



Review article

Biological applications of hydrophilic C₆₀ derivatives (hC₆₀s)— a structural perspectiveXiaolei Zhu^a, Matthieu Sollogoub^a, Yongmin Zhang^{a, b, *}^a Sorbonne Universités, UPMC Univ Paris 06, CNRS, Institut Parisien de Chimie Moléculaire (UMR 8232), 4 Place Jussieu, 75005 Paris, France^b Institute for Interdisciplinary Research, Jiangnan University, Wuhan Economic and Technological Development Zone, Wuhan 430056, China

ARTICLE INFO

Article history:

Received 8 January 2016

Received in revised form

9 March 2016

Accepted 10 March 2016

Available online 11 March 2016

Keywords:

Hydrophilic C₆₀ derivatives

ROS generation

Radical scavenger

Biological applications

ABSTRACT

Reactive oxygen species (ROS) generation and radical scavenging are dual properties of hydrophilic C₆₀ derivatives (hC₆₀s). hC₆₀s eliminate radicals in dark, while they produce reactive oxygen species (ROS) in the presence of irradiation and oxygen. Compared to the pristine C₆₀ suspension, the aqueous solution of hC₆₀s is easier to handle *in vivo*. hC₆₀s are diverse and could be placed into two general categories: covalently modified C₆₀ derivatives and pristine C₆₀ solubilized non-covalently by macromolecules. In order to present in detail, the above categories are broken down into 8 parts: C₆₀(OH)_n, C₆₀ with carboxylic acid, C₆₀ with quaternary ammonium salts, C₆₀ with peptide, C₆₀ containing sugar, C₆₀ modified covalently or non-covalently solubilized by cyclodextrins (CDs), pristine C₆₀ delivered by liposomes, functionalized C₆₀-polymer and pristine C₆₀ solubilized by polymer. Each hC₆₀ shows the propensity to be ROS producer or radical scavenger. This preference is dependent on hC₆₀s structures. For example, major application of C₆₀(OH)_n is radical scavenger, while pristine C₆₀/γ-CD complex usually serves as ROS producer. In addition, the electron acceptability and innate hydrophobic surface confer hC₆₀s with O₂ uptake inhibition, HIV inhibition and membrane permeability. In this review, we summarize the preparation methods and biological applications of hC₆₀s according to the structures.

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* Corresponding author. Sorbonne Universités, UPMC Univ Paris 06, CNRS, Institut Parisien de Chimie Moléculaire (UMR 8232), 4 Place Jussieu, 75005 Paris, France.

E-mail address: yongmin.zhang@upmc.fr (Y. Zhang).

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1. Introduction

Hydrophilic C₆₀ derivatives (hC₆₀s) serve as either photosensitizer or radical scavenger. The intriguing co-existence of two opposite capacities leads to in-depth study of hC₆₀s. The photodynamic ability has applications on DNA cleavage, antitumor, antibacterial activities *etc.*, while the ability of absorbing radical causes hC₆₀s to be antioxidant agents. In most of state-of-the-art research, the native C₆₀ is produced by two most common ways, Krätschmer-Huffman method and combustion of laminar flames of benzene and oxygen [1–3]. Both of the two methods generate C₇₀ by-product, which is removed through chromatography [4]. The pristine C₆₀ with poor hydrophilicity limits its further development. Three strategies are adopted to get biocompatible hC₆₀s: (1) the introduction of head-top groups on C₆₀ cage, such as hydroxyl, carboxyl, quaternary ammonium salts, (2) the conjugation of small hydrophilic molecules (saccharides, peptides) *via* different linkers, (3) the encapsulation of macromolecules (CDs, liposomes and polymers). In this review, we present hC₆₀s, their biological applications and try to explain them based on structures.

1.1. Physicochemical property of C₆₀

Fullerene (C_n, n is an even number) is a spheroid made of at least 20 carbon atoms. The formation of the peculiar spheroid structure has been explained by a ‘shrink-wrapping’ mechanism [5]. Multi-wall nanotubes wrap into the giant fullerenes, which will sublime several C₂ and twine further to form the more stable C₇₀ and C₆₀. If the reaction continues, the carbon atoms are removed to form the smaller fullerenes (like C₂₀), which are instable and prone to open and disappear irreversibly [6]. All of the fullerene members contain different number of hexagons and 12 pentagons which are essential to constitute the spheroid. Small fullerenes (C₂₀ ≤ C_n ≤ C₅₈) have been predicted to possess narrow HOMO-LUMO gaps and high reactivity owing to the adjacent pentagons, which violate isolated pentagon rule (IPR) [7]. C₆₀ is the first fullerene to conform to IPR and without any other IPR isomers, so is the second abundant fullerene C₇₀. Larger fullerenes (C_n ≥ 76) have at least 2 IPR isomers. The number of IPR isomers increases with the enlargement of the

size of fullerene, except C₈₄ (24 IPR isomers) and C₈₆ (19 IPR isomers) [8].

C₆₀, constituted by 60 sp^{2.28}-hybridized carbon atoms, is an icosahedron of 12 pentagons which are separated by 20 hexagons [9]. Each carbon atom connects with each other by three non-planar σ bonds, which leads to the angle strain and a p orbit forming a large π electron cloud. The angle between the π orbital and σ bond is 11.6°, while the angles of normal alkene and alkyl are 0° and 19.47°, respectively [10]. The way to alleviate the angle strain is that sp^{2.28}-hybrid transforms to sp³-hybride. These carbon atoms compose [6,6] bond (located between two fused 6-membered rings) and [5,6] bond fused by 5- and 6-membered rings. The pristine C₆₀ is prone to produce [6,6] cycloadduct on account of [6,6] bond much closer to olefinic bond than [5,6] bond [9,11]. Various C₆₀ adducts can be obtained through Bingel reaction [12–14], Diel–Alder reaction [15], [3 + 2] cycloaddition reaction, [2 + 2] cycloaddition reaction [16], SET-promoted photoaddition reaction [17] and other different addition patterns [18–20].

1.2. ROS producer and radical scavenger

C₆₀ behaves like an electron-deficient olefin attributed to poor electron delocalization. It could accept at most 6 electrons, which has been confirmed by 6 measured potentials [21,137]. The high electron affinity endows C₆₀ with radical scavenging ability [138].

C₆₀ generates ROS under UV irradiation, even under white light (Fig. 1). The dominant one is single oxygen (¹O₂), quantitatively produced by oxygen accepting energy from ³C₆₀ (Type II Energy Transfer). ³C₆₀ with lower energy (37.5 kcal/mol) is obtained *via* intersystem crossing from ¹C₆₀ with relatively high energy (46.1 kcal/mol) (Fig. 1) [22]. If there are electron donor (such as, triethylamine and NADH) in the solution, ³C₆₀ accepts an electron to form C₆₀^{•−} (Type I Electron Transfer). O₂ obtains the electron from C₆₀^{•−} to get O₂^{•−}, which is followed by disproportionation catalyzed by superoxide dismutase (SOD) and Fenton reaction. •OH is generated [23–25]. ROS is applied for tumor inhibition, antibacterial, DNA cleavage, delay of arthritic progress, *etc.*

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