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Hafnia and alumina on sulphur passivated germanium

M. Althobaiti ^{a, *}, S. Mather ^b, N. Sedghi ^c, V.R. Dhanak ^a, I.Z. Mitrovic ^c, S. Hall ^c, P.R. Chalker b

^a Department of Physics, University of Liverpool, Liverpool, UK

^b School of Engineering, University of Liverpool, Liverpool, UK

 c Dept. of Electrical Eng. & Electronics, University of Liverpool, Liverpool, UK

Germanium is seen as a potential new channel material in Complementary Metal Oxide Semiconductor (CMOS) technology, due to its high hole mobility compared to Si $[1,2]$. It is necessary to include an ultra-thin GeO_X layer between the high-k material and the Ge substrate to maintain a good quality interface. However, such a layer inhibits scaling of the gate stack so it would be desirable to find an alternative passivation technique. Furthermore, this layer causes severe problems in processing, because of its high water solubility and thermally-unstable nature, which can result in a poor quality interface and consequently, degraded channel mobility [\[3\]](#page--1-0). A number of alternative methods have been suggested to passivate the Ge surface, such as nitridation, rare-earth buffer oxide layer $[4]$, and Al_2O_3 or sulphur passivation $[5]$. The intro-duction of S in the GeO_x can result in superior Ge gate stack [\[6\].](#page--1-0) It has been shown for Al_2O_3/Ge stacks that depending on the oxidant precursor (H₂O or O_3) of the Atomic Layer Deposition (ALD) of Al2O3, the gate stack can be tuned for p-MOS (Metal Oxide Semiconductor) or nMOS applications $[7]$. In effect, with Al₂O₃ deposition with H_2O , no GeO_x was detected at the interface and a low density of interface states (D_{it}) has been measured at the valence

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1. Introduction

ABSTRACT

In this work hafnia (HfO₂) and alumina (Al₂O₃) films were deposited on germanium, using either water or oxygen plasma as the oxidant, by atomic layer deposition at 250 \degree C with and without sulphur passivation of the substrate. X-ray photoelectron spectroscopy was carried out to investigate the interface between both HfO₂ and Al₂O₃ films and germanium. The results show that for hafnia and alumina deposited with water on pre-sulphur treated germanium there is negligible GeO_x formation when compared to films grown using oxygen plasma. The results support the case for sulphur passivation of the interface.

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band edge making this gate stack suitable for pMOS application. On the contrary, Al_2O_3 with O_3 deposition has resulted in a thin Gesuboxide and low D_{it} at the conduction band edge making this gate stack suitable for nMOS application $[7]$. In this paper, HfO₂/Ge and Al_2O_3/Ge gate stacks have been deposited by ALD using Oplasma and H_2O . Both O-plasma and O_3 as the co-reagents in ALD avoid the potential incorporation of hydrogen that is possible if using H₂O vapour. The hydroxyl incorporation has been reported for H₂O-based ALD [\[8\].](#page--1-0) Oxygen-plasma and O₃ have more effective pumping speeds facilitating shorter purge times than H_2O . O_3 is effectively more reactive than O-plasma, which can lead to thicker interfacial oxides at the growth temperatures of 250 \degree C used in this work, and also can lead to more carbon incorporation from the metal precursor ligands. Therefore, O-plasma and $H₂O$ were used as oxidants during ALD and an assessment of their effect on the S passivated germanium is the main new contribution of this work.

2. Experimental

Ge (100) n-wafers of the resistivity 0.3–3 Ω cm were degreased by ultrasonic bath in acetone and then given a cyclic HF/water rinse in order to remove the native oxide layer, followed by sulphur deposition by dipping the samples in a 20% ammonium sulphide, (NH_4) ₂S, solution in water for 10 min and then dried under an argon flow. The samples were then immediately transferred into an

* Corresponding author.

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E-mail address: m.althobaiti@liverpool.ac.uk (M. Althobaiti).

VACUUM DHEI Oxford Instruments OpAL ALD reactor, where 65, 130 and 250 cycles were used to deposit 3, 7 and 20 nm $HfO₂$ layers using $[(CpMe)$ 2HfOMeMe] precursor coupled with remote oxygen plasma or water. The 3 nm Al_2O_3 layers were deposited in the same ALD reactor using trimethilaluminium (TMA) precursor with both Oplasma and water, as above. Note that we have also fabricated $HfO₂$ layers on alumina S-passivated Ge using O-plasma. For this process, S-treated samples were exposed to an Al flux for a range of times to deposit ultra-thin Al layers. The samples were then oxidized at ambient temperatures in the Molecular Beam Epitaxy (MBE) load lock to produce sub-nm (-0.3 nm) Al₂O₃ layers. Then, the samples were transferred to the ALD reactor, where 7 nm HfO_2 films were deposited using 130 ALD cycles using the same $HfO₂$ precursor and O-plasma as oxidant. As reference samples to the latter batch, 7 nm $HfO₂$ on S-passivated Ge were fabricated using O-plasma. The thickness of deposited HfO₂ and Al₂O₃ films was obtained using insitu single wavelength spectroscopic ellipsometer. The Transmission Electron Microscopy (TEM) measurements were performed on 20 nm $HfO₂/Ge$ sample prepared by focussed ion beam milling. The final thinning of the sample was carried out at 100 pA using gallium ions at 30 kV.

X-ray Photoelectron Spectroscopy (XPS) measurements were carried out on thin 3 nm $HfO₂/S/Ge$ and $Al₂O₃/S/Ge$ stacks to ascertain the effect of S and particularly ALD oxidant on the interfacial layer (IL). The XPS core-levels (CLs) were acquired using an ultra high vacuum (UHV) system consisting of Al Ka X-ray (1486.6 eV) source and a PSP Vacuum systems 5-channel HSA electron energy analyser. The reference samples for this study comprise of clean Ge, native GeO₂/Ge, S-treated Ge, 3 nm HfO₂/Ge and 3 nm Al_2O_3/Ge . The clean Ge sample was obtained by sputtering and in-situ annealing of a Ge(100) surface in UHV, and was considered clean when no oxygen or carbon was detected by XPS. The electron binding energies (BEs) were calibrated using the Ag 3d peaks from a clean silver foil or by setting the C 1s peak in the spectra (due to stray carbon impurities in the as-received samples from the ALD reactor) at 284.6 eV for all samples [\[9\].](#page--1-0) The CL spectra were fitted using Gaussian–Lorentzian lineshapes with a Shirleytype background [\[10\].](#page--1-0)

For electrical measurements, gold contacts were deposited on 7 nm HfO₂ films to form MOS gate electrodes, while Al was deposited on the back of the Ge wafers to provide an Ohmic contact. The capacitance voltage (CV) measurements in the frequency range of 1-400 kHz were performed to estimate the effect of different passivation methods on the interfacial layer.

3. Results and discussion

3.1. Interfacial features of hafnia on sulphur passivated germanium

Fig. 1 shows a comparison of the Ge 3d lineshape measured from several samples. The XPS Ge 3d CL spectrum for a sample of clean Ge is shown in Fig. $1(a)$. The experimental curve is fitted with two sub-peaks corresponding to Ge $3d_{5/2}$ at 29.42 eV and Ge $3d_{3/2}$ at 30.37 eV, corresponding to the spin-orbit doublet. Compared to the spectrum of clean Ge sample, the S-treated Ge sample in Fig. 1(b) shows an additional feature, which is also fitted with a doublet. This feature is at ~0.9 eV chemical shift from Ge 3d substrate peak and can be attributed to GeS species in agreement with the literature [\[4\].](#page--1-0)

Fig. 1(c) shows the spectrum of native GeO₂/Ge. The peak fitted at 33.03 eV is attributed to the $+4$ Ge oxidation state (i.e. GeO₂), while a small peak centred around 1.7 eV above the Ge 3 d \degree (indicated on the figure with arrows) is related to $+2$ Ge oxidation state (i.e. GeO) [\[11\]](#page--1-0). Comparing with the sulphur treated sample, it is apparent that the addition of sulphur is very effective in

Fig. 1. Ge 3d XPS core level lineshape for: (a) clean Ge, (b) S-passivated Ge, (c) native GeO₂/Ge, (d) HfO₂/Ge, (e) HfO₂/S/Ge using oxygen plasma as oxidant, and (f) HfO₂/S/Ge using water as oxidant during ALD deposition. The thickness of all oxide layers is $~2$ nm

passivating the sample, as evidenced by the absence of the $GeO₂$ peak in Fig. 1(b).

Fig. 1(d) and (e) show the Ge 3d lineshape from hafnia grown using oxygen plasma without and with S-pretreatment respectively. The effect of O-plasma is increased presence of GeO_x , in particular $+2$ Ge, as indicated by the increased intensity in the region between the two main peaks, at ~31 eV. This is evident when comparing with $Fig. 1(c)$ where the sample had predominantly the $GeO₂$ layer on Ge. Binding energy differences lower than 3.4 eV in Fig. $1(d)$ –(e) indicate either HfGeO or the occurence of Ge in oxidation states lower than $+4$ [\[12\].](#page--1-0) The former has been excluded since the chemical shift observed (-3 eV) is larger than reported for HfGeO (2.45 eV) [\[13\]](#page--1-0). Furthermore, there is no appreciable shift of Hf 4f peaks for both samples in Fig. 1(d)–(e) [\[14\].](#page--1-0) The chemical shift value is close to reported 2.9 eV for $+3$ Ge oxidation state [\[4\]](#page--1-0). It can be seen that the overall lineshape due to the $GeO₂$ peak (see Fig. $1(d)$ –(e) in comparison to Fig. $1(c)$) is broadened, together with the presence of Hf $5p_{3/2}$ peak from HfO₂ at ~32 eV as indicated by the arrows. Note also slight narrowing of IL sub-peak and dominance of $+3$ Ge species for O-plasma HfO₂/Si/Ge in comparison to

Fig. 2. The cross-section TEM image of 20 nm $HfO₂/Ge$ deposited by ALD using Oplasma oxidant.

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