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Lipase-catalyzed Kinetic Resolution of Phenylcyclohexanone Oxime Esters

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Abstract: Formation of optically active α or γ -phenylcyclohexanone oximes (2a and 2b, c) and their esters (1a and 3b, c) by lipase mediated transesterification of the corresponding racemic esters is described. Furthermore, 2(S)-phenyl and 2,2-dimethyl-4(R)phenylcyclohexanones (4 and 5) were prepared without any racemization by treatment with dimethyldioxirane in acetone or sodium hydrogen sulfite in refluxing aqueous ethanol.

Lipase-catalyzed resolution of racemic alcohols or esters is well known as one of the most useful methods for the preparation of enantiomerically pure compounds.¹ During our investigation² concerning the kinetic resolution of acetates of tetrahydroisoquinolinols, it has recently been reported that hydrolysis of acetates of certain phenolic (R, S)-1-arylalkyltetrahydroisoquinolines³ or (R, S)-aporphines⁴ with lipases in organic solvents takes place kinetically to give (R) or (S)-phenols and (S) or (R)-acetates in fair to good enantiomeric excess. In spite of such an extensive investigation of enzymatic resolution of esters, the formation of optically active oximes from the racemic oxime esters⁵ has been reported only once⁶ on an aldoxime. Kinetic resolution of oxime esters focused our attention on an efficient preparation of optically active oximes, since they are versatile intermediates in organic synthesis. We describe here kinetic resolutions of phenylcyclohexanone oxime esters by the use of lipase and the preparation of optically active phenylcyclohexanones.

In order to examine how the asymmetric recognition with lipase can be effected by the distance between the ester group and the stereogenic centre, (R, S)-phenylcyclohexanone oxime esters (1 and 3) having stereogenic carbon centres at the α or γ positions to the oxime group were chosen as substrates (Scheme 1).

At first, transesterification of racemic (E)-2-phenylcyclohexanone oxime acetate (1a) with ⁿBuOH in ¹Pr2O by the use of various commercially available lipases (Amano A-6 from Aspergillus niger; Amano AY-30 from Candida cylindracea; No L-1754 from Candida cylindracea; MY-30 from Candida cylindracea; OF-360 from Candida cylindracea; Amano GC-20 from Geotrichum candidum; Amano M-10 from Mucol japonicus; L-3126 from Porcine pancreas; Pancreatin F from Porcine pancreas; Amano P from Pseudomonas fluorescens; PS from Pseudomonas fluorescens; Amano F-AP-15 from Rhizopus japonicas; Newlase F from Rhizopus niveus; L-3001 from Wheat Germ; PL from Alcaligenes) was examined. The enantiomeric excess (e.e.) of the oxime and remaining oxime ester, which were isolated from the reaction mixture by silica gel column chromatography, was determined by HPLC on a chiral phase. Among the lipases used, lipase F-AP-15 showed (E)-2(S)-phenylcyclohexanone oxime (2a) to be obtained in 66% e.e. (28% chemical yield). The similar procedure was applied to (R, S)-4-phenylcyclohexanone oxime esters **1b**, **3b**, **c** to investigate the possibility of remote asymmetric recognition with lipase. The results are shown in Table 1. (R, S)-4-Phenylcyclohexanone oxime acetate (**1b**) showed only poor enantioselectivity against all lipases mentioned above, although transesterification proceeded smoothly (84% chemical yield). However, when the 5phenylvaleryl^{3, 7} instead of the acetyl groups in the ester moiety of **1b** was used, the enantioselectivity increased. Interestingly, in the reaction of **3b** with lipase PL, the e.e. of recovered oxime ester dramatically was raised to 93% (33% chemical yield). In the present reaction, (+)-4-phenylcyclohexanone oxime (**2b**) was found to racemize easily due to E-Z isomerization of the oxime.⁸ Furthermore, this methodology can also be applied to the unracemizable 2, 2-disubstituted 4-phenylcyclohexanone oxime. As expected, transesterification of (*R*, *S*)-(E)-2,2-dimethyl-4-phenylcyclohexanone oxime 5-phenylvalerate (**3c**) with lipase L-3126 afforded 90% e.e. (31% chemical yield) of recovered oxime ester **3c**.



a: $R^1 = R^3 = H$, $R^2 = Ph$; **b**: $R^1 = R^2 = H$, $R^3 = Ph$; **c**: $R^1 = R^2 = Me$, $R^3 = Ph$ Scheme 1

Table 1 Transesterification of (R, S)-phenylcyclohexanone oxime esters (1 and 3) catalyzed by lipases^a

| Run | (R,S)-Oxime ester | Lipase ^b | Reaction time (h) | E.c % ^c (yield) ^d | | Confign |
|-----|-------------------|---------------------|----------------------|---|-------------------|----------|
| | | | | Ester | Oxime | of oxime |
| 1 | 1a | F-AP-15 | 54 | 1a 25 (71) | 2a 66 (28) | S |
| 2 | 3b | PL | 0.5 | 3b 93 (33) | 2b 46 (65) | _ e |
| 3 | 3c | L-3126 | 23 | 3c 90 (31) | 2c 41 (67) | R |

^a All reactions were carried out with "BuOH in ⁱPr2O at room temperature. ^b F-AP-15 from *Rhizopus javanicus*, (Amano Pharmaceutical Co., Ltd.); PL from *Alcaligenes*, (Meito Sangyo Co., Ltd.); L-3126 from *porcine pancreas*, (Sigma Chemical Co., Ltd.). ^c Determined by HPLC using a chiral column (see Experimental). ^d Values in parenthesis showed isolated yield (%) of each product. ^e Not determined.

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