



# Trilinear $L^p$ estimates with applications to the Cauchy problem for the Hartree-type equation



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## ABSTRACT

In this paper,  $L^p$  estimates for a trilinear operator associated with the Hartree type nonlinearity are proved. Moreover, as application of these estimates, it is proved that after a linear transformation, the Cauchy problem for the Hartree-type equation becomes locally well posed in the Bessel potential and homogeneous Besov spaces under certain regularity assumptions on the initial data. This notion of well-posedness and the functional framework to solve the equation were firstly proposed by Y. Zhou.

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## 1. Introduction

In this paper we discuss the Cauchy problem for the Hartree type equation

$$(H) \begin{cases} iu_t + \Delta u + (K * |u|^2)u = 0, & (t, x) \in \mathbb{R} \times \mathbb{R}^n, \\ u(0, x) = u_0(x), & x \in \mathbb{R}^n, \end{cases}$$

where  $K(x) = |x|^{-\gamma}$  and  $0 < \gamma < n$ ,  $n \in \mathbb{N}$ , and the initial data  $u_0$  are in the Bessel potential space  $H_p^s$  or homogeneous Besov space  $\dot{B}_{p,1}^s$ .

It is well known that the Cauchy problem for the cubic nonlinear Schrödinger equation

$$(C) \begin{cases} iu_t + \Delta u + |u|^2 u = 0, & (t, x) \in \mathbb{R} \times \mathbb{R}^n, \\ u(0, x) = u_0(x), & x \in \mathbb{R}^n, \end{cases}$$

is locally well posed for data in  $H^s$  under certain conditions on  $s$  (see [4], [5], [9], [14], [16], [19]). In particular, the Cauchy problem is locally well posed in  $H^0 = L^2$  when  $n = 1, 2$ . On the other hand, it is widely believed

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by many researchers that the Cauchy problem is ill posed in  $L^p$ -spaces when  $p \neq 2$ . This is probably because it was proved that the Schrödinger equation is ill posed in  $L^p$ ,  $p \neq 2$  even in the linear case. Indeed, to see this for  $p < 2$ , let  $e^{it\Delta}\varphi$  be the solution of the linear Cauchy problem

$$iu_t + \Delta u = 0, \quad u(0) = \varphi \in L^p \setminus L^2,$$

and assume that  $e^{it_0\Delta}\varphi \in L^p$  for some  $t_0 \neq 0$ . Then it is known that  $e^{it_0\Delta}\varphi \in L^{p'}$ , which implies  $e^{it\Delta}\varphi \in L^2$ . By the unitary property, this implies  $\varphi \in L^2$ , which is a contradiction.

Recently, however, there have been some attempts to solve (C) or other nonlinear dispersive equations for data in  $X$ , where  $X$  is not characterized by any kind of square integrability. For example, Grünrock [11] considered the space

$$\widehat{H}_p^s = \{\varphi \in \mathcal{S}'(\mathbb{R}^n) \mid (1 + |\xi|^2)^{\frac{s}{2}} \widehat{\varphi} \in L^{p'}\}$$

as a class of initial data and proved that (C) is locally well posed in  $\widehat{H}_p^s(\mathbb{R}^1)$  for  $s \geq 0$ ,  $1 < p < \infty$ . The space  $\widehat{H}_p^s$  scales like  $H_p^s$  and by the Hausdorff–Young inequality,

$$\begin{aligned} H_p^s &\subseteq \widehat{H}_p^s, & 1 \leq p \leq 2 \\ \widehat{H}_p^s &\subseteq H_p^s, & 2 \leq p \leq \infty, \end{aligned}$$

which implies  $\widehat{H}_p^s$  spaces are similar to  $H_p^s$  and are good substitutes for  $H_p^s$ . Therefore, treating in some kind of square integrable framework is not necessarily required for the well-posedness property of the Cauchy problem for the nonlinear dispersive equations. Nevertheless, one faces great deal of difficulty when trying to obtain any kind of well-posedness results for the nonlinear dispersive equations in mere  $L^p$ -spaces for  $p \neq 2$  due to the reason mentioned above. One way to overcome this difficulty is to introduce some, in a sense, “weaker” notion of well-posedness. For instance, in 2010 YI. Zhou [21] considered (C) with data in the Sobolev–Slobodekij space  $W_p^s$  (which is understood as the Besov space  $B_{p,p}^s$ , strictly speaking) and discovered that after a certain linear transformation the Cauchy problem becomes well posed in  $W_p^s$ . More precisely, considering the integral equation corresponding to (C)

$$(I) \quad u(t) = e^{it\Delta}u_0 + i \int_0^t e^{i(t-\tau)\Delta}(|u(\tau)|^2 u(\tau)) d\tau$$

and introduce  $v(t) \triangleq e^{-it\Delta}u(t)$  and rewrite (I) in terms of  $v$ . Then the integral equation is equivalent to:

$$(I)^* \quad v(t) = u_0 + i \int_0^t e^{-i\tau\Delta}((e^{i\tau\Delta}v(\tau))(\overline{e^{i\tau\Delta}v(\tau)})(e^{i\tau\Delta}v(\tau))) d\tau.$$

In his work [21], Y. Zhou showed that the integral equation is locally well posed in  $W_p^s$  under certain conditions on  $p, s, n$ , including the case of  $n = 1, s = 0$ , that is,  $L^p(\mathbb{R})$ . His work relies on a “good” cancellation property of the trilinear form

$$T(u(t), v(t), w(t)) = e^{-it\Delta}((e^{it\Delta}u(t))(e^{it\Delta}v(t))(\overline{e^{it\Delta}w(t)})). \quad (1.1)$$

Indeed, exploiting this property, one can prove the trilinear  $L^1$  estimate

$$\|T(u(t), v(t), w(t))\|_{L^1} \leq C|t|^{-n}\|u(t)\|_{L^1}\|v(t)\|_{L^1}\|w(t)\|_{L^1}. \quad (1.2)$$

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