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ScienceDirect [Scripta Materialia 106 \(2015\) 42–45](http://dx.doi.org/10.1016/j.scriptamat.2015.05.001)

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Thermally activated deformation processes in body-centered cubic Cr – How microstructure influences strain-rate sensitivity

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> Received 31 March 2015; revised 29 April 2015; accepted 1 May 2015 Available online 26 May 2015

The microstructure dependent deformation behavior of chromium, especially in terms of strain-rate sensitivity (SRS), was studied by means of improved nanoindentation methods as a function of temperature. Cr was investigated in the single crystalline (sx) and ultrafine-grained (ufg) condition. With increasing temperature a decreasing SRS was determined for sx-Cr, while for ufg-Cr a significant increase upon overcoming the critical temperature T_c was measured. This is attributed to the increased importance of dislocation–grain boundary interactions, and a change in the dominating deformation behavior.

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Keywords: High temperature nanoindentation; Critical temperature; bcc; ufg

Over the last decades, the underlying deformation mechanisms in body-centered cubic (bcc) metals were of great interest $[1-6]$. Generally, the deformation of single crystalline (sx) bcc metals significantly differs from that of face-centered cubic (fcc) metals [\[5,6\].](#page--1-0) Below a critical temperature T_c , the deformation resistance of bcc metals becomes strain-rate sensitive, as the motion of screw dislocations via the kink pair mechanism requires a thermally activated stress component σ^* . This thermal stress component mainly consists of the high Peierls potential in bcc metals, related to a complicated three-dimensional core structure of $a_0(111)/2$ screws dislocation [\[7,8\]](#page--1-0). With increasing testing temperature up to a material specific critical temperature T_c , the thermal stress component σ^* decreases, while the potential athermal stress component σ_a is comparatively high and thus dominates the mechanical behavior. Contrarily, for fcc metals the Peierls potential requires no additional thermal activation of dislocation mobility and these materials are conventionally not strain-rate sensitive below T_c . However, by refining the grain size, thermally activated processes become dominant in fcc as well, resulting in a strain-rate sensitivity SRS [\[6\]](#page--1-0).

A recent comparison of sx-W and ufg-W demonstrated that for the fine-grained microstructure a reduction of the SRS is measured [\[9\].](#page--1-0) However, this was related to the higher hardness of the ultrafine-grained (ufg) material due to Hall–Petch strengthening, since the resultant strain-rate dependent hardness difference was identical for

the ufg- and sx-states, respectively. Thus, it was concluded that the increase in athermal stress component due to grain refinement does not diminish the thermally activated component σ^* , but shifts the Peierls component to higher stress levels.

Up to now, only few studies investigated the direct temperature dependent deformation behavior of bcc-metals on a macroscopic $[3-6,10]$ or local scale $[1-2,9,11]$, with particular focus on SRS, by changing the homologous testing temperature.

In this study, the microstructure dependent small-scale deformation behavior of chromium, especially in terms of SRS, was studied by means of advanced nanoindentation strain-rate jump testing methods [\[12\]](#page--1-0) at room and elevated temperatures exceeding T_c . In fact, Cr with a $T_c \sim 180 \text{ °C}$ [\[13–16\]](#page--1-0) was studied up to 300 °C regarding influences of testing temperature and grain refinement affecting the dominating deformation behavior.

Sx-Cr was purchased from Mateck in (100) orientation, and ufg-Cr (Ducropur) was produced by means of high-pressure torsion (HPT). Therefore, disks with a diameter of 26 mm and a thickness of \sim 9 mm were subjected to HPT at a pressure of \sim 5.4 GPa to 10 revolutions at room temperature [\[17\]](#page--1-0). Cross-sections of all samples (sx-Cr and ufg-Cr) were prepared for metallographic examinations and nanoindentation testing. The specimens were mechanically ground, subsequently polished with diamond suspension down to $1 \mu m$, and finally electrolytically polished to remove any remaining deformation layer.

For the ufg-state, the grain structure was analyzed using the back-scattered electron detector in a scanning electron

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<http://dx.doi.org/10.1016/j.scriptamat.2015.05.001>

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microscope (SEM/FIB, Crossbeam 1540 EsB, Zeiss, Oberkochen, Germany). The median grain size of the ufg-material was evaluated using the line intersection method on SEM images, where no distinction between high or low angle boundaries was made, as ~ 0.3 μ m.

Nanoindentation experiments were conducted using a Nanoindenter G200 (Agilent Technologies, Chandler, AZ, USA now Keysight Technologies) equipped with a continuous stiffness measurement (CSM) unit and a three-sided diamond Berkovich pyramid (Synton, Switzerland). Machine stiffness and tip shape calibrations were performed according to the Oliver–Pharr method [\[18\]](#page--1-0) and nanoindentation testing was carried out at room temperature (22 °C) and elevated temperatures (100 °C, 300° C), respectively. Elevated testing temperatures were realized with a Laser Heating Stage (SurfaceTec, Hückelhoven, Germany), where tip (Sapphire–Berkovich) and sample are independently heated to adjust and stabilize the contact temperature, minimize thermal drift influences, and guarantee a well defined homogeneous temperature distribution during indentation. The thermal drift for all testing temperatures was minimized to less than 0.1 nm/s and determined previously to each indentation array.

The local SRS was measured using nanoindentation strain-rate jump tests after Maier et al. [\[12\]](#page--1-0). For studying the influence of microstructure and temperature, reversible strain-rate jump tests from 0.025 s^{-1} to 0.005 s^{-1} , or 0.0025 s⁻¹, respectively, were performed at 500 nm and 1500 nm, and back to the initial strain-rate at 1000 nm and 2000 nm indentation depth, respectively. The chosen strain-rates are equivalent to indentation strain-rates of 0.05 s⁻¹, 0.01 s⁻¹ and 0.005 s⁻¹ ($\dot{h}/h = \frac{1}{2}\dot{P}/P$). Furthermore, standard constant strain-rate (cSR) experiments (0.025 s^{-1}) were carried out up to 2500 nm indentation depth. For all strain-rate controlled tests, the CSM frequency was set to 45 Hz and a harmonic amplitude of 2 nm was superimposed. At room temperature six and at elevated temperatures four indentations, respectively, were performed for each indentation method, temperature, and microstructure.

To quantify strain-rate dependent changes in hardness, the local SRS m can be determined as $m = \partial \ln H / \partial \ln \varepsilon$. Due to the depth dependent hardness of the sx-Cr, the SRS parameter m was directly evaluated at each indentation jump depth, which allows a determination unaffected by a possible indentation size effect, see also [\[9\].](#page--1-0)

Figure 1 shows a QBSD image of the investigated ufg-Cr microstructure with grain sizes of \sim 300 nm. The grains have an equiaxed shape and are equally distributed in size and orientation.

The depth dependent hardness for sx-Cr in comparison with ufg-Cr is shown in Figure 1b. By refining the grain structure, the hardness increases due to Hall– Petch-hardening. To quantify the grain refining influence and take the indentation size effect (ISE) into account, the macroscopic hardness H_0 was evaluated from this data according to Nix–Gao. The analysis led to macroscopic hardness values of $H_{0\text{-}ug} = 4.5 \text{ GPa}$ and $H_{0\text{-}sx} = 1.6 \text{ GPa}$, respectively, in accordance with [\[19–21\]](#page--1-0).

The microstructure and temperature dependent mechanical properties (load–displacement-curves, hardness, and Young's Modulus) for sx-Cr [\(Fig. 2](#page--1-0)a) and ufg-Cr ([Fig. 2](#page--1-0)b) are investigated using nanoindentation strain-rate jump testing. Corresponding SEM images of exemplary indentations are displayed in the Supplementary Figure S.1. Tests

 Ω $\overline{0}$ 1000 2000 3000 4000 Indentation depth in nm Figure 1. (a) Microstructure of ufg-Cr, as resolved by SEM, and (b) corresponding hardness of the ufg-state (upper data – dark blue) compared to the sx-state (lower data – light blue) (cSR and constant load-rate data sets). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this

were performed at RT, 100 \degree C, and 300 \degree C to ensure testing underneath and above T_c , which is reported in the literature between 140 $\mathrm{^{\circ}C}$ and 200 $\mathrm{^{\circ}C}$, dependent on strain-rate, impurity content, strain-path, and microstructure [\[13–16\]](#page--1-0).

With increasing temperature, the load to reach 2500 nm indentation depth decreases from 300 mN at RT to 120 mN at 300 °C for sx-Cr, and from 600 mN at RT to 400 mN at $300 \, \text{°C}$ for ufg-Cr, respectively. The corresponding elastic modulus of \sim 290 GPa is strain-rate and depth independent for all states and temperatures as expected, showing just a slight decrease with T , in accordance with the literature [\[22\]](#page--1-0). For sx-Cr it is observed that the hardness decreases significantly with increasing temperature. More importantly, it is strongly affected by changes in strain-rate and temperature. With increasing T the influence of strain-rate changes is less pronounced for 100 °C, almost negligible for 300 \degree C. For the ufg-Cr the hardness behaves differently; while at RT the hardness is depth independent and little influenced by strain-rate jumps, with increasing temperature the ISE affected behavior increases and the hardness jumps get more pronounced.

For the corresponding indentations (see Supplementary Fig. S.1), no significant influence of the changing temperature is noticed. The surface appears oxidation free and the shape of the imprints is almost unchanged. Most importantly, no changes in the grain size were detected for the ufg-Cr.

Quantitatively evaluated, the SRS in Cr reduces with decreasing grain size at RT from 0.07 (sx-Cr) to 0.02 (ufg-Cr), see [Figure 3](#page--1-0)a, while the hardness strongly increases due to Hall–Petch-hardening (see also Fig. 1). For increasing T the results are also presented in [Figure 3](#page--1-0)a. While for ufg-Cr underneath \overline{T}_{c} almost no change in SRS is apparent, exceeding T_c leads to an increase of m to 0.03. For sx-Cr the behavior differs significantly, with increasing T the calculated SRS decreases

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