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# Theoretical investigation of the electronic structure, optical, elastic and thermodynamics properties of a newly binary boron nitride $(T-B_3N_3)$



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#### 1. Introduction

Binary boron nitride (BN) compounds, attracting a great number of attentions due to their high temperature stability, high melting point, high mechanical strength, large thermal conductivity and useful optical properties, are widely used as a hightemperature ceramic material, insulators and abrasive materials [1–7]. It is interesting to note that BN can form many polymorphs, such as hexagonal BN (h-BN) [8], zinc-blende BN (c-BN) [9,10], wurtzite BN (w-BN) [11], one-dimensional nanostructures [12,13], hollow spheres [14], nanocages [15] and BN nanomesh [16]. At ambient pressure, the c-BN is the most energetically preferred phase. The w-BN is the second stable phase and is always more stable than h-BN. Although w-BN is energetically more stable than h-BN, the energy barrier from h-BN to w-BN is so high that the phase transformation cannot happen at room temperature. The c-BN shows extreme hardness and low dielectric constant while the h-BN is of fundamental importance to study BN nanotubes.

Although B and N element appear to be metallic under high pressure, BN compounds remain an insulator with a wide band gap of around 6.0 eV [17], irrespective of their shape and structure, even when under high pressure [18–20]. The practical application of BN compounds in electronic devices is hindered because of their wide band gap. This has stimulated recent research in reducing or

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#### ABSTRACT

The ultrasoft pseudopotential planewave (UPPW) within density functional theory (DFT) has been used to investigate the electronic structure, optical, elastic and thermodynamics properties of newly binary boron nitride (T-B<sub>3</sub>N<sub>3</sub>). The calculated lattice parameters are in good agreement with previous theoretical results and deviated are less than 0.4%. The electronic structure showed that the T-B<sub>3</sub>N<sub>3</sub> is metallic, and the optical spectra exhibit a noticeable anisotropy. The static dielectric constants, optical permittivity constants and the elastic properties are calculated. From our results, we observe that T-B<sub>3</sub>N<sub>3</sub> is mechanically unstable and ductile. The entropy, enthalpy, free energy, heat capacity and Debye temperature of T-B<sub>3</sub>N<sub>3</sub> was obtained. Up to now, there are no available experimental data about those properties. The results obtained in the present paper could provide important reference data for future studies.

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even closing the band gap. Recently, Zhang et al. [21] designed a new tetragonal phase T-B<sub>3</sub>N<sub>3</sub>, and making the well-known insulating BN become metallic though changes its atomic configuration. To aid in the synthesis of the new phase of T-B<sub>3</sub>N<sub>3</sub>, Zhang et al. had analyzed of its cohesive energy, electronic structure, density of states and electron localization function. However, the elastic, optical and thermodynamic properties of T-B<sub>3</sub>N<sub>3</sub> have not been studied at all. Therefore, the objective of the present work is to investigating these physical properties of T-B<sub>3</sub>N<sub>3</sub>.

#### 2. Calculation methods

All the calculations presented in the present work have been carried out using the CASTEP code [22], which is an implementation of the ultrasoft pseudopotential plane-wave (UPPW) method, based on the state-of-the-art of density functional theory (DFT). The electronic exchange-correlation interactions are treated with the Perdew–Burke–Ernzerhof for solid in generalized gradient approximation (GGA-PBEsol). [23] The energy with respect to k-points and the cutoff energy have been converged to less than 1 meV. In this study, the cutoff energy of plane-wave is 560 eV, which was large enough to obtain good convergence. B- $2s^22p^1$  and N- $2s^22p^3$  were explicitly treated as valence electrons. In the Brillouin zone integrations,  $14 \times 14 \times 10$  grid of Monkhorst–Pack points has been employed to ensure well convergence of the computed structures and energies. For the calculation of the





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elastic and optical properties, which usually requires a dense mesh of uniformly distributed k-points, the Brillouin zone integration was performed using a  $20 \times 20 \times 15$  and  $25 \times 25 \times 25$  grid of Monkhorst–Pack points, respectively. The equilibrium crystal structures of T-B<sub>3</sub>N<sub>3</sub> were determined using the Brodyden–Fletcher–Goldfarb–Shanno (BFGS) [24–27] minimization technique, with the threshold for converged structures: energy change per atom less than  $5 \times 10^{-6}$  eV/atom, the Hellmann–Feynman force per atom less than 0.01 eV/Å and the maximum displacement of atom is  $5 \times 10^{-4}$  Å during the geometry optimization.

#### 3. Results and discussions

#### 3.1. Structure properties

T-B<sub>3</sub>N<sub>3</sub> (space group  $P\bar{4}m2$  no. 115) has a tetragonal primitive cell containing three formula units [21], as shown in Fig. 1. There are four chemically nonequivalent atoms in the monolayer hexagons BN by  $sp^2$  hybridization. In T-B<sub>3</sub>N<sub>3</sub>, two interlocked hexagons BN are perpendicular form the special "spiroconjugation" geometry. The optimized lattice parameters and atomic Wyckoff positions of T-B<sub>3</sub>N<sub>3</sub> are summarized in Table 1, together with the theoretical results for comparison. The optimized lattice parameters are a=b=2.63 Å, c=6.10 Å, respectively. They are in good agreement with the theoretical results, and the deviations are 0.38% and 0.16%, respectively. These results show and confirm that the method used in this study is reliable thereby the optimized lattice parameters can be used for future calculations of other parameters.

#### 3.2. Electronic properties

Fig. 2 shows the calculated band structures along the high symmetry directions in the first Brillouin zone (BZ) of T-B<sub>3</sub>N<sub>3</sub>. The Fermi level  $(E_f)$  is chosen to locate at 0 eV and coincides with the top of the valence band (VB). From the Fig. 2, we see that a partially occupied band crosses the  $E_f$  in the vicinity of M point, suggesting that the T-B<sub>3</sub>N<sub>3</sub> is metallic. To understand the clear picture of the elemental contributions to the electronic structure of T-B<sub>3</sub>N<sub>3</sub>, we have also computed the total density of states (TDOS) and partial density of states (PDOS) of T-B<sub>3</sub>N<sub>3</sub> as given in Fig. 3. As shown in Fig. 3, the obtained value of the TDOS at the  $E_f$  is 0.96 electrons/eV. It depicts that the TDOS near the  $E_f$  for T-B<sub>3</sub>N<sub>3</sub> is dominated by the highly localized N-2p states and a small amount of B-2p states. Our results for band structures and TDOS are similar to other calculations [21]. The VB of the T-B<sub>3</sub>N<sub>3</sub> can be divided into two parts. The first part from -21.1 eV to -14.4 eV is mainly due to N-2 s states and minor contributions from B (2s, 2p) states. The second part from -12.4 eV to  $E_f$  is mainly due to N-2p states and small contributions of B-2p and B-2s states. The conduction band (CB), from  $E_f$  to 26.7 eV, comes primarily from B-2p, 2s states with some contributions from N-2p, 2s states.

Table 1

The lattice parameters and atomic Wyckoff positions of T-B<sub>3</sub>N<sub>3</sub>.

Atom Wyckoff positions	Cal.	Ref. [21]
B1 1c N1 1b B2 2g N2 2g $a(\hat{A})$	(0.5,0.5,0.5) (0.5,0.5,0.0) (0.0,0.5,0.86037) (0.0,0.5,0.637842) 2.63 6 10	(0.5,0.5,0.5) (0.5,0.5,0.0) (0.0,0.5,0.8602) (0.0,0.5,0.6378) 2.64 5.11



Fig. 2. Band structure and the total density of states of T-B<sub>3</sub>N<sub>3</sub>.

#### 3.3. Optical properties

The optical properties of T-B<sub>3</sub>N<sub>3</sub> are studied by the frequencydependent dielectric function  $\varepsilon(\omega) = \varepsilon'(\omega) + i\varepsilon''(\omega)$  that is mainly connected with the electronic structures. The imaginary part  $\varepsilon''(\omega)$ of the dielectric function is calculated from the momentum matrix elements between the occupied and unoccupied electronic. The real part  $\varepsilon'(\omega)$  of the dielectric function can be derived from the imaginary part  $\varepsilon''(\omega)$  using the Kramer–Kronig relation [28].

The real part  $\varepsilon'(\omega)$  and imaginary part  $\varepsilon''(\omega)$  of the dielectric function in the energy range from 0 to 20 eV are displayed in Fig. 4. The calculations were performed for two light polarizations along [100] and [001] directions. From Fig. 4, we can see that there are five intensive peaks of absorption centered at about 0 eV, 4.20 eV, 6.02 eV, 9.50 eV and 12.21 eV for the [100] polarization.



Fig. 1. Crystal structure and the first Brillouin zone of T-B<sub>3</sub>N<sub>3</sub>.

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