Contents lists available at ScienceDirect

Physica B

journal homepage: www.elsevier.com/locate/physb

Spin–orbit interactions in free lanthanide (3+) ions

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ARTICLE INFO

Received 18 March 2016

Received in revised form

Accepted 20 April 2016

Available online 21 April 2016

Article history

18 April 2016

Keywords: Radial integrals Spin–orbit interaction Mean inverse-cube radii

Lanthanides

ABSTRACT

The effective nuclear charges of free Ln³⁺ ions (Ln IV in spectroscopic notation) with Ln=Pr, Nd, Er, Tm, and Yb, have been determined semiempirically from the dependence between calculated or empirical expectation values $\langle r^{-3} \rangle_{\rm 4f}$ and spin–orbit radial integrals $\zeta_{\rm 4f}$ known from experimental free-ion spectra. The variation with $\langle r^{-3} \rangle_{\rm 4f}$ of the matrix elements of spin–orbit interactions for the ground levels of the same free ions has been also discussed.

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1. Introduction

The spin-orbit interactions (SOIs) in lanthanides lead, in the absence of external field, to coupling of L and S angular momenta into J. For most of the Ln^{3+} ions, except for Sm^{3+} and Eu^{3+} , $J=L\mp S$ is a good quantum number and designates a strong LS coupling. For the ground levels, |L-S| pertains to the first half, while L+S is applicable for the second half of the lanthanide series; the signs of the SOIs are positive for the former and negative for the latter [1]. This coupling removes partially the degeneracy of the terms 2S+1L producing multiplets of levels 2S+1LI. SOIs are considerable in values and dominate over the other intra-atomic magnetic interactions of the 4f electrons in Ln³⁺ ions but remain much lower than the electrostatic interactions. On the other side, the multiplet splittings arising from SOIs in lanthanides are larger than the crystal field splittings [2]. The spin–orbit radial integral ζ 4f is a constant for the 2S + 1LJ levels of a $4f^N$ configuration and depends on the radial 4f wave function and the central field potential [2-7]. The simultaneous effect of Coulombic and strong SOIs results in intermediate coupling, i.e. in different admixtures of the wave functions corresponding to the levels 2S + 1LI. Thus, the electrostatic and strong spin-orbit interactions provide an initial approximation to the experimental energy levels as well as adequate wave functions [2,3].

The spin–orbit radial integral ζ_{4f} has been often applied in conjunction with the expectation value $\langle r^{-3} \rangle_{4f}$; the calculation of the latter presents a continuous task [1] including, for example, *ab initio* model potentials for the 4f states of Ln³⁺ ions [8]. The

application of the mean inverse-cube radii for the 4f electrons has been exemplified with the combined energy matrices of Pr^{3+} [9]. The same mean values have been also related to the magnetic hyperfine structure constant a_J and used in the determination of nuclear magnetic moments either in empirical relationships [2,10] or in operator equivalent form [9]. The electrons of an open $4f^N$ configuration exert at the lanthanide nucleus hyperfine magnetic field estimated between 100 T and 800 T, higher than an external magnetic field [1]. The known values of $\langle r^{-3} \rangle_{4f}$ differ appreciably since they originate from different wave functions: relativistic [11,12], self-consistent field [13–15], and Hartree–Fock [16,17]. However, only one of these studies includes the entire lanthanide series [11].

The experimental values of ζ_{4f} have been obtained from optical spectra of Ln^{3+} ions in condensed phase. It has been generally assumed that the values of ζ_{4f} have been affected to some extent by the other ions surrounding given Ln^{3+} ion. A survey on the optical properties of lanthanides in condensed phase has included the effective nuclear charge participating in the SOIs [3].

A number of recent studies, either *ab initio* or fitting procedures, have also used the concept of SOIs for different purposes. Thus, an empirical relationship has been found relating ζ_{4f} of Ln^{3+} to the effective nuclear charge $Z^* = Z-31.9$, where 31.9 is the 4f screening constant, in a discussion concerning crystal field parameters of Ln^{3+} in crystals of $Cs_2NaLnCl_6$ [18]. The spin–orbit coupling constant for Pr^{3+} ion has been expressed depending on ligand polarizability of various ligands in a study of the nephelauxetic effect in the electronic spectra of Pr^{3+} [19]. A semiempirical atomic Hamiltonian with different number of varied parameters (8, 10, or 12) has been fitted for four ions with $4f^2$ electron configuration, including Pr^{3+} [20]; for ζ_{4f} of the same ion the authors have obtained values that are about 3% higher than







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http://dx.doi.org/10.1016/j.physb.2016.04.032 0921-4526/© 2016 Elsevier B.V. All rights reserved.

that one reported by Sugar [27]. Ab initio determinations of atomic parameters for crystals of LaCl₃:Ln³⁺ have confirmed the hypothesis for smooth trends across the Ln^{3+} series [21]; it has been also shown that the obtained values of ζ_{4f} for the free ions Pr^{3+} and Nd³⁺ depend on the number of fitted parameters. Hartree-Fock values of the spin–orbit coupling constants for Ln³⁺ ions have been obtained with small deviations in respect to the experimental ones for the free ions Ln IV, namely: $Pr^{3+}(+9.0\%)$, $Nd^{3+}(+5.4\%),\ Er^{3+}(+19.1\%),\ Tm^{3+}(+0.1\%),\ Yb^{3+}(-0.4\%)\ \cite{22}.$ Basic relationships in some major references have been re-examined in respect to the reduced matrix elements of the doubletensor operators z_r (r=1, 2, 3, 4) of rank 2 in both the orbital and spin spaces; tables have been provided for f⁴ to f⁷ configurations [23]. Quite recently, the role of the SOIs has been exemplified in theoretical constructions of the energy level diagrams for (2+), (3+), and (4+) – charged lanthanides with 4f^N (N=1...13) configurations [24].

The relationship between experimental radial integrals ζ_{4f} for the free ions Ln IV and the mean inverse-cube radii $\langle r^{-3} \rangle_{4f}$, however, has not been studied yet. The aim of the present work is to investigate the mentioned dependence for Ln IV ions and to exemplify certain matrix elements for ${}^{2S+1}L_J$ levels occurring in the free lanthanide ions.

2. Method

The spin–orbit radial integral ζ_{4f} is defined [2–7,9] as:

$$\zeta_{4f} = \hbar^2 \int_0^\infty r^2 R_{4f}^2(r) \xi(r) dr,$$
(1)

where

$$\xi(r) = \frac{\hbar^2}{2m^2 c^2 r} \frac{dU(r)}{dr}, \ U(r) = -e^2 \frac{Z^{eff}}{r},$$
(2)

r is a radial coordinate of the electron, U(r) is a central field potential with an effective nuclear charge Z^{eff} exerted on the 4f electrons in the SOIs, the other quantities have their usual meanings. The spin–orbit radial integral has been related to an empirical mean value $\langle r^{-3} \rangle_{4f}$ [3–7,9]:

$$\zeta_{4f} = \frac{\hbar^2 e^2}{2m^2 c^2} Z^{eff} \langle r^{-3} \rangle_{4f}.$$
 (3)

It has been noted that the effective charges Z^{eff} relevant to the SOIs are different from those participating in the electrostatic interactions [6].

The matrix elements of the SOIs are independent of the quantum numbers M [23] and have been defined as products of 6j-symbols and doubly reduced matrix elements (DRME) **V**⁽¹¹⁾ of the 4f ^{*N*} configuration by the expressions [2,25,26]:

$$A = f^{N} \alpha L S J M[\mathbf{L}, \mathbf{S}] f^{N} \alpha^{I} L^{I} S^{I} J^{I} M^{I}$$

$$= \zeta_{4f} (-1)^{J+L+S^{I}} [l(l+1)(2l+1)]^{1/2}$$

$$\times \left\{ L L^{I} 1 \\ S^{I} S J \right\} \times \langle f^{N} \alpha L S \parallel V^{11} \parallel f^{N} \alpha^{I} L^{I} S^{I} \rangle, \qquad (4)$$

$$\langle f^{1}1s || V^{11} || f^{1}1s \rangle = (3/2)^{1/2}$$
 (5)

where α and α' are unspecified quantum numbers, l=3 for 4f electrons.

The almost closed electronic configurations $4f^{14-N}$, i.e. $4f^{11}$ (Er IV), $4f^{12}$ (Tm IV), and $4f^{13}$ (Yb IV), are complementary of the lessthan-half filled open shells $4f^{N}$: $4f^{3}$ (Nd IV), $4f^{2}$ (Pr IV), and $4f^{1}$ (Ce IV), respectively. Their matrix elements are interrelated by the following expression [26]:

$$\langle f^{14-N}vLS || V^{11} || f^{14-N}v^{I}L^{I}S^{I} \rangle = -(-1)^{\left\lfloor \binom{v-v^{I}}{2} \right\rfloor + 2} \langle f^{N}vLS || V^{11} || f^{N}v^{I}L^{I}S^{I} \rangle, \quad (6)$$

where v and v' designate seniority numbers. The signs of the matrix elements *A* depend also on those of the DRME $V^{(k1)}$, i.e. for $V^{(11)}$ with k+1=even, as follows [26]:

$$\langle \mathbf{f}^{14-N} \alpha \, L \, S \, ||V^{11}|| \mathbf{f}^{N} \alpha^{l} \, L^{l} \, S^{l} \rangle$$

$$= \left[(2l+1-N)/(2l+1-v) \right] \langle \mathbf{f}^{N} \alpha \, L \, S \, ||V^{11}|| \mathbf{f}^{N} \alpha^{l} \, L^{l} \, S^{l} \rangle$$

$$(7)$$

S is the total spin quantum number of the energy level ${}^{2S+1}L_J$ and $\langle \psi \| V^{(11)} \| \psi^I \rangle$ are DRME of the tensor operator $V^{(kk')}$ between the wave functions ψ and ψ^I . The above expressions apply only to wave functions with the same spin, S=S', $\delta(S, S')=1$, so that the delta function is implicitly included in Eqs. (4), (6), (7). The DRME $V^{(11)}$ are available for all pairs of multiplets of f^2 , f^3 , and f^4 [26]. The 6*j*-symbols in the present study have been determined according to the procedure described by Jucys and Bandzaitis [27].

The system of atomic units has been applied in this study, namely: $m=e=\hbar=1$, c=137.036, $a_0=(\hbar^2/me^2)=0.52917721 \times 10^{-10}$ m, 1 a.u. of energy is equal to $2R_{\infty}=2 \times 109$ 737.31 cm⁻¹, where R_{∞} is the Rydberg constant [28]. The values of the spin–orbit radial integrals $\zeta_{\rm 4f}$ used here have been experimental ones for the free ions Ln IV [29–33].

3. Results and discussion

Constants of SOIs of Ln IV and expectation values $\langle r^{-3} \rangle_{4f}$ from computations, relativistic (*R*) [11] and Hartree–Fock (HF) [16], or empirical (emp.) [10], are listed in Table 1. The relativistic mean values have been included in this work for two reasons: (i) they are the only set covering the entire lanthanide series as far as Pr^{3+} has not been included in [12], (ii) the spin–orbit radial integrals ζ_{4f} available in the literature from experimental free-ion spectra of Ln IV have been obtained with relativistic corrections. Obviously, the empirical values of $\langle r^{-3} \rangle_{4f}$ do not pertain to free ions Ln IV since

Table 1

Spin–orbit radial integrals ζ_{4f_1} expectation values $\langle r^{-3} \rangle_{Af_1}$ and effective nuclear charges Z^{eff} for certain free Ln³⁺ ions with atomic number Z.

Ln IV	Ζ	ζ_{4f} /cm ⁻¹ [Ref.]	$\left\langle r^{-3}\right\rangle_{\mathrm{4f}}$ calc.(<i>R</i>)/ a_0^{-3} [11]	$\left< r^{-3} \right>_{ m 4f}$ calc.(HF)/ a_0^{-3} [17]	$\left< r^{-3} \right>_{ m 4f}$ emp./ a_0^{-3} [9]	Z ^{eff} calc.(R)	Z ^{eff} calc.(HF)	Z ^{eff} (emp.)
Pr IV	59	741 [29]	4.893	5.38	5.06	46	44	48
Nd IV	60	988 [30]	5.479	6.03	5.64	51	48	53
Er IV	68	2012 [31]	11.141	12.02	10.60	40	36	42
Tm IV	69	2640 [32]	11.990	12.88	11.72	46	44	49
Yb IV	70	2918 [33]	12.875	13.84	12.63	47	44	50

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