



# Active Fault Tolerant Control with self-enrichment capability for gas turbine engines



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## ABSTRACT

In this paper, an Active Fault Tolerant Control (AFTC) system with self-enrichment capability is proposed to deal with both the anticipated and unanticipated gas-path performance deteriorations in the industrial gas turbine engines. For this purpose, a hybrid fault diagnosis system with self-enrichment capability is proposed to take advantage of the positive features of fuzzy-based and global optimization-based Fault Detection and Identification (FDI) methods. The proposed FDI system provides the possibility of dealing with the unanticipated or unknown deteriorations. In order to design the proposed AFTC system, the parameters of the control system are optimized for a set of predefined deteriorations and through this a bank of control system parameters is created for the anticipated health conditions. The proposed FDI system verifies whether the detected health condition is an anticipated condition or not. In the case of the anticipated deteriorations, the proposed AFTC system selects the controller parameters from the bank of control system parameters, according to the current health condition; while in the case of the unanticipated deteriorations, the controller parameters will be determined through the optimization process. By adding the new set of optimized parameters to the bank of control system parameters, the database of the proposed AFTC system will gradually enrich to cope with a broader range of the engine deteriorations. The results obtained in the present work reveal that, the proposed AFTC system can preserve the engine safety and operational constraints; in the case of some deteriorations at the expense of a drop in the availability (due to a necessary trip or load shedding) and in some other cases even without losing the engine availability (by avoiding any unnecessary trip or load shedding). This is while, according to the results obtained, in the case of some health conditions, employing the controller designed for clean condition may cause the turbine over-temperature, loss of surge margin, and also a drop in the availability.

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## 1. Introduction

Because of the demanding working conditions of the gas turbines, these systems may be affected by performance deteriorations over time, whose consequences may vary from partial loss of productivity and availability to full failure of the system. There are several factors that can affect the performance of the gas-path components of a gas turbine engine that among them, fouling and erosion [1–4] are usually considered as the most common cause of performance deteriorations. For more details about the physical origin of the common gas-path deteriorations and their effects on the overall performance of the gas turbine engines, please refer to Refs. [4–6].

The conventional control systems implemented on gas turbines, are often designed by this viewpoint that the gas turbines performance will remain unchanged during their lifetime. This is while, the gas turbine deterioration can change the static and dynamic behavior of the engine and therefore, the control system designed for clean condition, may not be able to meet all the engine control requirements.

Hence, it seems necessary to employ an efficient control method that can guarantee the performance and stability of the gas turbines in different health conditions. For this purpose, the control systems known as Fault Tolerant Control (FTC) systems [7–10], have been highly regarded in the last few years with the aim of providing the stability and performance of the controlled system in different health conditions.

Although, the most studies conducted in the field of the FTC systems, have been carried out with the aim of improving the performance of the aircraft flight control systems [10–14] and the nuclear facilities [15], nowadays, the growing demand to increase

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## Nomenclature

<i>AFTC</i>	Active Fault Tolerant Control	<i>REXT-IDX</i>	rich extinction index
<i>CAMF</i>	Compressor air mass flow	<i>SF</i>	scaling factor
<i>CDCC</i>	Controller Designed for Clean Condition	<i>SM</i>	surge margin
<i>CDT</i>	Compressor discharge temperature	<i>SP</i>	the total number of time-steps in the simulation conducted
<i>CE</i>	Compressor Erosion	<i>SRG-IDX</i>	surge index
<i>CF</i>	Compressor Fouling	<i>t</i>	time
<i>EGT</i>	Exhaust Gas Temperature	<i>T</i>	temperature
<i>EWM</i>	Enhanced Wiener Model	<i>Tol<sub>i</sub></i>	the tolerances intended for the diagnosis procedure
<i>FDI</i>	Fault Detection and Identification	<i>UB</i>	upper bound of the optimization variables
<i>FFDI</i>	Fuzzy-based Fault Detection and Identification	<i>w</i>	weighting factors
<i>FMF</i>	Fuel Mass Flow	<i><math>\bar{w}</math></i>	power setting parameters
<i>FST</i>	Fault Signature Table	<i>WEXT-IDX</i>	weak extinction index
<i>FTC</i>	Fault Tolerant Control	<i>x</i>	health parameter
<i>GOFDI</i>	Global Optimization-based Fault Detection and Identification	$\hat{x}$	estimated health parameter
<i>I/O</i>	Input/output	$\Delta x$	health parameter deviation from its clean condition
<i>IWO</i>	Invasive weed optimization	<i>Y</i>	parameters used in the component's map
<i>MALL</i>	Maximum Acceleration Limiting Loop	<i>z</i>	measurement parameter
<i>MDLL</i>	Maximum Deceleration Limiting Loop	$\hat{z}$	estimated measurement parameter
<i>PFTC</i>	Passive Fault Tolerant Control		
<i>PSO</i>	Particle Swarm Optimization		
<i>TIE</i>	Gas Generator Turbine Erosion	<i>Greek symbols</i>	
<i>TIF</i>	Gas Generator Turbine Fouling	$\Gamma$	flow capacity
<i>T2E</i>	Power Turbine Erosion	$\alpha$	penalty factor
<i>T2F</i>	Power Turbine Fouling	$\eta$	isentropic efficiency
<i>VIGV</i>	Variable Inlet Guide Vanes	$\theta_{VIGV}$	the angular position of the variable inlet guide vanes
$\bar{a}$	the radius of the neighborhood in defining the LB and UB	$\phi$	equivalence ratio
<i>ACC-IDX</i>	acceleration index	<i>Subscripts</i>	
<i>CPR</i>	compressor pressure ratio	<i>ACC</i>	acceleration
<i>DEC-IDX</i>	deceleration index	<i>C</i>	compressor
<i>FAR</i>	fuel–air ratio	<i>cl</i>	clean (fault-free)
<i>FSM</i>	flame stability margin	<i>cor</i>	corrected
<i>h</i>	engine performance model	<i>DEC</i>	deceleration
<i>J</i>	objective function	<i>des</i>	desired
$K_d$	the derivative coefficient of the PID controller	<i>det</i>	deteriorated
$K_i$	the integral coefficient of the PID controller	<i>dp</i>	design point
$K_p$	the proportional coefficient of the PID controller	<i>GG</i>	gas generator
<i>LB</i>	lower bound of the optimization variables	<i>GGT</i>	gas generator turbine
<i>M</i>	the number of the measurement parameters	<i>i</i>	gas turbine station number
<i><math>\dot{m}</math></i>	mass flow rate	<i>init</i>	initial
<i>n</i>	the number of the health parameters	<i>Map</i>	component's characteristic curve
<i>N<sub>FST</sub></i>	the number of the I/O pairs available in the fault signature table	<i>obs</i>	observed
<i>NGG</i>	gas generator rotor speed	<i>OL</i>	operating line
<i>NGGdot</i>	acceleration of the gas generator turbine	<i>PT</i>	power turbine
<i>norm<sub>i</sub></i>	normalization factor	<i>RDL</i>	red line value
<i>NPT</i>	Power Turbine Rotor speed	<i>ref</i>	reference (ISA) condition
<i>OS-IDX</i>	over-speed index	<i>R</i>	rich extinction
<i>OT-IDX</i>	over-temperature index	<i>REL</i>	rich extinction limit value
<i>P</i>	pressure	<i>SL</i>	surge line
$\bar{P}$	power	<i>st</i>	the stoichiometric condition
<i>PF</i>	penalty function	<i>W</i>	weak extinction
		<i>WEL</i>	weak extinction limit value
		<i>X</i>	health parameter

the productivity, safety, and availability, has caused that the role of the FTC systems become more prominent in the other applications, such as: marine propulsion [16], automotive industry [17], and the other industrial equipment [18–23].

In a general classification, the FTC systems can be divided into two categories: active (AFTC) [9,10,24–27] and passive (PFTC) [28–30] systems. In the AFTC systems, the health condition of the controlled system will be identified through a Fault Detection and Identification (FDI) mechanism, and despite to the PFTC systems, the structure or the parameters of the control system may be changed to preserve the performance and stability of the whole system. No need to prioritize the faults, and no restrictions on

the number of predefined fault scenarios, can be considered as the main advantages of employing the AFTC compared to the PFTC systems [31]. For more details about the distinction between the AFTC and PFTC systems, please see Refs. [31,32].

In the studies conducted in the field of the fault tolerant systems, some researchers [13] have used the term “AFTC” when referring to the self-repairing control systems; some of them [33] have used it for the reconfigurable control systems, while the others [34] have used this term for the restructurable control systems. Given that, the control system studied in this paper, is of the type of the reconfigurable control systems, thus in the present work, the term “AFTC” refers to this category of controllers.

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