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## Journal of Non-Crystalline Solids



journal homepage: www.elsevier.com/ locate/ jnoncrysol

# Estimation of inter-atomic force constants and phonon dispersion using correlation effects among thermal displacement of atoms in Ge

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#### ARTICLE INFO

Article history: Received 6 March 2010 Received in revised form 7 June 2010

Keywords: Neutron scattering; Diffuse scattering; Correlation effects; Force constant; Phonon; Ge

### ABSTRACT

Neutron diffraction measurements have been performed on powder Ge at 6, 150 and 300 K. Oscillatory diffuse scattering intensity is clearly observed at 150 and 300 K. The diffuse scattering theory including correlation effects among thermal displacements of atoms is applied to background function in the Rietveld analysis. The inter-atomic distance and temperature dependence of the values of correlation effects are discussed. The force constants among the first, second and third nearest neighboring atoms in Ge are obtained from the values of correlation effects and Debye–Waller temperature parameters. A phonon dispersion spectrum in  $\Lambda$  [111] direction for Ge crystal has also been calculated at 80 K using inter-atomic force constants and the crystal structure.

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#### 1. Introduction

Diffuse scattering from X-ray and neutron scattering experiments is an important tool for analyzing static and thermally disordered arrangement of atoms in solids. In a disordered structure of AgI, strong and oscillatory diffuse scattering had already been reported [1,2]. Even in many ordered structures of silver halides and copper halides an oscillatory diffuse scattering pattern was observed [3,4]. The oscillatory profile of diffuse scattering from ordered structure has been explained by taking into account the correlation effects among thermal displacements of atoms up to the 3rd nearest neighboring atoms. This correlation effect can be useful to predict the force constants among nearest neighboring atoms. Phonon dispersion relation in well defined symmetric directions,  $\Delta$ [100],  $\Sigma$ [110],  $\Lambda$ [111] can also be evaluated using inter-atomic force constants and the crystal structure [5]. The correlation effect among thermal displacements of atoms was also studied earlier by using extended X-ray absorption fine structure (EXAFS) analysis [6-8]. In the present work, we show that the phonon dispersion relation can roughly be estimated using the results from the analysis of Bragg line and diffuse scattering intensities.

The correlation effect among thermal displacement of atoms is not only specific to ionic crystals. In this paper, we explore such correlation effects in an ideal covalently bonded crystal structure like Ge from its diffuse scattering profile. The Rietveld analysis has been employed along with the background function for inclusion of correlation effects to explain the diffraction intensity. The inter-atomic force constants in Ge are estimated from the values of correlation effects. Further, the phonon-dispersion spectrum of Ge has been plotted at 80 K.

#### 2. Experimental

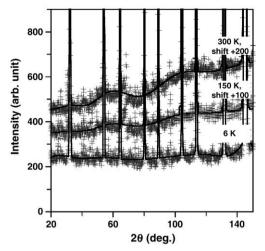
Neutron scattering experiments have been performed on powder Ge (99.99%, Kojundo Chemical) at 6, 150 and 300 K using HRPD (High Resolution Powder Diffractometer) installed at JRR-3 in Japan Atomic Energy Agency. The sample was put into a cylindrical vanadium container of 1.0 cm in diameter. Incident neutron wavelength of 1.823 Å which was monochromatized by Ge (331) and the data were collected over a  $2\theta$  range from 20° to 150° with a step angle of 0.05°.

#### 3. Results and discussion

In Fig. 1 the observed diffuse neutron scattering intensity of powder Ge at 6, 150 and 300 K are shown by plus (+) symbol. The diffraction intensity consists of several strong Bragg peaks and diffuse

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<sup>0022-3093/\$ -</sup> see front matter © 2010 Elsevier B.V. All rights reserved. doi:10.1016/j.jnoncrysol.2010.06.054



**Fig. 1.** Observed diffuse neutron scattering intensity of powder Ge at 6, 150 and 300 K. The plus symbol (+) denotes the experimental data and the solid line shows the refined curve.

background. A comparison of the patterns indicates a temperature dependent variation in diffuse scattering intensity. The diffuse scattering intensity increases with the increase of temperature. The diffuse scattering intensity shows oscillatory profile which is more clearly visible at higher temperatures (150 and 300 K). The peaks of the oscillatory profile appear around  $2\theta \sim 30$ , 60 and 100°.

The detailed theoretical treatment of diffuse scattering intensity from ordered and disordered crystals was reported earlier [2,9]. In the case of ordered crystals, the mathematical expression to explain the profile of diffuse background scattering intensity is written as follows:

$$I_{\rm B} = kN_{\rm o} \left[ \sum_{i} n_{i} b_{i}^{2} (1 - \exp(-2M_{i})) + \sum_{i} \sum_{j} \sum_{s'} n_{i} b_{i} b_{j} \right]$$

$$\times \left[ \exp\left(-\left(M_{i} + M_{j}\right) \left(1 - \mu_{r_{s(i)s'(j)}}\right) - \exp\left(-\left(M_{i} + M_{j}\right)\right) \right] Z_{r_{s(i)s'(j)}} \right]$$

$$\times \sin\left(Qr_{s(i)s'(j)}\right) / \left(Qr_{s(i)s'(j)}\right) + \sum_{i} \sigma_{i}^{\rm inc} \right] + C$$

$$(1)$$

where *k* is the proportionality constant and  $N_o$  is the number of unit cell in a unit volume.  $n_i$ ,  $b_i$  and  $\sigma_i^{inc}$  are number of atoms in unit cell, neutron scattering length and incoherent scattering cross section of atom *i*, respectively.  $Z_r$  is the number of sites belonging to the *s*'th *j*-type neighbor around an *s*th *i*-type site. Two sites s(i) and s'(j) are apart by distance *r*. The prime added in the summation symbol in the second term is to omit the term of  $r_{s(i)s'(i)} = 0$ . The Debye–Waller factor for atom *i*,  $exp(-M_i)$ , is

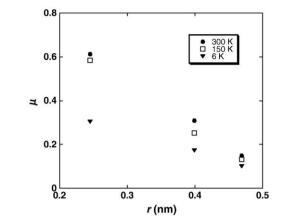


Fig. 2. Inter-atomic distance dependence of the correlation effects among thermal displacements of atoms in Ge.

equal to  $\exp(-B_i(\sin\theta/\lambda)^2)$ , where  $B_i$  is the Debye–Waller temperature parameter of atom *i*. The constant *C* is added for taking into account the corrections of background noise. The correlation effect among atomic thermal displacements  $\mu_r$  is defined as:

$$\mu_{r_{s(i)s'(j)}} = 2 \left\langle \Delta \mathbf{r}_{s(i)} \cdot \Delta \mathbf{r}_{s'(j)} \right\rangle / \left( \left\langle \Delta \mathbf{r}_{s(i)}^2 \right\rangle + \left\langle \Delta \mathbf{r}_{s'(j)}^2 \right\rangle \right).$$
(2)

The deviation from an equilibrium position is shown by  $\Delta r$ . The values of correlation effects among thermal displacements of atoms *i* and *j* are 0 in the case of no correlation and  $2(B_i B_j)^{\frac{1}{2}}/(B_i + B_j)$  in the case of perfect correlation. As Ge is a mono-atomic crystal, the perfect correlation case would be  $\mu = 1$ .

Rietveld refinement analysis has been performed on the observed intensity of Ge using RIETAN-94 [10], where we used the background intensity function of Eq. (1). The crystal structure of Ge is cubic (diamond type) with the space group  $Fd\bar{3}m$ . The obtained lattice constants *a*, Debye–Waller temperature parameters *B* and reliability factors *R* at 6, 150 and 300 K from the refinement of Bragg lines and diffuse scattering are recorded in Table 1. The inter-atomic distances and the numbers of neighboring atoms *Z* for the first, second and third neighboring atoms in the structure of Ge are also presented in Table 1.

The values of correlation effects  $\mu$  up to third nearest neighboring atoms has been obtained using *B* parameters from Rietveld refinement analysis and recorded in Table 1 for different temperatures and inter-atomic distances. The obtained value of correlation effects for first nearest neighboring atoms in Ge is almost the same as that recorded by Yoshiasa et al. by EXAFS analysis [8]. The variation of  $\mu$  as a function of inter-atomic distances is shown in Fig. 2. The values of correlation effects among thermal displacements of atoms are

Table 1

Lattice constant *a*, Debye–Waller temperature parameter *B* and reliability factors *R* from refinement analysis of Bragg lines and diffuse scattering of Ge. *Z*, *r* and *µ* show coordination number, inter-atomic distance and value of correlation effects, respectively.

		6 K		150 K		300 K	
		$a = 0.5652 \text{ nm};$ $B_{Ge} = 0.00105 \text{ nm}^2$ $R_{wp} = 8.49\%;$ $R_I = 4.12\%;$ $R_F = 1.99\%$		$a = 0.5654 \text{ nm};$ $B_{Ge} = 0.00225 \text{ nm}^{2};$ $R_{wp} = 7.44\%;$ $R_{I} = 3.32\%;$ $R_{F} = 1.59\%$		$a = 0.5657 \text{ nm};$ $B_{Ge} = 0.00340 \text{ nm}^{2};$ $R_{wp} = 7.13\%;$ $R_{l} = 3.81\%;$ $R_{F} = 1.93\%$	
	Ζ	<i>r</i> (nm)	μ	<i>r</i> (nm)	μ	<i>r</i> (nm)	μ
1st nearest neighboring atom 2nd nearest neighboring atom 3rd nearest neighboring atom	4 12 12	0.2447 0.3996 0.4686	0.303 0.173 0.100	0.2448 0.3998 0.4688	0.583 0.253 0.132	0.2449 0.3999 0.4689	0.612 0.310 0.150

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