

# Chlorination-disproportionation of $\text{Al}_4\text{O}_4\text{C}$ and $\text{Al}_4\text{C}_3$ in vacuum



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## ARTICLE INFO

### Article history:

Received 8 February 2014

Received in revised form

24 July 2014

Accepted 24 July 2014

Available online 1 August 2014

### Keywords:

Chlorination

Disproportionation

$\text{Al}_4\text{O}_4\text{C}$

$\text{Al}_4\text{C}_3$

$\text{AlCl}$

Vacuum

## ABSTRACT

The chlorination-disproportionation of  $\text{Al}_4\text{O}_4\text{C}$  and  $\text{Al}_4\text{C}_3$  in vacuum was investigated by thermodynamic analysis and experiments using the side products of the  $\text{AlCl}$  disproportionation process of alumina, consisting of  $\text{Al}_4\text{O}_4\text{C}$ ,  $\text{Al}_4\text{C}_3$ ,  $\text{Al}_2\text{O}_3$  and C, as raw materials. It was demonstrated that the chlorinations of  $\text{Al}_4\text{O}_4\text{C}$  and  $\text{Al}_4\text{C}_3$  with  $\text{AlCl}_3$  in vacuum are thermodynamically favorable, and their kinetics feasibilities were supported by the experiments at 1643 K–1843 K. The metallic aluminum obtained in the experiments contained a certain carbon formed from the disproportionation of CO.

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## 1. Introduction

The traditional Hall-Héroult process is the major industrial process for the production of aluminum today, characterized by high energy-consumption and high cost. While the process is growing mature, alternative processes are constantly being explored. The carbothermal reduction process is promising due to its potential to cut cost [1,2]; however it remains the formidable technical challenges. The direct carbothermal process requires high temperatures above 2273 K [3–5], in which a large of byproducts (aluminum carbide, aluminum oxycarbide, etc) and unreacted raw materials would melt and mix with molten aluminum, resulting in difficulties to separate aluminum from the molten mixture [3–7].

The  $\text{AlCl}$  disproportionation process in vacuum, developed from the direct carbothermal reduction process and based on the carbothermal reduction-chlorination-disproportionation of alumina, could overcome the above problems with the required temperatures lower and the aluminum products apart from the residues [8,9]. The process includes two steps: Firstly, the gaseous  $\text{AlCl}$  is generated from the carbothermal reduction-chlorination of alumina at higher temperatures; and then it disproportionates to

metallic aluminum and gaseous  $\text{AlCl}_3$  at lower temperatures, as shown in Eqs (1) and (2).



The extraction rate of aluminum depends on the first step, in which the carbothermal reduction of alumina generates  $\text{Al}_2\text{O}$ , Al and CO gases, and a portion of the  $\text{Al}_2\text{O}$  and Al are chlorinated by  $\text{AlCl}_3$  to form  $\text{AlCl}$ , represented by the overall reaction as Eq. (1), and the rest of the  $\text{Al}_2\text{O}$  and Al react with CO to form side products consisting of  $\text{Al}_4\text{C}_3$ ,  $\text{Al}_4\text{O}_4\text{C}$ ,  $\text{Al}_2\text{O}_3$  and C in the colder region, resulting in a decrease in the extraction rate of aluminum [10,11].

It is required to ascertain whether the aluminum in the side products could be recovered, especially in  $\text{Al}_4\text{C}_3$  and  $\text{Al}_4\text{O}_4\text{C}$ . Although the previous research proposed that aluminum could be produced by the chlorination of  $\text{Al}_4\text{C}_3$  or  $\text{Al}_4\text{O}_4\text{C}$  and disproportionation of  $\text{AlCl}$  [12], this work still focused on this issue, with improved thermodynamic calculation and experiments.

## 2. Thermodynamic analysis on the chlorinations of $\text{Al}_4\text{O}_4\text{C}$ and $\text{Al}_4\text{C}_3$

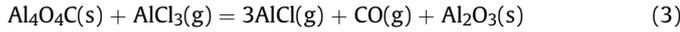
It has turned out that the disproportionation of  $\text{AlCl}$  can occur at a low enough temperature [10,11], and therefore thermodynamic

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analysis should be concentrated on the chlorinations of  $\text{Al}_4\text{O}_4\text{C}$  and  $\text{Al}_4\text{C}_3$  to form  $\text{AlCl}$ . Yu et al. [12] proposed that  $\text{Al}_4\text{O}_4\text{C}$  and  $\text{Al}_4\text{C}_3$  could be chlorinated to  $\text{AlCl}$  above 1394.43 K and 1231.42 K respectively under 50 Pa according to thermodynamic calculations, without specifying the partial pressures of each gas. The Gibbs free energy changes of the chlorination of  $\text{Al}_4\text{C}_3$  and  $\text{Al}_4\text{O}_4\text{C}$  should be a function of the partial pressures of each gas as well as temperature.

The chlorination of  $\text{Al}_4\text{O}_4\text{C}$  can be represented by the overall reaction as Eq. (3).



The standard Gibbs free energy change ( $\Delta G^\theta$ ) of reaction (3) was calculated using Chemical Reaction and Equilibrium of Software (HSC) (the data of  $\text{Al}_4\text{O}_4\text{C}$ ,  $\text{AlCl}_3$  and  $\text{AlCl}$  from Ref. [13], the data of  $\text{Al}_2\text{O}_3$  and  $\text{CO}$  from Ref. [14] and Ref. [15] respectively), and then plotted vs temperature ( $T$ ) in Fig. 1. As can be seen,  $\Delta G^\theta$  decreases with temperature, but it is positive at all temperatures from 300 K to 1900 K, demonstrating that the chlorination of  $\text{Al}_4\text{O}_4\text{C}$  could not occur below 1900 K under standard atmosphere pressure. A very nice linear relation can be found between  $\Delta G^\theta$  and  $T$ , which should be due to the fact that the temperature dependence of the change in heat capacity is small. The regression equation was shown as Eq. (4).

$$\Delta G^\theta(J) = 939148.4 - 473.7T \quad (4)$$

In the nonstandard atmosphere, the Gibbs free energy change ( $\Delta G$ ) is a function of temperature ( $T$ ) and equilibrium constant ( $K_p$ ),  $\Delta G(J) = \Delta G^\theta + R\ln K_p$ , where  $R$  is the ideal gas constant, thus the Gibbs free energy change can be also expressed as  $\Delta G(J) = \Delta G^\theta + 19.146T\lg K_p$  [16].

For reaction (3),  $K_p = (p_{\text{AlCl}}/p^\theta)^3(p_{\text{CO}}/p^\theta)/(p_{\text{AlCl}_3}/p^\theta)$ , where  $p_{\text{AlCl}}$ ,  $p_{\text{CO}}$ , and  $p_{\text{AlCl}_3}$  are the partial pressures of  $\text{AlCl}$ ,  $\text{CO}$  and  $\text{AlCl}_3$  respectively, and  $p^\theta$  is the standard atmospheric pressure. Then the Gibbs free energy change can be expressed as a function of temperature and the partial pressures of  $\text{AlCl}$ ,  $\text{CO}$  and  $\text{AlCl}_3$ , as shown in Eq. (5).

$$\Delta G(J) = \Delta G^\theta + 19.146T\lg p_{\text{AlCl}}^3 p_{\text{CO}} / p_{\text{AlCl}_3} (p^\theta)^3 \quad (5)$$

In the  $\text{AlCl}$  disproportionation process, the gaseous  $\text{AlCl}_3$  is provided continually through a special unit, and hence the  $\text{AlCl}_3$

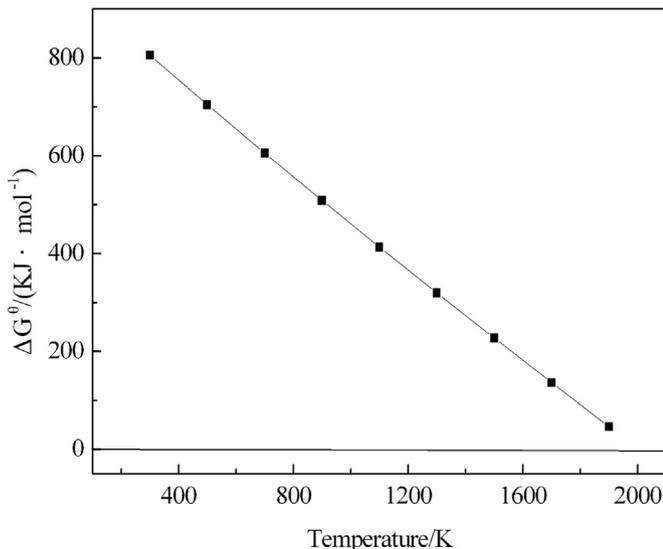


Fig. 1.  $\Delta G^\theta$  of reaction (3) vs temperature.

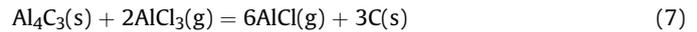
partial pressure may be assumed to be constant. In the experiments the sublimation temperature of  $\text{AlCl}_3$  was maintained at 358 K–363 K, and the vapor pressures of  $\text{AlCl}_3$  at 358 K and 363 K are 28 Pa and 49 Pa respectively, calculated from the relation between the vapor pressure and temperature [17]. Consequently the  $\text{AlCl}_3$  partial pressure was set to 30 Pa.

The total pressure of the reaction system equals to the sum of the  $\text{AlCl}_3$ ,  $\text{AlCl}$  and  $\text{CO}$  partial pressures,  $p_{\text{tot}} = p_{\text{AlCl}} + p_{\text{AlCl}_3} + p_{\text{CO}}$ , where  $p_{\text{AlCl}} = 3p_{\text{CO}}$  according to Eq. (3), and  $p_{\text{AlCl}_3} = 30$  Pa, and then Eq. (6) was deduced from Eqs. (4) and (5).

$$\Delta G(J) = 939148.4 - 807.9T + 19.146T\lg(p_{\text{tot}} - 30)^4 \quad (6)$$

From Eq. (6),  $\Delta G$  of reaction (3) at the  $\text{AlCl}_3$  partial pressure of 30 Pa was plotted vs temperature in Fig. 2. As can be seen, the required temperature for the chlorination of  $\text{Al}_4\text{O}_4\text{C}$  decreases apparently at reduced pressures, and the equilibrium temperatures are 1326 K, 1409 K and 1474 K at the total pressures of 50 Pa, 100 Pa and 200 Pa, respectively.

The chlorination of  $\text{Al}_4\text{C}_3$  can be represented by the overall reaction as Eq. (7)



$\Delta G^\theta$  of reaction (7) was calculated using HSC (the data of  $\text{Al}_4\text{C}_3$ ,  $\text{AlCl}_3$  and  $\text{AlCl}$  from Ref. [13], the data of  $\text{C}$  from Ref. [18]), and plotted vs temperature in Fig. 3. As can be seen,  $\Delta G^\theta$  decreases with temperature at 300 K–1900 K, and it is negative below 1702 K. The linear regression equation was shown as Eq. (8).

$$\Delta G^\theta(J) = 1041473 - 611.826T \quad (8)$$

For reaction (7),  $K_p = (p_{\text{AlCl}}/p^\theta)^6/(p_{\text{AlCl}_3}/p^\theta)^2$ , where  $p_{\text{AlCl}}$  and  $p_{\text{AlCl}_3}$  are the partial pressures of  $\text{AlCl}$  and  $\text{AlCl}_3$  respectively, and  $p^\theta$  is the standard atmospheric pressure. Then  $\Delta G$  of reaction (7) is expressed as a function of temperature and the partial pressures of  $\text{AlCl}_3$  and  $\text{AlCl}$ , as shown in Eq. (9).

$$\Delta G(J) = \Delta G^\theta + 19.146T\lg p_{\text{AlCl}}^6 / p_{\text{AlCl}_3}^2 (p^\theta)^4 \quad (9)$$

The total pressure of the reaction system equals to the sum of the  $\text{AlCl}_3$  and  $\text{AlCl}$  partial pressures,  $p_{\text{tot}} = p_{\text{AlCl}} + p_{\text{AlCl}_3}$ , and setting

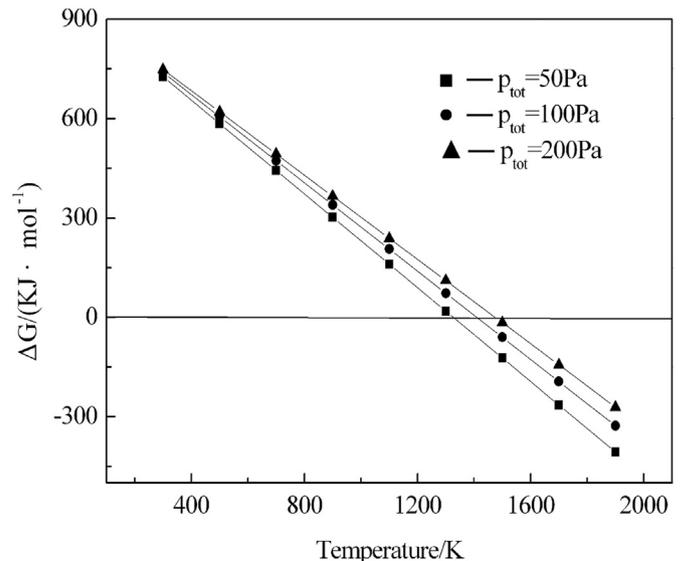


Fig. 2.  $\Delta G$  of reaction (3) at  $\text{AlCl}_3$  partial pressure of 30 Pa vs temperature.

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