



# Notes on the plasma resonance peak employed to determine doping in SiC



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## HIGHLIGHTS

- The article provides a review of past research and information about the plasma resonance in silicon carbide.
- Attention was given to all parameters involved for the three most common polytypes of SiC.
- The second plasma resonance was investigated.
- An explanation for not observing the 2nd plasma minimum in 3C-SiC is provided.

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## ABSTRACT

The doping level of a semiconductor material can be determined using the plasma resonance frequency to obtain the carrier concentration associated with doping. This paper provides an overview of the procedure for the three most common polytypes of SiC. Results for 3C-SiC are presented and discussed. In phosphorus doped samples analysed, it is submitted that the 2nd plasma resonance cannot be detected due to high values of the free carrier damping constant  $\gamma$ .

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## 1. Introduction

The use of the plasma resonance frequency  $\omega_p$  to establish the carrier concentration, and hence doping level, in semiconductors is well established and has been applied to a range of semiconducting materials [1–9]. The plasma resonance frequency is obtained from infrared reflectance spectroscopy, and the procedure is very simple and non-destructive, enabling crystal growers a quick method of obtaining an indication of the level of doping they are achieving. The method has also been applied in the case of bulk and epilayers of SiC [10–19], as well as irradiated samples [20], with varying degrees of success.

This paper aims to provide a brief overview of the use of the plasma resonance frequency to determine the carrier concentration in SiC, and includes the three most common polytypes, viz. 3C-SiC, 4H-SiC and 6H-SiC.

## 2. Theory

The plasma resonance frequency  $\omega_p$  is related to the carrier density  $N$  by the following relation [1,3]:

$$\omega_p^2 = \frac{4\pi N e^2}{m^* \epsilon_\infty} \quad (1)$$

where  $\omega_p$  is the bulk plasma resonance frequency in  $\text{cm}^{-1}$ ,  $N$  the free carrier density,  $e$  the electron charge,  $m^*$  the effective mass and  $\epsilon_\infty$  the high frequency dielectric constant.

The carrier density  $N$  can be obtained by determining the plasma resonance minimum  $\omega_{min}$  where the reflectivity tends to zero on the  $\omega_L$  side of the reststrahlen peak, and substituting the value into Eq. (1). However, when considering the complex dielectric function [2]

$$\epsilon = \epsilon_\infty \left[ 1 + \frac{\omega_L^2 - \omega_T^2}{\omega_T^2 - \omega^2 + i\omega\Gamma} - \frac{\omega_p^2}{\omega(\omega - i\gamma)} \right] \quad (2)$$

and setting this equation equal to 1 (ignoring plasma damping, i.e.  $\gamma = 0$ ), the reflectivity will have two possible minimum values,

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**Table 1**  
Reported values for dielectric constant  $\epsilon_\infty$ .

Polytype	Reference															
	<a href="#">[6]</a>	<a href="#">[10]</a>	<a href="#">[12]</a>	<a href="#">[13]</a>	<a href="#">[14]</a>	<a href="#">[17]</a>	<a href="#">[26]</a>	<a href="#">[27]</a>	<a href="#">[28]</a>	<a href="#">[29]</a>	<a href="#">[30]</a>	<a href="#">[31]</a>	<a href="#">[32]</a>	<a href="#">[33]</a>	<a href="#">[34]</a>	<a href="#">[35]</a>
3C	6.7	6.7					6.7		6.52	6.7						6.52
4H				6.56	6.56								6.56	6.56	6.78	6.52
4H <sub>⊥</sub>						6.56						7				
4H <sub>  </sub>						6.78						7.14				
6H			6.52					6.7			6.52			6.52		6.52
6H <sub>⊥</sub>									6.52			7.01				
6H <sub>  </sub>									6.70			7.16				

**Table 2**  
Reported values for effective mass  $m^*$ .

Polytype	Effective mass ( $m_0$ )	Electrons												Holes			
		References												Reference			
		[6]	[12]	[13]	[14]	[17]	[33]	[23]	[24]	[34]	[35]	[36]	[37]	$m_{eff}^{*a}$	[27]	[28]	$m_{eff}^{*a}$
3C		0.31															
	$m_{M\Gamma}$											0.42			~1.15	1.11	
	$m_{MK}$											0.23		0.31 <sup>c</sup>			
	$m_\perp$							0.23	0.24		0.25			0.31 <sup>b</sup>			
	$m_{  }$							0.68	0.73		0.68						
4H			0.42	0.42		0.30				0.48							
	$m_{M\Gamma}$							0.57	0.61			0.59		0.42 <sup>c</sup>			
	$m_{MK}$							0.28	0.29			0.29					
	$m_\perp$				0.42						0.42		0.30	0.37 <sup>b</sup>	0.61	0.59	0.75 <sup>b</sup>
	$m_{  }$				0.29						0.29		0.48		1.62	1.60	
6H			0.35			0.35											
	$m_{M\Gamma}$							0.75	0.81			0.76		0.45 <sup>c</sup>			
	$m_{MK}$							0.24	0.25			0.26					
	$m_\perp$										0.42		0.35	0.31 <sup>b</sup>	0.60	0.58	0.74 <sup>b</sup>
	$m_{  }$										0.20		1.40		1.65	1.59	

<sup>a</sup> Calculated.  
<sup>b</sup> Using the applicable formulas of Holm et al. [6].  
<sup>c</sup> Using the applicable formulas of Oishi et al. [13].

depending on  $\omega_p$  (and hence the carrier concentration  $N$ ). These values are given by [6,21]:

$$\omega_{\pm}^2 = \frac{\epsilon_0(1 + \omega_p^2/\omega_L^2) - 1 \pm \left\{ \left[ \epsilon_0(1 + \omega_p^2/\omega_L^2) - 1 \right]^2 - 4\epsilon_0(\epsilon_\infty - 1)\omega_p^2/\omega_L^2 \right\}^{1/2}}{2(\epsilon_\infty - 1)/\omega_T^2} \quad (3)$$

where  $\epsilon_0 = \epsilon_\infty (\omega_L/\omega_T)^2$  and  $\omega_+$  and  $\omega_-$  are the maximum and minimum values of the reflection minima, with  $\omega_+$  larger than  $\omega_L$  and  $\omega_-$  less than  $\omega_T$ .

A slightly different formula was proposed by Sunkari et al. [22],

$$\omega_{LPP}^\pm = \left\{ \frac{1}{2} \left[ \omega_L^2 + \omega_p^2 \pm \sqrt{(\omega_L^2 + \omega_p^2)^2 - 4\omega_p^2\omega_T^2} \right] \right\}^{1/2} \quad (4)$$

However also required are the values of the high frequency dielectric constant,  $\epsilon_\infty$  and the effective mass  $m^*$ . The latter is dependent upon the density of states in the materials, which for SiC is quite complex [23,24]. Both  $\epsilon_\infty$  and  $m^*$  also depend on the particular polytype of SiC, the polarization of the incident radiation, and, in the case of  $m^*$ , the free carrier type (electron or hole). This complex nature makes it difficult to decide which particular value to use in simulations of the variation of the plasma resonance frequency with carrier concentration.

Assuming the constant-energy surfaces in the Brillouin zone of SiC to be ellipsoidal, the surfaces can be described by effective masses in the longitudinal optical ( $m_L^*$ ) and transverse optical ( $m_T^*$ ) branches. Formulas to determine the “average effective mass” have been suggested by Holm et al. [6] and Oishi et al.

**Table 3**  
Dielectric parameters used to calculate plasma minima from Eqs. (3) and (4).

Dielectric parameter	3C [6]	4H [13,14]	6H [12,32]
$\epsilon_\infty$	6.7	6.56	6.52
$\Gamma$	8.5	5.8	4.76
$\omega_L$	973	972	969
$\omega_T$	794	799	793
$\gamma$	0	0	0
$m^*$	0.31	0.42	0.35

[13]. Previously published values for  $\epsilon_\infty$  and  $m^*$ , together with calculated values of the average effective masses, are presented in Tables 1 and 2, while Table 3 contains the dielectric parameter values used in this study.

Finally, the reflectance of a material can be obtained from the complex dielectric function given in Eq. (2) by using the relation [25]:

$$R = \left| \frac{\sqrt{\epsilon} - 1}{\sqrt{\epsilon} + 1} \right|^2 \quad (5)$$

### 3. Experimental procedure

Theoretical values of the plasma resonance  $\omega_p$  were calculated from Eq. (1) as function of the doping concentration of phosphorous, ranging from  $1 \times 10^{12}$  to  $1 \times 10^{20} \text{ cm}^{-3}$ . Likewise, calculations for the reflection minima  $\omega_-$  and  $\omega_+$  as well as the longitudinal-optical phonon–plasmon coupled modes LPP<sup>−</sup> and

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