



# The influence of halogen substituents on the biological properties of sulfur-containing flavonoids



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## ABSTRACT

A series of halogen-substituted tricyclic flavonoids containing a 1,3-dithiol-2-ylum moiety has been synthesized from the corresponding 3-dithiocarbamic flavanones. The influence of halogen substituents on the antibacterial properties of the tricyclic flavonoids has been investigated against *Staphylococcus aureus* and *Escherichia coli*. On going from fluorine to iodine, these compounds exhibit good to excellent inhibitory properties against both Gram-positive and Gram-negative pathogens. These results suggest that size is the main factor for the change in potency rather than polarity/electronics.

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## 1. Introduction

Drug-resistant microorganisms are a major concern to modern-day society.<sup>1</sup> The extensive, sometimes negligent use of antibiotics over the past few decades has inevitably led to the appearance of drug-resistant pathogens.<sup>2</sup> Certain strains of *Escherichia coli*, *Klebsiella pneumoniae* and *Staphylococcus aureus*, to name but a few, have developed the ability to produce extended-spectrum  $\beta$ -lactamases (ESBLs) that render  $\beta$ -lactam antibiotics ineffective.<sup>1,3,4</sup> Other antibiotic groups, such as quinolones<sup>5</sup> and macrolides,<sup>6</sup> also prove ineffective in some cases. In this context, new antibiotics, preferably based on new molecular scaffolds, are required.<sup>7</sup>

Flavonoids are a diverse group of polyphenolic plant secondary metabolites. As a consequence of the various patterns on the C<sub>6</sub>–C<sub>3</sub>–C<sub>6</sub> backbone, more than 9000 flavonoids are known today.<sup>8</sup> Their wide range of biological activities has attracted a great deal of attention in the past few decades. Flavonoids have been found to display anticancer,<sup>9,10</sup> anti-inflammatory,<sup>11</sup> cardio-protective,<sup>12,13</sup> neuro-protective,<sup>14</sup> antiviral,<sup>15</sup> antifungal<sup>16</sup> and antibacterial<sup>17,18</sup> properties. In a previous paper,<sup>19</sup> we reported the antibacterial activity of a series of flavonoids containing a 1,3-

dithiolium moiety. In one particular case, structure **1** (Fig. 1), minimum inhibitory concentrations (MIC) of 0.48  $\mu\text{g}/\text{mL}$  for *Staphylococcus aureus* and 3.9  $\mu\text{g}/\text{mL}$  for *Escherichia coli* were recorded. With MIC values less than 10  $\mu\text{g}/\text{mL}$  being of interest,<sup>20</sup> we decided to extend our previous research and investigate the influence of the two halogen substituents bound to structure **1** on the antimicrobial activity of this class of tricyclic flavonoids.

It should be underlined that 1,3-dithiolium salts as a general class of compounds are not known to exhibit antibacterial properties. A literature survey on the non-ionic similar flavonoids reveals that a similar tricyclic condensed structure, carbon skeleton only, represents a class of selective estrogen receptor  $\beta$  agonists (SERBAs);<sup>21</sup> no related tricyclic structures with antibacterial activities were reported so far.

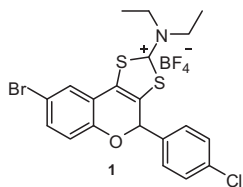
## 2. Results and discussion

### 2.1. Chemistry

The synthesis of tricyclic flavonoids **5a–o** has been accomplished by the hetero cyclocondensation of dithiocarbamic esters **4a–o** (Scheme 1). The later flavanones have been synthesized from phenacyl dithiocarbamates **2a–c**, obtained following an already established procedure,<sup>22,23</sup> and aminals **3a–d**, which were

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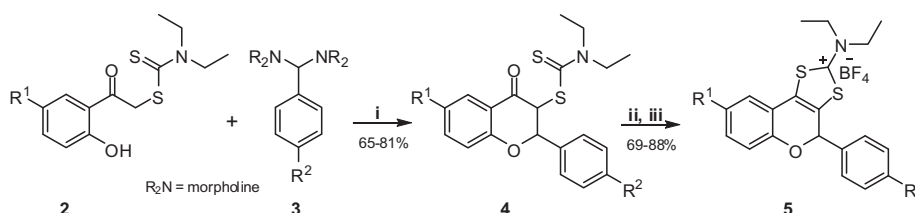


**Figure 1.** Molecular structure of tricyclic flavonoid **1**.

synthesized using morpholine and the corresponding halogenated benzaldehydes. Usually the closure of the benzopyran ring is accomplished by the reaction of a 2-hydroxyacetophenone with an aldehyde under basic conditions. However, due to the side-reactions provided by a free aldehyde in a basic environment, it is of interest to use a protected but reactive aldehyde. One of these methods is to convert the aldehyde to an aminal by reacting this with two equivalents of a secondary amine. The aminal is a versatile reagent for this type of ring closure since in an intermediate step it releases one equivalent of secondary amine that acts subsequently as a mild catalyst.

After 2 h in refluxing ethanol, the desired products were isolated as non-separable mixtures of *syn*- and *anti*-isomers, as presented in Figure 2. The  $^1\text{H}$  NMR spectra indicated the presence of a pair of signals for each H-2 and H-3 hydrogen atom. Based on the calculated coupling constants, we were able to distinguish between the isomers where both hydrogen atoms are on the same side of the benzopyran ring (*syn*,  $^3J \sim 3.8\text{--}4.2$  Hz), or on opposite sides of it (*anti*,  $^3J \sim 8.9\text{--}9.9$  Hz). In each case, the *anti*-isomers predominate, probably because they are less hindered sterically. Thus, for twelve of the fifteen flavanones, the *anti* to *syn* ratio was approximately 70/30 (Fig. 2); for the other three, this ratio was even larger, up to 96/4 for compound **4g**.

The structures of dithiocarbamic flavanones **4** are supported by spectral and analytical data. Thus, the  $^1\text{H}$  NMR spectra indicate the disappearance of the phenolic hydrogen atom ( $\sim 12$  ppm) and of the singlet corresponding to the methylene group ( $\sim 4.8$  ppm) from phenacyl dithiocarbamates **2**. At the same time, new signals corresponding to the aromatic ring provided by the aminal were recorded; corresponding signals are also observed in the  $^{13}\text{C}$  NMR spectra. The MS spectra confirm the molecular weight calculated for flavanones **4**. Moreover, the structures of dithiocarbamic flavanones **4g**, **4h**, **4m** and **4o** have been unambiguously established by X-ray analysis (Figs. 3 and 4). We have been able to grow single crystals by the slow cooling of the mixture of isomers in ethanol. All measured structures revealed the *anti*-isomer. Structural data for compounds **4g**, **4h**, **4m** and **4o** are presented in Table 1.



		a	b	c*	d	e	f	g	h	i	j	k	l	m	n	o
<b>2</b>	$\text{R}^1$	H	F	Cl	-	-	-	-	-	-	-	-	-	-	-	-
<b>3</b>	$\text{R}^2$	H	F	Cl	Br	I	-	-	-	-	-	-	-	-	-	-
<b>4, 5</b>	$\text{R}^1$	H	H	H	H	H	F	F	F	F	F	Cl	Cl	Cl	Cl	Cl
	$\text{R}^2$	H	F	Cl	Br	I	H	F	Cl	Br	I	H	F	Cl	Br	I

\* Compounds **4c** and **5c** were previously reported.<sup>19</sup>

**Scheme 1.** Reagents and conditions: (i) EtOH, reflux 2 h; (ii) AcOH/H<sub>2</sub>SO<sub>4</sub> = 1:3 (v/v), 80 °C, 30 min; (iii) NaBF<sub>4</sub> (aq).

Tricyclic flavonoids **5** have been obtained via acid catalyzed cyclization of flavanones **4**. The reactions were performed using a mixture of sulfuric and acetic acid (1:3, v/v);<sup>26,27</sup> after 30 min at 80 °C, an aqueous solution of sodium tetrafluoroborate was added to the resulting solution, which contains the 1,3-dithiolium cation, in order to isolate compounds **5** as white solids.

The cyclization of dithiocarbamates **4** to tricyclic flavonoids **5** is accompanied by important spectral changes. Thus, IR spectroscopy shows the absence of the carbonyl absorption bands ( $\sim 1685$  cm<sup>-1</sup>) and the presence of new strong and broad absorption bands ( $\sim 1050$  cm<sup>-1</sup>) from the tetrafluoroborate anion. In the  $^1\text{H}$  NMR spectra, the doublets corresponding to the H-3 hydrogen atoms are no longer present; at the same time, the signals of the H-2 hydrogen atoms are shifted to ca. 6.80 ppm and become singlets. The  $^{13}\text{C}$  NMR spectra confirm the absence of the carbonyl and thio-carbonyl atoms (187 and 191 ppm) and show a new signal at ca. 184 ppm corresponding to the positive 1,3-dithiol-2-ylium carbon atom. The ESI-MS spectra also supports the cyclization of flavanones **4** to **5**, showing the molecular ion of the positively charged tricyclic moiety.

As mentioned before, the cyclization of dithiocarbamic flavanones **4** in a mixture of sulfuric and acetic acid resulted in a homogeneous solution that contains the 1,3-dithiolium cation. Various salts of the 1,3-dithiolium derivatives can be obtained by adding the corresponding counter-ion into the obtained solutions. However, the isolation of the 1,3-dithiolium salts is limited to their solubility in water. For this reason, no chlorides were isolated so far using this method; hydrogen sulfates are also often water soluble. Perchlorates are easily isolated by adding 70% HClO<sub>4</sub> and water. Several perchlorate containing compounds have been previously reported to exhibit antimicrobial activity.<sup>28</sup> For this reason we decided to isolate the tricyclic 1,3-dithiolium salts as tetrafluoroborates; the ion-dissociation or the formation of a tight ion pair has been reported to affect the antimicrobial activities with the following order chloride > tetrafluoroborate > perchlorate > hexafluorophosphate.<sup>29</sup>

## 2.2. Biological study

### 2.2.1. In vitro antibacterial activity

In our previous study,<sup>19</sup> we established that dithiocarbamic flavanones of type **4** do not display any antibacterial activity. For this reason, we decided to test only tricyclic flavonoids of type **5** and to investigate the influence of the halogen substituents on their antibacterial properties. Furthermore, we also noticed a correlation between antibacterial activity and the nature of the substituents of tricyclic flavonoids. Surprisingly, among the investigated flavonoids, the compound with  $\text{R}^2 = \text{OCH}_3$  displayed a lower antibacterial

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