



# Titanium oxynitride thin films as high-capacity and high-rate anode materials for lithium-ion batteries



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## ABSTRACT

Titanium oxynitride (TiO<sub>x</sub>N<sub>y</sub>) was synthesized by reactive magnetron sputtering in a mixed N<sub>2</sub>/O<sub>2</sub>/Ar gas at ambient temperature. TiO<sub>x</sub>N<sub>y</sub> thin films with various amounts of nitrogen contents were deposited by varying the N<sub>2</sub>/O<sub>2</sub> ratios in the background gas. The synthesized TiO<sub>x</sub>N<sub>y</sub> films with different compositions (TiO<sub>1.837</sub>N<sub>0.060</sub>, TiO<sub>1.890</sub>N<sub>0.068</sub>, TiO<sub>1.865</sub>N<sub>0.073</sub>, and TiO<sub>1.882</sub>N<sub>0.163</sub>) all displayed anatase phase, except TiO<sub>1.882</sub>N<sub>0.163</sub>. The impedances and grain sizes showed obvious variations with the nitrogen contents. A wide potential window from 3.0 V to 0.05 V, high-rate charge–discharge testing, and long cycle testing were applied to investigate the performances of synthesized TiO<sub>x</sub>N<sub>y</sub> and pure TiO<sub>2</sub> as anodes for lithium-ion batteries. These TiO<sub>x</sub>N<sub>y</sub> anodes can be cycled under high rates of 125 μA/cm<sup>2</sup> (10 °C) because of the lower charge–transfer resistance compared with the TiO<sub>2</sub> anode. At 10 °C the discharge capacity of the optimal TiO<sub>x</sub>N<sub>y</sub> composition is 1.5 times higher than that of pure TiO<sub>2</sub>. An unexpectedly large reversible capacity of ~300 μAh/cm<sup>2</sup> μm (~800 mAh/g) between 1.0 V and 0.05 V was recorded for the TiO<sub>x</sub>N<sub>y</sub> anodes. The TiO<sub>x</sub>N<sub>y</sub> anode was cycled (3.0 V to 0.05 V) at 10 °C over 300 times without capacity fading while delivering a capacity of ~150 μAh/cm<sup>2</sup> μm (~400 mAh/g).

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## 1. Introduction

Lithium-ion batteries have been the main energy storage devices for consumer electronic products for decades. Recently, the rapid development of plug-in electric vehicles, hybrid electric vehicles, and other high-power applications has demanded improved safety and stability in lithium-ion batteries. TiO<sub>2</sub> is naturally abundant, environmentally friendly, chemically stable, and low-cost. It is considered a safe and stable anode material because the reduction voltage vs. Li/Li<sup>+</sup> (~1.7 V) of the material is relatively high, which avoids the devastating effects of lithium condensation at low cell voltages [1,2]. In addition, TiO<sub>2</sub> is also known as a stress-free anode with good cycle life and low volume expansion during charge–discharge processes, which are great advantages over graphite or alloy-type anode materials [3]. However, for applications in lithium-ion batteries, pure TiO<sub>2</sub> has the drawbacks of low lithium-ion diffusivity (10<sup>-15</sup>–10<sup>-9</sup> cm<sup>2</sup> S<sup>-1</sup>) and low electrical conductivity (10<sup>-12</sup>–10<sup>-7</sup> S cm<sup>-1</sup>) [2], which limit the utility of the material in high-current power sources.

The intrinsically poor rate capability of TiO<sub>2</sub> has also limited practical applications of the material [4]. Much research attention has been devoted to nano-structured materials [4,5], as the decreased lithium-ion diffusion length enhances the rate capability within the anode. Nano-structures also increase the available surface area. It was demonstrated

that high surface area correlated to a significant capacitive effect at the electrode/electrolyte interface [6], which increased the high rate capacity.

TiO<sub>x</sub>N<sub>y</sub> thin films or nano-structures are frequently used in tribology [7–9]. It was reported that high-hardness films could be obtained by optimizing the nitrogen content in the metal nitride films. The technique has also been adopted in the fabrication of thin films for optical and electrical applications [10–12]. TiO<sub>x</sub>N<sub>y</sub> has been shown to have improved optical absorption and photocatalytic activity compared with TiO<sub>2</sub> [10,11]. It has been demonstrated that TiO<sub>x</sub>N<sub>y</sub> can be prepared by reactive magnetron sputtering, and the material exhibits a significant absorption capacity for visible light. It was also reported that TiO<sub>x</sub>N<sub>y</sub> exhibited higher conductivity due to the decrease of band gap with an increase of nitrogen contents [13]. Some attempts at introducing nitrogen into TiO<sub>2</sub> to improve the rate capability have been reported [4,12], but the highest capacity achieved was ~200 mAh/g (cut-off at 1.0 V) at 0.2 °C, while that at 10 °C is less than 50 mAh/g, which leaves room for improvement.

Only a few published papers report on the nitridation with NH<sub>3</sub> of TiO<sub>2</sub> to fabricate TiO<sub>x</sub>N<sub>y</sub> for lithium-ion battery anodes [4,14]. Nitridated TiO<sub>2</sub> was shown to exhibit both improved cyclability and rate capability compared with TiO<sub>2</sub>, attributed to the higher electrochemical stability and elevated conductivity of TiO<sub>x</sub>N<sub>y</sub>. However, nitridation with NH<sub>3</sub> is a surface modification method with a possible limitation on nitride layer depth. In order to address this issue, reactive sputtering with nitrogen gas was applied in this study. The present paper demonstrates the

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in situ nitridation process by sputtering under mixed  $N_2/O_2$  gas.  $TiO_xN_y$  films were fabricated by sputter deposition in mixed  $N_2/O_2/Ar$  gas. The morphologies and structures of the  $TiO_xN_y$  films were systematically characterized as a function of different  $N_2/O_2/Ar$  mixing ratios. The low-potential ( $<1.0$  V) electrochemical performances of the  $TiO_xN_y$  anodes were investigated to understand the phenomena of over-lithiation for these anodes.

## 2. Experimental details

$TiO_2$  and  $TiO_xN_y$  thin films were synthesized using radio frequency (RF) magnetron sputter deposition under the operating pressure of 2.0 Pa (base vacuum  $4.0 \times 10^{-4}$  Pa). A pure  $TiO_2$  target (Gredmann Ltd. 99.5%) measuring 2 in. or 50 mm in diameter was used. The RF power of 100 W was applied and the target–substrate distance was 50 mm. The total gas flow rate during the deposition was maintained at 15 sccm, composed of a constant Ar flow of 11 sccm and a mixed  $N_2/O_2$  flow of 4 sccm. Various  $N_2/O_2$  flow ratios of 0/4, 2/2, 3/1, 3.5/0.5, and 4/0 were applied to produce samples denoted as N0, N2, N3, N35, and N4, respectively. The thicknesses of all samples for electrochemical tests were kept at  $\sim 100$  nm.

The compositions and chemical bindings were characterized using X-ray Photoelectron Spectrometry (XPS, VGS Thermo K-Alpha) with an Al K $\alpha$  source at the energy of 1486.6 eV. The binding energies for different elements were identified by an XPS database [15]. The X-ray diffraction (XRD, Bruker D8SSS) patterns of the  $TiO_xN_y$  thin films were acquired using the grazing-angle diffraction method with Cu K $\alpha$  radiation (wavelength = 1.5405 Å) scanned from 10 to 50° at a scan rate of 3°/min. The surface morphologies were observed by field emission scanning electron microscopy (FESEM, Hitachi S-4800). The resistances of the  $TiO_2$  and  $TiO_xN_y$  films were measured by an Agilent 4338B milliohmmeter, and the conductivities of all samples were derived from the measured resistances.

The films were deposited on stainless steel sheets measuring 13 mm in diameter and packed into coin cells in an Ar-filled glove box for the electrochemical measurements.  $TiO_xN_y$  thin films were used as the working electrodes and lithium metal foils were used as the counter and reference electrodes. The electrolyte was 1 M LiPF $_6$  in a 1:2 ethylene carbonate/ethyl methyl carbonate (EC/EMC) solution. Galvanostatic measurements were performed using an electrochemical measurement system (Arbin Instruments, BT-2000). In order to understand the reactions at low potential, the potential window was extended to 0.05 V. The cells were charged and discharged in the range of 3.0–0.05 V (vs. Li/Li $^+$ ). Various current densities of 2.5, 12.5, 62.5, and 125  $\mu A/cm^2$ , corresponding to charge rates of 0.2, 1, 5, and 10 °C, respectively, were applied. The specific volume capacities were calculated by the substrate area and film thickness. The mass capacities were obtained from the theoretical density of  $TiO_2$  and the film volume. The electrochemical impedance spectra (EIS) were analyzed using an impedance spectroscopy (HIOKI 3522-50 LCR HiTESTER) over the frequency range from 100 kHz to 100 mHz with 20 mV amplitude.

## 3. Results and discussion

The XRD patterns of the  $TiO_xN_y$  thin films are shown in Fig. 1. Sample N0 exhibits two diffraction peaks near 25° and 48°, which correspond to the (101) and (200) peaks of the anatase  $TiO_2$  phase (JCPDS no. 21-1272) [16]. As the  $N_2$  flows are introduced in the deposition process (samples N2, N3, and N35), the previously mentioned diffraction peaks show no apparent shift and the films remain polycrystalline anatase. No phase transformation occurs for films made with  $N_2/O_2$  ratios lower than 3.5/0.5. By terminating the  $O_2$  flow and increasing the  $N_2/O_2$  ratio to 4/0, the film becomes amorphous or nano-structured with no recorded diffraction peaks, indicating that no crystalline phase can be obtained with high  $N_2$  flow. The amount of N in the N4 sample is twice that of the other samples, whereas the oxygen level is similar

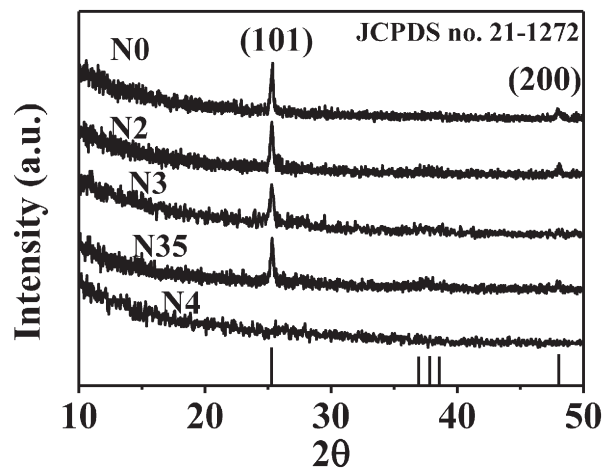


Fig. 1. XRD patterns of  $TiO_xN_y$  thin films of N0, N2, N3, N35, and N4.

among all samples. The amorphous structure may result from the deterioration of the  $TiO_2$  phase by the excessive amount of nitrogen incorporation in the films [17]. It is also possible that the increased nitrogen content decreases the grain sizes in the film, and eventually nano-scale grains are formed [8]. The average grain sizes of the deposited thin films were calculated from the full width at half maximum of the (101) peak using the Scherrer equation [16]. The results show grain sizes of 37.2, 36.6, 30.3, and 28.2 nm for samples N0, N2, N3, and N35, respectively. The average sizes decrease with increasing  $N_2/O_2$  ratios, except in sample N4, which exhibits no diffraction peak.

Fig. 2 contains SEM micrographs of the thin films deposited under different conditions. Sample N0, deposited without  $N_2$ , exhibits spindle-like grains with dimensions of  $\sim 70$  nm in the long axis and  $\sim 20$  nm in the short axis (Fig. 2(a)), which is the typical grain morphology of sputter-deposited anatase  $TiO_2$  [18]. As the  $N_2$  flow is introduced during deposition (Figs. 2(b)–(e)), the morphologies of the grains become more granular. An obvious decrease in grain size with increasing  $N_2/O_2$  ratio can be clearly observed. The cross-sectional views of all samples exhibit column-like structures, as shown in Fig. 2. The cross-sectional view of sample N4 shows low resolution; therefore, a thicker film of  $\sim 300$  nm was prepared for observation. The deposition rates for samples N0, N2, N3, and N35, are around 1.0 nm/min, whereas N4 is 2.2 nm/min. Although  $TiO_2$  target was used in this study, the results show the existence of  $O_2$  in the sputtering gas is essential for the formation of anatase phase. Without  $O_2$  flow, no crystalline films can be obtained, and the deposition rate is much higher. The high deposition rate under no  $O_2$  flow (N4) can be attributed to the high oxygen affinity of the  $TiO_2$  target. It has been reported that the deposition rate can abruptly change when sputtering from a  $TiO_2$  target with and without  $O_2$  flow [19]. A slight increase of  $O_2$  flow greatly reduces the deposition rates due to the high oxygen affinity of  $TiO_2$  target.

The XPS spectra of the thin films deposited under various  $N_2/O_2$  ratios were measured. All films deposited under  $N_2/O_2$  flows exhibit both  $N_{1s}$  and  $O_{1s}$  bands, indicating the successful deposition of  $TiO_xN_y$  thin films. For all  $TiO_xN_y$  films, the  $O_{1s}$  band can be de-convoluted into two peaks located at approximately 530.2 and 531.8 eV, corresponding to Ti–O and Ti–O–N [4]. A typical de-convoluted  $O_{1s}$  band for sample N35 is presented in Fig. 3. The compositions of  $TiO_xN_y$  films were calculated using the XPS fitting results. The chemical formulas can be expressed as  $TiO_{1.837}N_{0.060}$ ,  $TiO_{1.890}N_{0.068}$ ,  $TiO_{1.865}N_{0.073}$ , and  $TiO_{1.882}N_{0.163}$  for samples N2, N3, N35, and N4, respectively.

The electrical conductivities derived from the resistances are  $1.8 \times 10^{-5}$ ,  $1.2 \times 10^{-4}$ ,  $2.1 \times 10^{-4}$ ,  $2.8 \times 10^{-4}$ , and  $1.2 \times 10^{-6}$  S/m for samples N0, N2, N3, N35, and N4, respectively. It is obvious that the conductivity of  $TiO_2$  can be improved by introducing appropriate amount of nitrogen contents due to the semi-conducting behavior of

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