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# Synthesis and characterization of sol-gel hydroxyapatite coatings deposited on porous NiTi alloys

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#### ABSTRACT

A hydroxyapatite (HA) coating was deposited onto a porous NiTi alloy via dip-coating using a sol-gel procedure with triethyl phosphite and calcium nitrate as phosphorus and calcium precursors, respectively. Adjusting the concentration and viscosity of the sol as well as changing the spin-coating rotational velocity or dip-coating times, enabled uniform coatings with controllable thickness at the sub-micron scale to be successfully deposited on the external surface and within the pores of the porous NiTi alloy. Cross-sectional SEM analysis and EDS characterization of the HA films show that the coating on the inner surface of the pores is thicker than that on the outer surface. The results of an immersion test in a Tris solution show that the HA coating possesses excellent stability, and the rates of Ni ion release through the HA coatings on the porous NiTi alloys of different porosity ratios in a simulated body fluid decrease markedly compared with the uncoated alloys. There is also a remarkable increase in the apatite forming ability of the HA coated porous NiTi alloy in a calcium containing solution.

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#### 1. Introduction

NiTi shape memory alloys are important biomaterials for use in medical devices because of their unique properties, such as shape memory effect, superelasticity and high damping capacity [1,2]. In particular, NiTi shape memory alloys have been widely used in the field of orthopedic surgery [3–6]. In comparison with conventional dense NiTi alloys, the porous NiTi alloy not only keeps the excellent mechanical properties of the bulk NiTi alloys, but also shows some special advantages; for example, the interconnected porous structure may facilitate the transportation of body fluids and further promote bone tissue ingrowth, which enables integration with the surrounding tissue more firmly, leading to the enhancement of interfacial stability. Recently, porous NiTi alloys with controllable pore features and thus tailored mechanical properties can be fabricated [7-9], which may further enhance their applicability for replacement of real bones. Nowadays, it is commonly accepted that the porous NiTi alloys are becoming one of the most suitable and promising biometals for bone repair or replacements [10,11].

However, there is a major concern regarding the biocompatibility of the NiTi alloy due to the high Ni content in the alloy, which may bring about Ni ion release due to in vivo corrosion of the NiTi alloy, regardless of dense or porous. The released Ni ions may be

allergenic and toxic when the accumulation of Ni ions reaches a sufficiently high level. In particular for the porous NiTi alloy, the high specific surface area and complicated surface configuration pose a more serious challenge regarding Ni ion release issue than dense NiTi alloy. Therefore, it is imperative to improve the corrosion resistance of NiTi alloys and minimize Ni ion release before considering them safe for clinical biomedical applications.

Surface modification is an effective approach to reduce the corrosion rate of NiTi alloys to an acceptable level. A popular method for surface modification of clinical biomedical materials is to use hydroxyapatite (HA) coating due to their excellent biocompatibility and osteo-conductivity. There are several processes to prepare the HA coatings, namely by physical methods such as plasma spraying and ion-beam-assisted deposition, and by chemical methods such as sol-gel and biomimetic processes. Compared with physical methods, chemical treatment is believed to be more suitable with complex surface morphology such as porous structures. Chemical treatment allows the liquid medium full access to the outer surfaces and inner surfaces at a low temperature which would not influence the superelasticity and other mechanical properties of porous NiTi alloys. In a previous study [12], crystalline HA layers were successfully prepared on porous NiTi alloys by chemical treatment in 32.5% HNO<sub>3</sub> solution followed by boiling in 1.2 M NaOH solution and subsequent immersion in a simulated body fluid (SBF). These coatings resulted in lower Ni ion release and simultaneously enhance bioactivity with micrometer scale particle size of HA on porous NiTi alloys, which unfortunately tended to form cracks owing to particle

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**Table 1** Ion concentrations (mM) in SBF and FCS solutions [8,9].

	Na <sup>+</sup>	K <sup>+</sup>	Mg <sup>2+</sup>	Ca <sup>2+</sup>	Cl-	HPO <sub>4</sub> <sup>2-</sup>	SO <sub>4</sub> <sup>2-</sup>	HCO <sub>3</sub> <sup>2-</sup>
SBF	142.0	5.0	1.5	2.5	148.0	1.0	0.5	4.2
FCS	137.0	4.64	-	3.10	145.0	1.86	-	-

aggregation. As an alternative chemical method, sol–gel deposition possesses a number of advantages, such as better control of the chemical composition of the coating, a reduction in the densification temperature of the ceramic layer, and the controllable thickness of the layer which can be achieved by adjusting the concentration and viscosity of the sol and changing the spin-coating or dip-coating time. The process is also a non-line-of-sight process, so it is anticipated to generate uniform coatings on porous substrate. Thus far, there are many studies about preparation of HA coatings on titanium and its alloys as well as other bulk metals by the sol–gel method, whereas preparation of HA coatings on porous NiTi alloys is not reported.

The present study reports our latest results on the successful preparation of dense and uniform HA coatings on both the outer surface of the porous NiTi alloy and within the pores via a sol–gel route employing triethyl phosphite and calcium nitrate as phosphorus and calcium precursors, respectively. The stability of the coating in tris(hydroxymethyl)aminomethane solution and the rate of Ni ion release from the coated porous NiTi alloys with various porosity ratios in SBF solution as well as the apatite forming ability in a calcification solution (FCS) were investigated.

#### 2. Experimental details

#### 2.1. Preparation of porous NiTi alloy samples and HA coatings

The porous NiTi alloys with tailored pore characteristics and adjustable porosity ratio were fabricated by using a temporary space-holder (NH4HCO3) and a conventional sintering method developed by our group [7,9]. In this study, the porous NiTi alloy disc samples of 16 mm in diameter and 2 mm in thickness were prepared by electrical discharge machining. The samples were well polished using a series of SiC sandpapers. Then the samples were ultrasonic cleaned with acetone, ethanol and deionized water, each for 20 min, respectively, and finally dried in air. The procedure for preparation of the sol utilises a recent study [13]. Briefly, triethyl phosphite was diluted in anhydrous ethanol and then a fixed amount of deionized water was added for hydrolysis in a paraffin-sealed glass container under vigorous stirring for 24h. The molar ratio of the water to phosphite was 4. A stoichiometric amount (Ca/P = 1.67) of 2 M anhydrous ethanol calcium nitrate solution which had been vigorous stirred for 3 h was added drop wise into the hydrolyzed phosphite sol. It was required to retain solution transparency during the entire process of drop mixing. The mixed sol solution was then continuously agitated for additional 24 h and then vapour driven off at 60 °C for 72 h until a viscous liquid was obtained. The rinsed porous NiTi alloy samples/substrates were dipped into the sol with the aid of ultrasonic cleaning for 5 min for excluding gas in the pores. Then the substrates were withdrawn at a speed of 20 mm/min at ambient atmosphere. After each dip coating, the samples were dried at  $80\,^{\circ}\text{C}$  to evaporate the residual solvent, followed by annealing in air at 450 °C for 2 h. The dip-coating-drying step was repeated several times to obtain the coating with required thickness and quality.

#### 2.2. Microstructural characterization

The surface and the cross-section of the coated porous NiTi alloy samples, before and after immersion in different solutions used in this study, were examined by a scanning electron microscopy (JSM-T300) and the thickness of the coating was determined accordingly. The phase components were analyzed by an X-ray diffractometer (MSAL-XD2, Cu K $\alpha$ , 36 kV, 20 mA) at a scanning speed of  $2^{\circ}$  ( $2\theta$ )/min, from  $20^{\circ}$  to  $80^{\circ}$ 

#### $2.3. \ \ Evaluation\ of\ the\ stability\ of\ the\ coatings\ by\ immersion\ test\ in\ Tris\ solution$

Tris solution can provide the same pH value as body fluid and does not contain other cationic components except H<sup>+</sup> and other anionic except Cl<sup>-</sup>, so it can avoid interference from chemical reaction of other ions. The presence of other ions in Tris solution after an immersion test is due to the dissolved coating. Thus, the Tris immersion test is employed to evaluate the stability of the coatings.

Tris solution was prepared by dissolving tris(hydroxymethyl)aminomethane ((HOCH<sub>2</sub>)<sub>3</sub>CNH<sub>2</sub>, AR) in deionized water and adding 1.00 mol/L HCl to adjust pH value to 7.25. The concentration of Tris solution is 0.05 mol/L.

The coated samples were immersed in Tris solution at  $37\pm0.5\,^{\circ}\text{C}$ . Every two days, an amount of 5 mL of immersion solution was taken to measure Ca and P concentrations by an inductive coupled plasma emission spectrometer. The total soaking time was 14 days. After the soaking period, the samples were cleaned and dried in air, and then the surface of the samples was examined by SEM.

#### 2.4. Ni ion release test

After the porous NiTi alloy samples of different pore sizes and porosity ratios were coated with HA, the samples were then immersed in a beaker containing 30 mL of SBF for different periods to evaluate the behavior of Ni ion release from the HA coated porous NiTi alloy samples. The immersion test was conduct in SBF at  $37\pm0.5\,^{\circ}\text{C}$ . For comparison, the porous NiTi alloy samples without coating were also tested in SBF. Table 1 gives the ion concentrations of the as-prepared SBF solution [14]. An amount of 5 mL of immersion solution was taken to measure Ni concentration every 2 days, and meanwhile 5 mL of the fresh SBF solution was added into the beaker to maintain a nearly constant concentration of SBF solution.

#### 2.5. Immersion test in FCS

To evaluate the apatite-forming ability of the HA coated porous NiTi alloy samples in comparison with the uncoated one, a fast calcification solution (FCS) [15] was prepared according to the composition shown in Table 1. The samples were immersed in the FCS at  $37\pm0.5\,^{\circ}\text{C}$  and at pH 7.4. The ratio of volume to exposed area was about  $0.25\,\text{mL/mm}^2$ , with the FCS refreshed every 2 days. The surface of the samples after the soaking was examined by SEM, and apatite particles (crystals) on the coating were taken out of the substrate by gently scraping and then measured with Fourier transform infrared spectroscopy (FT-IR).

#### 3. Results and discussion

#### 3.1. Coating characteristics

The surface morphology of the coated porous NiTi alloy sample is shown in Fig. 1. Clearly, the outer planar surface is covered by a homogeneous coating and no cracks are found as shown in Fig. 1(a) and (c), and so is the curved surface inside the pores of the porous NiTi alloy, see Fig. 1(d). Fig. 2 presents X-ray diffraction patterns from the outer surface and inside the pores of the coated porous NiTi alloy, and the EDS analysis results are also shown (inserted in Fig. 2). In Fig. 2, the peaks of Ca and P are dominant and the ratio of Ca to P is 1.32, which is lower than that in the original sol with the Ca to P ratio of 1.67. Fig. 3 shows XRD patterns of the coated porous NiTi alloy, where the diffraction peaks of (2 1 1), (1 1 2), (3 0 0), (2 0 2) and (0 0 2) corresponding to the HA phase are clearly seen, besides the peaks of NiTi phase. These results prove that the HA coating with calcium deficiency has formed on the surface of the porous NiTi alloy.

Furthermore, in Fig. 1(c) it can be seen that the surface of the porous NiTi alloy matrix is adhered to by uniform spherical HA particles of submicron size, which may be the expected surface structure condition for promoting bone ingrowth; in Fig. 1(d) it is clear that the HA coating is uniformly deposited on the inner side of the pores, which looks clean and shows no impurities.

Moreover, after six cycles of the dipping-drawing-drying-firing process, the cross-section of the HA coatings on outer and inner surfaces was examined by SEM. The interfacial morphologies are shown in Fig. 1(e) and (f), respectively, where the thickness of the coatings on the outer surface reaches 1–2  $\mu m$  and 3–4  $\mu m$  on the inner surface. Clearly, the inner surface coating is thicker than the outer surface one and this is caused by more amount of the sol entering into the pores during the dip-coated process under ultrasonic vibration. The cross-sectional images of the coatings also show that the coating-substrate interface is fully dense with no

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