}_2(K)$ such that Δ contains no elements ($\neq 1$) of finite order and $\Delta \not\cong \{1\}, \mathbb{Z}$. It turns out that Δ is a free non-abelian group on $g > 1$ generators. Let $\Omega \subset \mathbb{P}_K^1$ denote the rigid open subspace of ordinary points for Δ . Then $X := \Omega/\Delta$ is an algebraic curve over K of genus g . The curves obtained in this way are called *Mumford curves*. Let $\Gamma \subset \mathrm{PGL}_2(K)$ denote the normalizer of Δ . Then Γ/Δ acts on X and is in fact the group of the automorphisms of X . For $K \supset \mathbb{Q}_p$, the theme of automorphisms of Mumford curves is of interest for p -adic orbifolds and for p -adic hypergeometric differential equations. According to F. Herrlich [15] one has for Mumford curves X of genus $g > 1$ the bound $|\mathrm{Aut}(X)| \leq 12(g - 1)$ if $p > 5$. For $p = 2, 3, 5$ there are p -adic “triangle groups” and the bounds are $n_p(g - 1)$ with $n_2 = 48, n_3 = 24, n_5 = 30$.

In this paper we investigate the case that K has characteristic $p > 0$.

The order of the automorphism group can be much larger than $12(g - 1)$. Using the Riemann–Hurwitz–Zeuthen formula one easily shows (see also the proof of Corollary 6.2):

If $g > 1$ and $|\mathrm{Aut}(X)| > 12(g - 1)$, then $X/\mathrm{Aut}(X) \cong \mathbb{P}_K^1$ and the morphism $X \rightarrow X/\mathrm{Aut}(X)$ is branched above 2 or 3 points.

There exist Mumford curves $X = \Omega/\Delta$ with genus $g > 1$ and such that $|\mathrm{Aut}(X)| > 12(g - 1)$. Hence the normalizer Γ of $\Delta \subset \mathrm{PGL}(2, K)$ satisfies $\Omega/\Gamma \cong \mathbb{P}_K^1$. This leads to the definition of a *Mumford group*:

This is a finitely generated, discontinuous subgroup Γ of $\mathrm{PGL}_2(K)$ such that $\Omega/\Gamma \cong \mathbb{P}_K^1$, where $\Omega \subset \mathbb{P}_K^1$ is the rigid open subset of the ordinary points for the group Γ . We *exclude* the possibilities that Γ is finite and that Γ contains a subgroup of finite index, isomorphic to \mathbb{Z} . A point $a \in \mathbb{P}_K^1$ is called a *branch point* if a preimage $b \in \Omega$ of a has a non trivial stabilizer in Γ .

On the other hand, a Mumford group Γ contains a normal subgroup Δ , which is of finite index and has no elements $\neq 1$ of finite order. Thus Δ is a Schottky group, $X := \Omega/\Delta$ is a Mumford curve. Above we have excluded the cases that the genus of X is 0 or 1. The group $A := \Gamma/\Delta$ is a subgroup of $\mathrm{Aut}(X)$ such that $X/A \cong \mathbb{P}_K^1$.

In several papers [4–8,26,25] the construction and the classification of Mumford groups over a field K of characteristic $p > 0$ are studied. Here we continue this study. First we recall that a Mumford group is, as an abstract group, a finite tree of finite groups (T, G) . In the work of F. Herrlich [15] and in [26] a criterion is proved which decides whether the ‘amalgam’ $\pi_1(T, G)$ of a finite tree of finite groups (T, G) is *realizable*, i.e., $\pi_1(T, G)$ has an embedding in $\mathrm{PGL}(2, K)$ as discontinuous group. If there is a realization, then, in general, there are some families of realizations. Thus in classifying Mumford groups we classify in fact the realizable finite trees of finite groups (T, G) . Still, for the purpose of classification, there are too many realizable (T, G) .

Since we are interested in Mumford curves X with $|\mathrm{Aut}(X)| > 12(g - 1)$ and $g > 1$, we only consider trees of groups (T, G) which produce 2 or 3 branch points. The number of branch points br depends only on (T, G) and not on the chosen realization. A formula

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